



Canadian Lawyers Liability Assurance Society

2019/2020 Renewal Application for  
Excess Professional Liability Insurance

This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

**Note:** *The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.*

Please answer **ALL** questions. Where space to answer is insufficient, attach a separate sheet.

1. Name of Firm (Named Insured): Borden Ladner Gervais LLP
2. Address of principal office: East Tower, Bay Adelaide Centre  
22 Adelaide Centre Str. W, Suite 3400, Toronto ON M5H 4E3  
Phone: (416) 367-6000 Fax: (416) 367-6749
3. Address, phone and fax numbers of other office(s):  
See attached
4. Management or service companies, date(s) established and services provided:  
See attached
5. Is the Firm a multi-disciplinary partnership ("MDP")? ☒ yes ☐ no  
If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.  
March 1, 2000; See attached list of non-lawyer partners

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6. Since the most recent CLLAS application, has the name of the Firm been changed, or has any firm merged into the Firm? If so, give full particulars (including the number of lawyers merged into the Firm in each such situation) unless previously provided.

No

7. Attached as Appendix A is a list of the Firm's predecessor firms resulting from mergers since July 1, 1987. Is the list complete?

☒ yes      ☐ no

If "no", please provide update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of March 1, 2019:
- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels and lawyer consultants).
  - b) Number of patent & trademark agents (who are not lawyers).
  - c) Number of other non-lawyer consultants.
  - d) Number of paralegals.
  - e) Number of other employees.
  - f) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.

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9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>		<u>Last Year</u>	
a) Corporate and Commercial Law	22.1	%	22.0	%
b) Criminal Law	0	%	0	%
c) Family Law	0	%	0	%
d) Intellectual Property	7.1	%	6.8	%
e) Labour Law	7.3	%	6.8	%
f) Litigation	26.3	%	26.6	%
g) Real Estate	6.0	%	6.1	%
h) Securities Law	13.6	%	12.5	%
i) Tax Matters	4.7	%	4.9	%
j) Wills, Estates, Trust	1.2	%	1.2	%
k) Other (please specify)	11.7	%	13.3	%
<u>Construction, Health</u>				

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

If "yes", please provide full details:

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11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2018. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. **Notices on this application are not considered proper notice of a claim.**

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

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Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

14. Will the Firm purchase coverage under the CLLAS optional excess layer?

☒ yes      ☐ no

If "yes", please indicate preferred limit option:

<input type="checkbox"/> \$10M xs \$160M	<input type="checkbox"/> \$20M xs \$160M
<input type="checkbox"/> \$30M xs \$160M	<input checked="" type="checkbox"/> \$40M xs \$160M
<input type="checkbox"/> \$50M xs \$160M	<input type="checkbox"/> \$60M xs \$160M

15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

16. Please complete Appendix H to provide underwriting information with respect to cyber liability.

17. Please attach as Appendix I copy of the Firm's 2019 Professional Liability Insurance Application and Exemption Form submitted to LawPro.

The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through I, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.

Signature: 

(Must be signed by a Partner of the Firm)

Name of Signatory: ANDREW HARRISON

(Who shall be the designated contact person between CLLAS & the Firm as respects this insurance.)

Date: APR 17 2019



**Question #3****Address, Phone and Fax of Other Offices:**

<b>Ottawa</b>	World Exchange Plaza Suite 1300 -100 Queen Street Ottawa Ontario K1P 1J9  Phone: 613-237-5160 Fax: 613-230-8842
<b>Montreal</b>	1000 de La Gauchetiere Street West Suite 900 Montreal Quebec H3B 5H4  Phone: 514-879-1212 Fax: 514-954-1905
<b>Vancouver</b>	1200 Waterfront Centre 200 Burrard Street P.O. Box 48600 Vancouver, British Columbia V7X 1T2  Phone: 604-687-5744 Fax: 604-687-1415
<b>Toronto</b>	Same as principal office
<b>Calgary</b>	Centennial Place - East Tower 1900 - 520 Third Avenue S.W. Calgary Alberta T2P 0R3  Phone: 403-232-9500 Fax: 403-266-1395

**Question #4**

Name of Law Firm:

Borden Ladner Gervais LLP

<b>Insured Entity</b>	<b>Date Established</b>	<b>Service Provided</b>
Borden Ladner Gervais LLP	2000	Law Firm
Borden Ladner Gervais LLP Toronto Office	2000	Law Firm
Borden Ladner Gervais LLP Ottawa Office	2000	Law Firm
Borden Ladner Gervais LLP Montreal Office	2000	Law Firm
Borden Ladner Gervais LLP Calgary Office	2000	Law Firm
Borden Ladner Gervais LLP Vancouver Office	2000	Law Firm
Borell Management Inc.	1996	Holds and manages the lease for space used by Borden Ladner Gervais LLP
Borden Ladner Gervais Foundation	1997	Charitable Foundation
ISLG Venture Inc.	2009	State Law Guide Sales
Resiliency Management Corp	1999	Holds and manages the lease for space used by Borden Ladner Gervais LLP
ISLG Holdco Inc.	2009	Holding Company
Fondation Borden Ladner Gervais	1999	Charitable foundation
Gestion Cammerall Inc.	1995	Inactive
Cammerall 1000 Inc.		Holds and manages the lease for space used by Borden Ladner Gervais LLP
809470 Alberta Ltd	1999	Inactive
Noex Management Inc	1994	Inactive
Cadogan Management Ltd	1969	Holds and manages the lease for space used by Borden Ladner Gervais LLP
Arthur Services Limited		Holds and manages the lease for space used by Borden Ladner Gervais LLP
BLG-AG S.E.N.C.R.L.	2013	Law Firm
BLG-AG Inc.	2013	Holding Company
BLG Procurement Services Limited	2016	Inactive
BLG China Holdings Ltd.	2013	Holding Company
BLG Consulting Limited	2013	Holding Company
BLG Consulting (Beijing) Limited	2014	Administration Services to BLG

**Borden Ladner Gervais LLP**  
**CLLAS Renewal 2019**

**Question# 5**

**Qualifications of Partners who are not members**

<b>NAME</b>	<b>PROFESSION</b>	<b>QUALIFICATIONS</b>	<b>NUMBER OF YEARS</b>	<b>OFFICE</b>
Behmann, Curtis	Patent Agent	B.A.Sc. Electrical Engineering Patent Agent US (2003) Professional Engineer Ontario (2003) Patent Agent Canada (2002)	17	OTT
Hung, Shin	Patent Agent	Registered Patent Agent US Registered Patent Agent Canada Professional Engineer	16	OTT
Raoul, Jennifer	Patent Agent	Registered Patent Agent	13	OTT
Silver, Gail	Patent Agent	B.Sc Chemistry (honors) 1988 M.Sc 1990 Ph.D Chemistry 1993 Patent Agent Canada (2002) Patent Agent US (2001)	17	OTT
Sojonky, Andrew	Patent Agent	Patent Agent Canada Engineering Degree	15	OTT
Vickers, Mark	Patent Agent	Registered Patent Agent Registered Patent Agent US	13	OTT
Geoffrey DeKleine Patent Agency Corporation	Patent Agent		12	VAN
David Nauman Patent Agency Corporation	Trade Mark Agent		12	VAN

APPENDIX A  
PREDECESSOR FIRMS

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Name of Firm: Borden Ladner Gervais LLP

Attached

**APPENDIX A**

**PREDECESSOR FIRMS**

Name of Firm: Borden Ladner Gervais LLP

	Merger Date	Notes
Borden & Elliot		
Scott & Aylen	15-Jun-99	
Borden Elliot Scott & Aylen	01-Mar-00	
Ladner Downs	01-Mar-00	
Howard Mackie	01-Mar-00	
McMaster Gervais ("MG")	01-Mar-00	
Borden Ladner Gervais s.r.l.		
Borden Ladner Gervais LLP\s.r.l.		
Mackenzie Gervais		Predecessor firm of MG
McMaster Meighen		Predecessor firm of MG
Armstrong Perkins Hudson LLP	01-Jul-02	
Shortt, Hanbidge, Richardson & Welch ('SHRW')	01-Jan-08	
Shortt, Hanbidge, Snider, Richardson & Welch		Predecessor firm of SHRW
Shortt, Hanbidge & Snider		Predecessor firm of SHRW
Thomas & Partners	16-Jun-08	

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

**APPENDIX B**  
**ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2019**

Name of Firm: Borden Ladner Gervais LLP

	<u>CANADA</u>					<u>OUTSIDE OF CANADA</u> <sup>/5</sup>	
	<u>B.C.</u>	<u>Alberta</u>	<u>Ontario</u>	<u>Quebec</u>	<u>Other Provinces</u> (Please specify)	<u>U.S.</u>	<u>Other</u> <u>Locations</u>
a) No. of Lawyers <sup>/1</sup>	75	51	312	124			
b) No. of Patent & Trademark Agents <sup>/2</sup>	2	0	12	0			
c) No. of Non-lawyer Consultants <sup>/3</sup>	0	1	2	14			
d) No. of Paralegals	49	26	125	33			
e) No. of Other Employees	162	128	557	216			
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm <sup>/4</sup>	48	76	36	17			

<sup>/1</sup> Including partners, employed lawyers, counsels/of counsels and lawyer consultants.

<sup>/2</sup> These are not lawyers.

<sup>/3</sup> Please complete Appendix C if individuals are reported under this category.

<sup>/4</sup> Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

<sup>/5</sup> Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

***Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.***

***Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.***

***If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.***

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.

**CALGARY OFFICE**

Lawyer Name	Status	Year of Call	Date Joined BLG (m/d/y)	Year Became Partner
Albert, Paul	Associate	2013	08/13/2012	
Arora, Raminder	Associate	2018	06/26/2017	
Barnes, Shane	Associate	2018	06/26/2017	
Binnion, Lorelle	Associate	2016	08/10/2015	
Bordun, Erica	Associate	2014	09/17/2019	
Bova, Marlana	Associate	2014	06/17/2013	
Buttuls, Jason	Associate	2013	09/19/2016	
Cameron, Jessica	Associate	2013	04/15/2013	
Chen, Siwei	Associate	2016	01/07/2019	
Crothers, Shauna	Associate	2010	04/07/2014	
Cutts, Erin	Associate	2011	07/21/2011	
Davis, Theron	Associate	2018	09/24/2018	
Dowe, Kamini	Associate	2014	06/17/2013	
Dudelzak, Joelle	Associate	2014	08/26/2013	
Dyck, Jay	Associate	2018	06/26/2017	
Elgart, Churyl	Counsel	2004	05/13/2013	
Fawcett, Curtis	Associate	2016	11/27/2015	
Finegan, Garrett	Associate	2016	10/06/2016	
Gaber, Michael	Associate	2017	06/27/2016	
Hopkins, Julie	Counsel	1994	07/05/1993	
Hulecki, Jordan	Associate	2013	03/04/2019	
James, Shannon	Associate	2013	06/18/2012	
Jawanda, Robin	Associate	2018	06/26/2017	
Johnson, Daniel	Associate	2012	08/07/2012	
Latour, Ravinth	Associate	2013	06/18/2012	
Leung, Tommy	Associate	2018	09/04/2018	
Lothian, Jasmine	Associate	2018	08/20/2018	
Maag, Karleigh	Associate	2017	08/02/2016	
Makson, Sarah	Associate	2012	08/07/2018	
Mangano, Leah	Associate	2015	06/16/2014	
Maslen, Jack	Associate	2015	05/22/2018	
Maslova, Valeria	Associate	2018	06/26/2017	
Maslowski, Jake	Associate	2011	06/21/2010	
Morrow, LuAnne	Counsel	2002	03/19/2007	
Petrie, Shawn	Associate	2018	06/26/2017	
Pilz, Michelle	Associate	2016	05/04/2015	
Poon, Colin	Associate	2014	06/17/2013	
Poppel, Laura	Associate	2015	06/16/2014	
Pozzobon, Andrew	Associate	2013	06/18/2012	
Price, Locklyn	Associate	2013	06/18/2012	
Ricketts, Mark	Associate	2014	02/25/2019	
Ritzel, Nolan	Associate	2014	06/17/2013	
Robson, Scott	Associate	2015	06/16/2014	
Savoie, Stephanie	Associate	2018	06/26/2017	
Schneider, Matthew	Associate	2018	09/10/2018	
Taylor, Jeffrey	Associate	2016	08/10/2015	
Unrau, Marion	Associate	2018	11/01/2018	
Wang, Jason	Associate	2017	06/27/2016	
Weinberger, Josh	Associate	2016	08/10/2015	

**CALGARY OFFICE**

<b>Lawyer Name</b>	<b>Status</b>	<b>Year of Call</b>	<b>Date Joined BLG (m/d/y)</b>	<b>Year Became Partner</b>
Willms, Bradon	Associate	2017	06/12/2017	
Ziola, Laurie	Counsel	1986	05/27/2013	



	<b>Borden Ladner Gervais LLP</b>				
	<b>CLLAS APPENDIX B</b>				
	<b>Section A - List of Lawyers - 2019</b>				
	<b>OFFICE</b>	<b>Montreal</b>			
<b>#</b>	<b>Lawyer Name</b>	<b>Status</b>	<b>Year of Call</b>	<b>Year Joined BLG</b>	<b>Year Became Partner</b>
1	Abecassis, Line	Income	2008	2010	2017
2	Abran-Côté, Julie	Senior Associate	2013	2016	
3	Adam-Joly, Maude	Associate	2017	2018	
4	Alikhani, Soudeh	Senior Associate	2012	2017	2013
5	Andre, Nadir	Income	1998	2013	2016
6	Aronovitch, Stuart	Income	1994	2014	1983
7	Ayotte, Daniel	Senior Counsel	1972	1980	
8	Babos-Marchand, Hugo	Equity	2009	2016	
9	Bacolias, Tasy	Associate	2017	2018	
10	Barette, André J.	Income	1983	2005	2008
11	Belhumeur, Audrey	Associate	2015	2017	
12	Bensaid, Kenza	Associate	2015	2014	
13	Bergeron, Geneviève	Income	1998	1998	2005
14	Birks, Cristina	Counsel	2008	2008	
15	Blais, Guillaume	Associate	2017	2017	
16	Bonhomme, Robert	Income	1984	2014	2014
17	Bornac, Alexandra	Associate	2016	2018	
18	Brault, Josiane	Senior Associate	2008	2008	
19	Buggé, Alfred	Income	1998	2016	2016
20	Bussièrès McNicoll, Anaïs	Associate	2015	2014	
21	Buswell, Alexandre W.	Equity	1997	2014	2014
22	Champagne, Mélanie	Income	2000	2008	2016
23	Charbonneau, Robert E.	Senior Counsel	1975	1983	1984
24	Chênevert, Karine	Income	2005	2011	2014
25	Daigle, Simon	Senior Associate	2012	2012	
26	Darche, Jacques S.	Equity	1992	1997	2000
27	de Guise, Pascal	Equity	2000	2013	2013
28	De Stefano, Corrado	Income	1987	2014	2014
29	Desai, Vinay	Associate	2015	2017	
30	Desharnais, Isabelle	Income	2004	2004	2011
31	Desjardins, Alexandre	Senior Associate	2012	2016	
32	Drainville, Frédérique	Associate	2017	2015	
33	Dubois, Yves A.	Equity	1982	1983	1991
34	Emery, Marie-Pier	Associate	2017	2016	
35	Enns, Alfred F.	Equity	1990	2003	
36	Fahmy, Karine	Senior Associate	2014	2012	2006
37	Fernandes, Anna Luiza	Associate	2019	2018	
38	Fontaine, Jean-Marie	Income	2000	2004	2008
39	Fortier, Julie C.	Senior Associate	2014	2016	
40	Furfaro, Jordan	Associate	2017	2017	
41	Gagnon, François D.	Equity	1993	2006	2010
42	Galarneau, Maude	Senior Associate	2012	2017	
43	Galella, Patricia	Equity	1995	1995	2004
44	Gascon, Stéphanie	Associate	2018	2017	
45	Gaudet, Eve	Senior Associate	2012	2011	
46	Gauthier, Manon	Income	1997	1997	2006

	<b>Borden Ladner Gervais LLP</b>				
	<b>CLLAS APPENDIX B</b>				
	<b>Section A - List of Lawyers - 2019</b>				
	<b>OFFICE</b>	<b>Montreal</b>			
<b>#</b>	<b>Lawyer Name</b>	<b>Status</b>	<b>Year of Call</b>	<b>Year Joined BLG</b>	<b>Year Became Partner</b>
47	Girard, Joëlle	Senior Associate	2011	2014	
48	Goeteyn, Nils	Associate	2015	2014	
49	Gouin, Amélie T.	Senior Associate	2012	2011	
50	Gratton, Eloïse	Equity	1998	2011	
51	Grégoire, Simon	Equity	1988	2011	2002
52	Grodinsky, Daniel	Income	2008	2011	
53	Grodinsky, Michael	Income	2007	2011	
54	Grondin, François	Equity	1991	2011	2008
55	Gross, Benjamin	Income	2001	2011	2018
56	Guertin, Catherine	Equity	1997	2011	2006
57	Hardy-Charbonnier, Éléa	Associate	2018	2018	
58	Hazan, Neil	Equity	2005	2004	2012
59	Hebert, Alexandra	Associate	2017	2018	
60	Henry, Elisa	Income	2011	2018	
61	Hodhod, Andrew	Senior Associate	2014	2015	
62	Hourani, Gilbert A.	Income	1994	2018	2018
63	Jarvie, Max	Associate	2015	2018	
64	Joli-Coeur, François	Senior Associate	2010	2017	
65	Jutras, Emilie	Senior Associate	2012	2010	
66	Kaufer, Danny	Income	1979	2014	2014
67	Kavadias Landry, Jasmine	Associate	2018	2018	
68	Labranche, Jean-Frédéric	Associate	2018	2018	
69	Lamoureux-Bisson, Maude	Associate	2015	2018	
70	Lapointe, Vanessa	Associate	2018	2018	
71	Lassiseraye Mathieu, Marie-Claude	Senior Associate	2014	2011	
72	Laurier, Justine	Income	2009	2011	2017
73	Le François, Myriane	Equity	2004	2014	2014
74	Lefebvre, Gabriel	Equity	2005	2008	2014
75	Lemoyne, Maxime	Associate	2015	2012	
76	Lévesque, Mathieu	Equity	2001	2004	2009
77	Longpré, François	Equity	1989	1988	1997
78	Longtin, Maude	Senior Associate	2014	2014	
79	Lussier, Catherine	Income	2006	2004	2015
80	Lussier, Francis	Associate	2018	2016	
81	Mailloux, Kevin	Senior Associate	2013	2016	
82	Martin, Patrice	Equity	1995	1995	2004
83	Massé, Frédéric	Income	2000	2014	2014
84	Massicotte, Rose	Associate	2017	2017	
85	McCann, Marc-André	Senior Associate	2013	2018	
86	Merminod, Anne	Income	2010	2009	
87	Millette, Claudine	Equity	1998	2001	2007
88	Montpetit, Quentin	Associate	2018	2018	
89	Morin, François L.	Equity	1988	1987	1998
90	Morin, Patrice	Equity	1996	1996	2005
91	Nicol, Alexandra M.	Counsel	2003	2001	
92	Nolet-Lévesque, François	Associate	2016	2018	

	<b>Borden Ladner Gervais LLP</b>				
	<b>CLLAS APPENDIX B</b>				
	<b>Section A - List of Lawyers - 2019</b>				
	<b>OFFICE</b>	<b>Montreal</b>			
<b>#</b>	<b>Lawyer Name</b>	<b>Status</b>	<b>Year of Call</b>	<b>Year Joined BLG</b>	<b>Year Became Partner</b>
93	Pamel, Peter G.	Equity	1988	1997	1998
94	Phillips, Mark	Counsel	1995	2005	
95	Piché-Messier, Mathieu	Equity	1998	2000	2006
96	Pitre, Stéphane	Equity	1999	2000	2009
97	Plante, Patrick	Equity	2009	2009	2017
98	Poirier, Katherine	Equity	2003	2001	2010
99	Pronovost, Catherine	Counsel	2006	2018	
100	Reid Workman, Andrew	Associate	2015	2018	
101	Renaud, Alexis-Thomas	Senior Associate	2012	2011	
102	Richer, Stephane	Equity	2002	2001	2009
103	Robichon, Samuel	Associate	2016	2018	
104	Roditi, Raphael	Senior Associate	2009	2012	
105	Rondeau, Marie	Associate	2016	2018	
106	Saint Laurent, Hugo	Associate	2016	2016	
107	Saint-Onge, Jean	Senior Counsel	1981	2017	
108	Saragosti, Yaniv	Income	2010	2010	2018
109	Schneiderman, Brian	Senior Counsel	1971	1975	1983
110	Senécal, Isabelle	Associate	2017	2018	
111	Shaker, Shwan	Associate	2015	2018	
112	Sullivan, John T.	Income	1982	2008	2008
113	Tadlaoui, Ouassim	Senior Associate	2007	2015	
114	Takhmizdjian, Joseph H.	Income	2004	2006	2012
115	Talbot, Jeff	Associate	2014	2017	
116	Tardif, Olivier	Equity	2003	2016	2016
117	Thériault, Karine	Associate	2018	2018	
118	Toussaint-Martin, Olivia	Associate	2017	2016	
119	Tremblay, Gabrielle	Associate	2018	2017	
120	Tremblay, Maryse	Income	1992	2014	2014
121	Trent, Patrick	Income	2004	2003	2018
122	Visockis, Pierre	Counsel	1995	2018	
123	Wang, Alex	Associate	2017	2016	
124	Woods, James	Senior Associate	2012	2016	

Borden Ladner Gervais LLP  
 CLLAS APPENDIX B  
 Section A - List of Lawyers

Mar-19

OFFICE OTTAWA

#	TMK CODE	Lawyer Name	Status	Year of Call	Year Joined BLG	Year Became Partner
1	31181	ABRAHAM, NEIL	ASSOC	2017	2017	
2	29034	AULT, DUNCAN	PARTNER	2007	2013	2015
3	28137	BACHYNSKI, JANE MARGARET	ASSOC	1992	1992	
4	31344	BELANGER, RACHEL	ASSOC	2015	2019	
5	28745	BLANCHARD, EMMA	PARTNER	2007	2007	2015
6	30662	BELOZOROVICH, ALEXEY	ASSOC	2016	2017	
7	28318	BOYD, THOMAS KIRK	PARTNER	1999	1999	2006
8	31123	BOYD, MATTHEW	ASSOC	2016	2018	
9	28568	BRADLEY, JANET	COUNSEL	1977	2017	
10	29870	COGHLAN, JEFF	ASSOC	2009	2015	
11	30346	COOKE, PETER	COUNSEL	1995	2017	
12	28644	COOLIGAN, KATHERINE	PARTNER	1991	2006	2006
13	28540	CRAIN, KIRSTEN	PARTNER	2001	2004	2007
14	28267	CROSS, PAMELA	PARTNER	1996	2000	2003
15	31185	CYBULSKI, MARY	ASSOC	2012	2018	
16	28383	D'ANGELO, ROCCO	PARTNER	1986	2002	2002
17	30156	DAWE, HEATHER	ASSOC	2010	2016	
18	30578	DE PELLEGRIN, CARINA	COUNSEL	1999	2017	
19	28723	DOODY, MICHELLE	ASSOC	2014	2016	
20	28844	DULLET, KIM	PARTNER	2009	2009	2018
21	28923	DURANT, ERIN	ASSOC	2012	2015	
22	30607	DYBWAD, SCOTT	ASSOC	2014	2017	
23	28182	ELLIOT, LAWRENCE ANDREW	PARTNER	1996	1996	2001
24	30082	FATEUX, GENEVIEVE	ASSOC	2018	2018	
25	28886	GHIGNONE, ROBERTO	ASSOC	2010	2010	
26	29412	GULATI, NATASHA	ASSOC	2018	2018	
27	29716	HANCOCK, CALVIN	ASSOC	2017	2016	
28	28888	HENRY, DAVID	ASSOC	2010	2010	
29	29045	HOWARD, ADRIAN	PARTNER	2010	2013	2018
30	28239	JOLICOEUR, MARC	PARTNER	1980	1980	1984
31	30083	LAQUERRE, MAXIME	ASSOC	2018	2018	
32	28165	LAROCHE, KEVIN	PARTNER	1987	1990	1993
33	31225	LAUGHLIN, LEANNE	COUNSEL	1995	2018	
34	29626	LEBRUN, SANDRA	ASSOC	2012	2015	
35	28525	LEMIEUX, KATHLEEN	PARTNER	1997	2004	2007
36	29092	LEMIEUX, SARA	ASSOC	2014	2015	
37	30638	MAKSIMOVIC, ASHLEY	ASSOC	2015	2017	
38	31063	MCCALLA, JENELLE	ASSOC	2017	2018	
39	28885	MCGUINTY, DALTON	PARTNER	2010	2010	2019
40	29042	MCDORMAND, KATHLEEN	PARTNER	2002	2013	2015

#	TMK CODE	Lawyer Name	Status	Year of Call	Year Joined BLG	Year Became Partner
41	28676	MELIA, JOHN	ASSOC	2001	2006	
42	28337	MENARD, YVES J.	PARTNER	1987	1989	1992
43	28914	MILLS, JAMES	PARTNER	1995	2010	2010
44	28912	MOORE, BEVERLEY	PARTNER	2003	2010	2016
45	28384	MORIN, YVAN	PARTNER	1985	2002	2002
46	29038	MUELLER-NEUHAUS, JASON	ASSOC	2005	2013	
47	28175	NEARING, KEVIN P.	PARTNER	1985	1985	1998
48	30883	O'DELL, ODESSA	ASSOC	2016	2018	
49	28323	OZERE, TOM	PARTNER	1988	2002	2004
50	29272	PALAYEW, DAN	PARTNER	1995	2014	2014
51	28696	PERRON, KAREN	PARTNER	2004	2011	2014
52	28974	PITTS, ANDREA	ASSOC	2014	2014	
53	30294	POLLOCK, SCOTT	ASSOC	2016	2016	
54	30649	RABIN, JASON	ASSOC	2006	2017	
55	30087	CHARLES, ROBERT	ASSOC	2018	2018	
56	31302	ROBINSON, LAURA	ASSOC	2015	2019	
57	29020	RODRIGUEZ, NATALIA	ASSOC	2011	2012	
58	29715	ROY, EMILIE	ASSOC	2017	2017	
59	28364	SALIM, KASIM	PARTNER	2003	2003	2014
60	28892	SACHEDINA, RYMA	ASSOC	2011	2011	
61	31124	SANKARANARAYANAN, BHUVANA	ASSOC	2015	2018	
62	28913	SAUNDERS, CHANTAL	PARTNER	2002	2010	2010
63	29720	SCHNITTKER, DAVID	ASSOC	2018	2018	
64	31110	SHADDOCK, STEPHEN	ASSOC	2010	2018	
65	30571	SHERMAN, MATTHEW	ASSOC	2018	2018	
66	28068	SHERIFF-SCOTT, DAVID	PARTNER	1991	1991	1997
67	30165	SHERIDAN, JESSICA	ASSOC	2007	2016	
68	29542	ST. LOUIS, NICOLE	PARTNER	2000	2014	2016
69	27918	TAKAGI, KARA	ASSOC	2017	2017	
70	28753	TAYLOR, PAUL	PARTNER	2008	2008	2019
71	29418	URQUHART, BRAEK	ASSOC	2016	2016	
72	31264	WILSON, CHRISTIE	ASSOC	2013	2018	
73	31263	WILSON, ROBERT	ASSOC	2012	2018	
74	30570	YAEGER, BRADLEY	ASSOC	2018	2018	
75	28849	YOUNG, JAMISON	PARTNER	2008	2008	2015

**Borden Ladner Gervais LLP**  
**CLLAS APPENDIX B**  
**Section A - List of Lawyers**

**Revised: as of March 1, 2019**

**OFFICE - Toronto**

#	Lawyer Name	Status	Year of Call	Year Joined BLG	Year Became Partner
1	Abadi, Martin	CO	2007	2017	
2	Abaki, Joshua	AS	2018	2018	
3	Ablaza, Gerardo	SAS	2013	2015	
4	Akman, Davit	EP	2001	2016	2016
5	Antenore, Robert	PA	2000	2018	2018
6	Archibald, Katie	SAS	2018	2018	
7	Axelrod, Madlyn	AS	2015	2015	
8	Ayre, Katherine	SAS	2009	2009	
9	Baker, Andrew	AS	2015	2015	
10	Bambrough, Denise	EP	1992	1992	2002
11	Barutciski, Milos	EP	1987	2018	2018
12	Batten, Keith	PA	1990	1990	1996
13	Bedeau, Keri	SAS	2010	2010	
14	Bell, Whitney	SAS	2013	2013	
15	Bertoldi, Linda	SCO	1976	1996	2000
16	Betty, Christopher	AS	2018	2018	
17	Blackstein, Robert	PA	2006	2016	2019
18	Blight, Susan	PA	1989	2008	2008
19	Boan, Daniel	PA	2000	2000	2006
20	Boehm, Eric	PA	1998	2011	2011
21	Bonanno, Samantha	AS	2017	2017	
22	Brar, Michael	AS	2017	2017	
23	Breaks, Samantha	AS	2017	2017	
24	Brooksbank, Robert	PA	2009	2015	2019
25	Buchta, Jessica-Ann	AS	2016	2016	
26	Bush, Rebecca	EP	200209	2002	2009
27	Businger, Nicolas	SAS	2012	2012	
28	Butler, Katie	AS	2017	2017	
29	Byrick, Katharine	PA	1999	2001	2006
30	Calvano, Valerie	AS	2018	2018	
31	Cameron-Vendrig, Colin	SAS	2014	2014	
32	Cananau, Liviu	CO	2007	2018	
33	Carre, Katherine	SAS	2012	2012	
34	Carroll, Brennan	EP	2001	2001	2008
35	Certosimo, Matthew	EP	1995	1995	2001
36	Chaubal, Mekhala	AS	2016	2016	
37	Cheung, Hoi Nga Jolie	SAS	2013	2013	
38	Cistrone, Victoria	AS	2018	2018	
39	Coburn, Rick	EP	1990	1990	1997
40	Cook, Laurie	PA	1997	1997	2003
41	Corbett, Anne	EP	1981	1981	1987
42	Corpuz, Bernadette	CO	2001	2011	
43	Cowdery, Rebecca	EP	1986	2003	2006
44	Crawford, Kate	EP	2005	2005	2014
45	Cremers, Michael	AS	2018	2018	

Borden Ladner Gervais LLP  
 CLLAS APPENDIX B  
 Section A - List of Lawyers

Revised: as of March 1, 2019

OFFICE - Toronto

#	Lawyer Name	Status	Year of Call	Year Joined BLG	Year Became Partner
46	Crowell, Logan	SAS	2013	2013	
47	Curcio, Rebecca	AS	2018	2018	
48	Day, Laura	SAS	2010	2016	
49	Deakon, Katherine	AS	2017	2017	
50	Dearden, Kate	SAS	2008	2012	
51	Delemere, Laura	AS	2016	2017	
52	Deluca, Anthony	AS	2018	2018	
53	Denomme, Kyle	SAS	2014	2014	
54	Di Paolo, David	EP	1998	1998	2004
55	Dingle, Brian	EP	2002	2002	2008
56	Dinning, Bethan	SAS	2014	2014	
57	DiPonio, Joseph	AS	2016	2016	
58	Dochylo, Daniel	EP	1993	1993	1999
59	Doherty, Maureen	SAS	2013	2013	
60	Dolan, Patrick	CO	2009	2018	
61	Dutch, Hannah	SAS	2015	2013	
62	Eeuwes, Robert	SAS	2013	2013	
63	Effendi, Nadia	EP	2004	2005	2011
64	Egit, Ruby	AS	2016	2018	
65	Elman, David	EP	2007	2008	2014
66	Evans, Jessica	AS	2018	2018	
67	Evenson, Brandon	PA	2011	2011	2018
68	Fairbrother, Kate	SAS	2014	2018	
69	Ferreira, Evita	AS	2016	2016	
70	Fish, Arthur	EP	1985	1998	2003
71	Foerster, Ronald	EP	1987	1987	1993
72	Fotheringham, Alannah	AS	2016	2016	
73	Freedman, Bonnie	CO	1991	2007	
74	Freitag, Shane	EP	2002	2002	2006
75	Friedman, Kelly	CO	1996	2018	
76	Fu, James	PA	2011	2011	2018
77	Fuller, Kathryn	EP	2001	2001	2007
78	Fyfe, Stephen	EP	1986	1996	2000
79	Gardiner, Sarah	EP	200209	2002	2009
80	Gemson, Pierre	SAS	2010	2016	
81	Gergin, Maria	SAS	2012	2012	
82	Girlando, Daniel	PA	2011	2011	2019
83	Gleason, Mary Lynn	EP	1987	1989	1993
84	Goldman, Jesse	EP	1996	2018	2018
85	Goldsilver, Erik	PA	1999	2015	2015
86	Gordon, Jeffrey	PA	2009	2014	2017
87	Grant, Robyn	PA	2000	1999	2006
88	Grochalova, Barbora	AS	2016	2017	
89	Grunfeld, Moshe	SAS	2014	2018	
90	Guerrisi, Andrew	AS	2015	2015	

**Borden Ladner Gervais LLP**  
**CLLAS APPENDIX B**  
**Section A - List of Lawyers**

**Revised: as of March 1, 2019**

**OFFICE - Toronto**

#	Lawyer Name	Status	Year of Call	Year Joined BLG	Year Became Partner
91	Guy, Adam	PA	2011	2011	2019
92	Hall, John	EP	1983	1996	2000
93	Hallowell, Bradley	AS	2018	2018	
94	Hamilton, Graeme	PA	2009	2015	2018
95	Hart, Clifford	PA	1991	2014	2014
96	Hashmi, Madeeha	AS	2018	2018	
97	Hassan, Naveen	AS	2015	2015	
98	Hassan, Taha	AS	2018	2018	
99	Hawkins, Patrick	EP	1992	1992	1998
100	Henry, Michelle	EP	2003	2013	2013
101	Ho, Adrienne Yin Lam	AS	2015	2018	
102	Hodgson, Tyler	PA	1999	2009	2012
103	Howie, Kent	EP	1995	1995	2001
104	Hunter, John	AS	2016	2016	
105	Ingram, G L Sonny	SAS	2006	2016	
106	Ivanov, Tamila	SAS	2013	2013	
107	Jack, Douglas	PA	1985	2012	2017
108	Jaipargas, Roger	EP	2000	2000	2006
109	Jarvis, Daphne	EP	1986	1986	1992
110	Joel, Danielle	EP	1999	2006	2008
111	Jones, Scott	SAS	2010	2010	
112	Kabouchi, Joelle	AS	2016	2016	
113	Karantzoulis, Gus	EP	2002	2002	2008
114	Karimian, Anna	AS	2016	2018	
115	Keen, Ben	SAS	2013	2018	
116	King, C Graham	EP	200209	2002	2009
117	Kolos, Natalie	PA	2011	2011	2019
118	Kosonic, Ronald	PA	1988	2004	2004
119	Krajewska, Ewa	PA	2009	2009	2016
120	Kremer, Markus	EP	1999	1999	2005
121	Kronby, Matthew	PA	1990	2018	2018
122	Kwan, Susan	AS	2017	2017	
123	Lang, Daniel	PA	1992	2008	2010
124	Lau, Sienne	SAS	2015	2011	
125	Laviolette, Christine	SAS	2013	2016	
126	Lesage, Julie	AS	2018	2018	
127	Lindberg, Erica	AS	2015	2018	
128	Lipinski, Maciej	AS	2016	2016	
129	Lotay, Ramandeep	AS	2015	2015	
130	Love, Robert	EP	1994	1994	2000
131	MacDonald, Cameron	PA	2009	2018	2018
132	Madhany, Omar	AS	2019	2018	
133	Major, David	AS	2015	2015	
134	Mak, Sonia	PA	1992	1996	2003
135	Manger, Judith	AS	2018	2018	



Borden Ladner Gervais LLP  
 CLLAS APPENDIX B  
 Section A - List of Lawyers

Revised: as of March 1, 2019

OFFICE - Toronto

#	Lawyer Name	Status	Year of Call	Year Joined BLG	Year Became Partner
136	Manias, Richard	PA	2008	2008	2018
137	Mansi, Julie	EP	200209	2002	2009
138	Mantle, Thomas Jacob	AS	2017	2018	
139	Markin, Teagan	AS	2018	2018	
140	Marrison, Anna	EP	2003	2003	2010
141	Mason, Christine	PA	2009	2017	2018
142	Mathers, James	EP	1982	1988	1989
143	Matthews, Ian	PA	2008	2018	2018
144	McEvoy, Scott	PA	1997	1997	2003
145	McGrade, Lynn	EP	1990	1990	1996
146	McGrath, Kevin	EP	1992	2002	2002
147	McGregor, Sara	AS		2017	
148	McIntyre, John	AS	2015	2016	
149	McLean, Andrew	SAS	2014	2014	
150	Meighen, Hugh	PA	2010	2016	2019
151	Menear, Katherine	EP	2002	2008	2012
152	Milazzo, Anthony	PA	1997	2008	2008
153	Min, Deul Rae	AS	2017	2018	
154	Mitchell, Jeffrey	EP	1998	2018	2018
155	Morley, Piper	PA	2012	2014	2019
156	Morris, John	SCO	1983	1983	1989
157	Muir, Christine	SAS	2010	2017	
158	Ngan, Henry	SAS	2013	2016	
159	Nguyen, Stephen	AS	2015	2015	
160	O'Connor, Dennis	SCO	1966	2013	
161	Odina, Pamela	AS	2018	2018	
162	Pang, Jeffrey	AS	2017	2017	
163	Papadatos, Chelsea	AS	2016	2016	
164	Parghi, Ira	CO	2001	2018	
165	Pasquino, Nicola	EP	2009	2009	2016
166	Patry, Meagan	AS	2017	2017	
167	Patterson, James	EP	1996	1996	1994
168	Payne, Meghan	SAS	2012	2012	
169	Pearlman, Shane	EP	2003	2003	2010
170	Pedro, Mario	AS	2016	2017	
171	Pereira, Grace	SCO	1999	2017	
172	Permingeat, Pierre	AS	2015	2015	
173	Persaud, Devindra	AS	2015	2015	
174	Pessione, Heather	PA	2006	2006	2013
175	Peters, Erin	AS	2017	2017	
176	Powers, Andrew	EP	2005	2012	2012
177	Pratte, Guy	EP	1984	1988	
178	Pundit, Manoj	EP	1990	2008	2008
179	Punzo, Andrew	SAS	2013	2015	
180	Redican, Stephen	EP	1996	1996	2002

**Borden Ladner Gervais LLP**  
**CLLAS APPENDIX B**  
**Section A - List of Lawyers**

**Revised: as of March 1, 2019**

**OFFICE - Toronto**

#	Lawyer Name	Status	Year of Call	Year Joined BLG	Year Became Partner
181	Ridout, Danielle	AS	2017	2017	
182	Roher, Eric	EP	1987	1988	1994
183	Rosen, Daniel	AS	2018	2018	
184	Rosen, Michael	AS	2015	2018	
185	Rothschild, Denes	PA	2009	2009	2017
186	Russell, Robert	EP	1985	1985	1991
187	Sainsbury, Caitlin	EP	2007	2007	2014
188	Salafia, Natalie	AS	2015	2015	
189	Saltzman, Jason	PA	2000	2013	2013
190	Savo, Christopher	AS	2017	2017	
191	Scheinert, Josh	SAS	2010	2018	
192	Shani, Kathryn	SAS	2013	2013	
193	Sharratt, Nicholas	AS	2016	2018	
194	Shopiro, Murray	SCO	1979	1982	1985
195	Sjolin, Veronica	AS	2017	2017	
196	Skocic, Krstina	SAS	2009	2018	
197	Sless, Alan	PA	1983	1997	2007
198	Smith, Douglas	EP	1995	1999	2001
199	Solomon, Benjamin	AS	2018	2018	
200	Sperduti, Frank	EP	1998	1998	2004
201	Splawski, Graham	AS	2015	2015	
202	Squires, Robin	EP	2006	2006	2013
203	Suarez, Steve	EP	1990	2011	2011
204	Swanson, Rocky	AS	2017	2017	
205	Sweet, Sarah	AS	2016	2016	
206	Szabo, Jessica	AS	2018	2018	
207	Tang, Isaac	PA	2011	2011	2018
208	Tardif, Philippe	EP	1987	2006	2006
209	Taylor, Michael	PA	2012	2012	2019
210	Thiele, Prema	EP	1992	1992	1998
211	Thoburn, Jonathan	SAS	2014	2014	
212	Thomassen, Ashley	AS	2017	2017	
213	Timms, Stefan	EP	2006	2015	2015
214	Tomomitsu, Tamara	PA	2000	2002	2009
215	Traves, Robert	EP	1985	1985	1991
216	VanderVeer, Erin	AS	2015	2017	
217	Vellone, John	EP	2008	2008	2015
218	Vila, Edona	SAS	2014	2014	
219	Wagner, Laura	SAS	2011	2018	
220	Wakulowsky, Lydia	PA	1995	2014	2014
221	Walker-Renshaw, Barbara	EP	2001	2001	2007
222	Webster, Craig	EP	1993	1993	1999
223	Webster, Julia	SAS	2014	2018	
224	Weir, Robert	EP	1998	1998	2004
225	Wheeler, Mark	PA	1983	2010	2010

Borden Ladner Gervais LLP  
 CLLAS APPENDIX B  
 Section A - List of Lawyers

Revised: as of March 1, 2019

OFFICE - Toronto

#	Lawyer Name	Status	Year of Call	Year Joined BLG	Year Became Partner
226	Whelan, Wendy	EP	2006	2006	2015
227	Williams, Matthew	PA	2005	2006	2012
228	Wong, Carolyn	AS	2017	2018	
229	Wong, Stephanie	CNS	1996	2002	
230	Wood, Robert	PA	2010	2010	2018
231	Wray, George	PA	2006	2009	2016
232	Yan, Xue	PA	2009	2009	2018
233	Yehia, Ziad	SAS	2014	2014	
234	Young, Stephanie	SAS	2013	2013	
235	Zakaib, Glenn	PA	1983	2017	2017
236	Zettle, Randy	CO	1996	1996	
237	Zhang, Yun	AS	2018	2018	

237

Borden Ladner Gervais LLP				
CLLAS APPENDIX B				
Section A - List of Lawyers				
OFFICE - VANCOUVER				
Lawyer Name	Status	Year of Call	Year Joined BLG	Year Became Partner
Asirvatham, Debbie	L	2012	2011	
Austin, Kerry	L	2013	2015	
Baker, Morgan	L	2017	2016	
Biggar, Robert	L	2017	2016	
Bird, Sarah	L	2005	2018	2018
Bogle, Julie	L	2015	2013	
Bradley, Melanie	L	2004	2004	2012
Cabott, Jake	L	2013	2017	
Camp, David K.	AC*	1981	1980	1987
Cantwell, James	L	2018	2017	
Carlson, Lisa	L	2011	2010	
Chan, Millie	AC*	2010	2010	
Chiasson, Edward C.	AC*	1967	2016	
Choi, Jennifer	L	2018	2017	
Chung, Brandon	L	2018	2017	
Copland, Doug G.	L	1986	1985	1993
Crerar, David A.	L	1998	2004	2007
Cromwell, Thomas	AC*	1979	2017	
Damiani, Michael	L	2013	2013	
DeGoey, Lauren	L	2018	2017	
Dhillon, Gautam	L	2015	2018	
Fantini, Jennifer	L	2001	2001	2007
Florio, Cassandra	L	2014	2013	
Gehlen, Jacob	L	2018	2017	
Glass, Ramsey	L	2016	2015	
Gomez, Inaki	L	2017	2016	
Gorski, Scott	L	2017	2017	
Gray, Alexander	L	2018	2017	
Hansen, Do-Ellen S.	L	1985	1990	2003
Hennigar, Andrew	AC*	2012	2017	
Hurst, Parisa	L	2015	2019	
Johanson, Krista	L	2011	2010	2018
Johnson, Gordon R.	L	1987	1986	1994
Kang, Wei	L	2012	2011	
Keeler, Todd	L	2010	2010	
Kjellander, Kirsten	L	2006	2017	2017
Ladner, Tom	L	2015	2014	
Lalry, Ryan M.	L	2014	2014	
Lambert-Shirzad, Erika	L	2012	2017	
Lau, Anne B.	L	2000	2006	
Laudan, Dirk H.	L	1997	2000	2004
Law, Julia	L	2014	2018	
Lehodey, Breanne	L	2018	2017	
Lewchuk, Danielle	L	2014	2013	
Liesch, Shelby	L	2016	2015	
Little, Eric	L	2013	2013	
Lloyd, Sandy	AC*	1991	2017	
Maguire, Kim	L	2009	2009	2015
Mah, Cherie	L	2010	2010	2019
Mansveld, Kristina	L	2018	2018	
Martindale, Graeme D.	L	2003	2003	2010
McKittrick, Darcy	L	2016	2018	
McNeill, Katherine	L	2016	2015	
Mitchell, Shelley-Mae	L	1988	1987	1995
Murtha, Meghan	L	2010	2016	
Nathan, Andrew	L	2016	2015	
Parsons, Hunter J.	L	2014	2014	
Pimentel, Salvador	L	2017	2016	
Pritchard, Timothy	L	2015	2015	
Savova, Svet	L	2015	2014	
Seddon, Elly	L	2013	2013	
Spraggs, Alexander	L	2017	2017	

Borden Ladner Gervais LLP				
CLLAS APPENDIX B				
Section A - List of Lawyers				
OFFICE - VANCOUVER				
Lawyer Name	Status	Year of Call	Year Joined BLG	Year Became Partner
Stepney, Samantha	L	2012	2018	
Teicher, Ilana	L	2012	2018	
Thomas, Jeffrey S.	L	1990	1989	1999
Tolan, Matthew	L	2017	2016	
Torabi, Anousheh	L	2015	2017	
Wallis, Robert	L	2011	2011	
Wang, Lily	L	2003	2003	2009
Waters, Michael	L	2008	2008	2014
Wilkinson, Michelle	L	2015	2014	
Winder, Steve M.	L	1996	1998	2003
Wong, Chapmann	L	2018	2017	
Wong, Jacky	L	2010	2018	
Wong, Tamara	L	2008	2008	2014
*AC = Associate Counsel				

## CLLAS APPENDIX B

## Section F - List of Lawyers who are not Employees

CALGARY OFFICE					
Lawyer Name	Prof Corp Name	Status	Year of Call	Date Joined BLG m/d/yr	Date Became Partner m/d/yy
Banks, Peter	Peter Banks P.C.	Partner	2003	04/20/2015	01/01/2016
Barr, Kevin	Kevin E. Barr P.C.	Partner	2000	12/15/2015	01/01/2018
Blair, John D.	John D. Blair P.C.	Partner	1981	01/02/1999	01/07/2002
Blanchet, Justine	J. Blanchet P.C.	Partner	2009	10/18/2010	01/01/2017
Block, Randall W.	Randall W Block P.C.	Partner	1985	07/03/1984	01/04/1991
Bryan, Peter	Peter A. Bryan P.C.	Partner	1998	05/30/2011	01/01/2014
Churchill-Smith, Bruce	Bruce Churchill-Smith P.C.	Counsel	1982	02/13/2006	
Cooper, Ira	Ira Cooper P.C.	Partner	2003	04/07/2014	07/04/2014
Da Costa, Loni	Loni Da Costa P.C.	Partner	2014	06/17/2013	01/01/2018
Dhaliwal, Navdeep	Navdeep Singh Dhaliwal P.C.	Partner	2010	01/02/2019	01/02/2019
Doerksen, Maria	Maria Doerksen P.C.	Partner	1995	06/30/1994	01/01/2001
Doll, Jonathan	Doll P.C., J.L.	Partner	2006	06/27/2005	01/01/2012
Eisenbraun, Richard	Richard E. Eisenbraun P.C.	Partner	2009	06/23/2008	01/01/2016
Epp, Matthew	Matthew J. Epp P.C.	Partner	1996	11/01/2016	11/01/2016
Goldbach, Laurie	Laurie A. Goldbach P.C.	Partner	2000	10/02/2017	02/10/2017
Golding, Nancy	Nancy Golding P.C.	Partner	1984	01/05/1998	01/01/2001
Guinan, William C.	William C Guinan P.C.	Partner	1983	04/01/1984	01/04/1989
Gurofsky, Robyn	Robyn Gurofsky P.C.	Partner	2008	06/25/2007	01/01/2014
Heinsen, Patrick	Patrick J. Heinsen P.C.	Partner	2001	03/01/2014	01/03/2014
Holmes, Lindsay J.	Lindsay J Holmes P.C.	Partner	1980	05/16/2005	05/16/2005
Howg, Jason	Jason Howg P.C.	Partner	2000	01/01/2001	01/01/2006
Jacobson, Clay	Clay Jacobson P.C.	Partner	2005	02/25/2014	01/01/2017
Jin, Xiaodi	Xiaodi Jin P.C.	Partner	2012	02/28/2017	01/01/2019
Jones, Joel	Joel B. Jones P.C.	Partner	2007	06/26/2006	01/01/2013
Keyes, Kevin	Kevin W. Keyes P.C.	Partner	1984	08/26/2013	08/26/2013
Kruger, Josef	Josef Kruger P.C.	Partner	1999	09/01/1998	01/01/2001
Kwinter, Larry M.	Larry M Kwinter P.C.	Counsel	1981	05/01/1988	
Lawrence, Bruce A.	Bruce A Lawrence P.C.	Partner	1983	05/16/2005	05/16/2005
Lee, Louise	Lee P.C., Louise K.	Partner	2006	06/27/2005	01/01/2012
Lemmens, Matti	Matti Lemmens P.C.	Partner	2010	07/29/2010	01/01/2017
Lidster, Terence G.	Terence G Lidster P.C.	Counsel	1974	15/28/1990	
Liteplo, Jonathan	Jonathan M. Liteplo P.C.	Partner	1993	01/02/2019	01/02/2019
Maciag, Andrew K.	Andrew K Maciag P.C.	Partner	1981	04/01/1987	01/04/1989
Madsen, David T.	David Madsen P.C.	Partner	1988	05/25/1987	01/04/1995
Mah, Patrick	Patrick Mah P.C.	Partner	2011	03/04/2017	01/01/2019
Marion, Michael A.	Michael A Marion P.C.	Partner	1999	04/12/1999	01/01/2005
Marsden, Duncan	Marsden P.C., D.J.	Partner	2009	05/26/2008	01/01/2012
McCarthy, Patrick T.	Patrick T McCarthy P.C.	Counsel	1976	05/01/1988	
McCrank, Neil	McCrank, Neil	Counsel	1980	06/02/2008	
McLellan, Lloyd	Lloyd H McLellan P.C.	Partner	2001	06/05/2000	01/01/2007
McNaughton, Robb	Robb McNaughton P.C.	Partner	2005	07/02/2013	07/03/2013
Milani, Kathy L.	Kathy L Milani P.C.	Partner	1983	04/01/1987	01/04/1990
Mitchell, Douglas	Douglas H Mitchell P.C.	Counsel	1963	05/01/1988	
Morrison, Patricia L.	Patricia L Morrison P.C.	Partner	1998	06/16/1997	01/01/2006

## CLLAS APPENDIX B

## Section F - List of Lawyers who are not Employees

CALGARY OFFICE					
Lawyer Name	Prof Corp Name	Status	Year of Call	Date Joined BLG m/d/yr	Date Became Partner m/d/yy
Nuttall, Evan	Evan Nuttall P.C.	Partner	2007	12/05/2005	01/01/2010
Park, Melinda	Melinda Park P.C.	Partner	1992	01/20/1993	01/07/2002
Pearson, Steven G.	Steven G. Pearson P.C.	Partner	2000	06/05/2000	01/01/2007
Perkins, Michael J.	Michael J. Perkins, P.C.	Partner	1981	01/07/2002	01/07/2002
Pierce, Brad J.	Brad J Pierce P.C.	Counsel	1985	06/11/1984	
Pittman, Miles	Miles F. Pittman P.C.	Partner	1994	11/27/2015	11/27/2015
Poirier, Jonathan	Jonathan B. Poirier P.C.	Partner	2010	02/22/2010	01/01/2015
Pomerance, Barrie	Barrie F. Pomerance P.C.	Counsel	1978	02/24/2014	
Portas, Brian D.	Brian D Portas P.C.	Partner	2001	07/24/2000	01/01/2009
Reimer-Heck, Beth	Reimer-Heck, Beth	Counsel	1989	01/19/2009	
Robson, Laurie M.	Laurie Robson P.C.	Partner	1996	01/05/1998	01/01/2002
Ross, Alan	Alan Lee Ross P.C.	Partner	1995	01/05/2015	05/01/2015
Ryan, Cory	Cory H.D. Ryan PC	Partner	2008	04/13/2010	01/01/2015
Sali, Len	Len Sali P.C.	Counsel	1973	04/19/2012	
Saliken, Michael	Michael Saliken P.C.	Partner	2009	06/23/2008	01/01/2018
Salmon, Karen A.	Karen A Salmon P.C.	Partner	1995	04/24/1995	01/01/2006
Sears, Dan	Daniel E. Sears P.C.	Partner	1999	03/04/2014	03/04/2014
Smith, Melissa	Smith P.C., Melissa M.	Partner	2005	06/28/2004	01/01/2012
Smith, Rodney	Rodney A. Smith P.C.	Partner	2009	05/06/2015	01/01/2017
Spetz, Ruth M.	Ruth M Spetz P.C.	Partner	1986	03/10/1997	01/01/1999
Stemp, Robert C	Robert Stemp P.C.	Counsel	1980	03/01/2007	
Taylor, Paul	Paul S. Taylor P.C.	Partner	2011	10/27/2014	01/01/2018
Thompson, Chidinma	Chidinma B. Thompson P.C.	Partner	2010	07/02/2010	01/01/2017
Tosto, Francesco	Francesco Tosto P.C.	Partner	1997	01/05/2004	01/01/2006
Vallis, Jeffrey D.	Jeffrey D Vallis P.C.	Partner	1982	06/01/1981	01/04/1988
Webb, Timothy	Timothy Webb P.C.	Partner	2001	11/01/2004	01/01/2013
Whelan, David C.	David C Whelan P.C.	Partner	1984	07/04/1983	01/04/1990
Wilson, M. Scott	M. Scott Wilson P.C.	Partner	1989	04/05/1994	01/01/1997
Wilson, Steve W.	Steve W Wilson P.C.	Counsel	1982	06/15/1981	
Wood, David	David Owen Wood P.C.	Partner	2009	06/23/2008	01/01/2019
Woodhead, Bill	William Woodhead P.C.	Partner	2015	07/06/2015	01/01/2019
Wooldridge, Edward	Edward A. Wooldridge P.C.	Counsel	1999	02/24/2014	

	Borden Ladner Gervais LLP					
	CLLAS APPENDIX B					
	Section F - List of Lawyers who are not Employees					
	OFFICE - Montreal					
#	Lawyer Name	Prof Corp Name	Status	Year of Call	Year Joined BLG	Year Became Partner
1	Alberga, Donald	Don Alberga Legal Services Inc	Income Partner	2001	2016	2016
2	Bianchini, Kevin	Kevin Bianchini Legal Services	Income Partner	2011	2010	2018
3	Bolger, P. Jeremy	Jeremy Bolger Legal Services Inc.	Senior Counsel	1979	1979	1987
4	Bouvette, Sylvie	Services Légaux Sylvie Bouvette	Equity Partner	1986	1986	1995
5	De Zordo, Alexander	Services Juridiques Alexander De Zordo Inc.	Equity Partner	1993	2002	2004
6	Dionne, Pascale	Pascale Dionne Legal Services Inc.	Equity Partner	1996	2016	2016
7	Duchesne, Marc	Services Légaux Marc Duchesne	Equity Partner	1981	2001	2001
8	Dufour, André	Services Légaux André Dufour	Equity Partner	1985	1985	1993
9	Faribault, Christian	Services Legaux Christian Faribault	Equity Partner	1999	2007	2011
10	Frenette, Vincent	Services Legaux Vincent Frenette	Equity Partner	1996	2002	2007
11	Godber, H. John	Services Légaux John Godber Inc.	Equity Partner	1989	1989	1998
12	Houle, Yvan	Yvan Houle Legal Services Inc.	Equity Partner	1989	1989	1998
13	Marquette, Charles P.	Marquette Legal Services Inc.	Equity Partner	1983	1983	1992
14	McGuire, Darren	Darren McGuire Legal Services Inc.	Equity Partner	1992	1991	2000
15	Murphy, John G.	Services Légaux John Murphy Inc.	Equity Partner	1990	1990	1998
16	Royer, André	Andre Royer Legal Services	Equity Partner	1988	1990	2000
17	Tomicic, Ryan	Ryan Tomicic Legal Services Inc.	Income Partner	2011	2011	2018



	<b>Borden Ladner Gervais LLP</b>					
	<b>CLLAS APPENDIX B</b>			<b>Revised: as of March 1, 2019</b>		
	<b>Section F - List of Lawyers who are not employees</b>					
	<b>OFFICE - Toronto</b>					
					<b>Year</b>	<b>Year</b>
					<b>Joined</b>	<b>Became</b>
<b>#</b>	<b>Lawyer Name</b>	<b>Prof Corp Name</b>	<b>Status</b>	<b>Year of Call</b>	<b>BLG</b>	<b>Partner</b>
1	Bhattacharjee, Subrata	Subrata Bhattacharjee Professional Corporation	EP	1995	2014	2014
2	Blumenfeld, Aaron	Aaron Blumenfeld Professional Corporation	EP	1995	1995	2001
3	Bray, Catherine	Catherine Bray Professional Corporation	EP	1985	1986	1992
4	Bredt, Chris	Christopher Bredt Professional Corporation	EP	1984	1984	1988
5	Callaghan, Francis	Frank Callaghan Professional Corporation	EP	1982	1982	1988
6	Clark, Cindy	Cynthia D. Clarke Professional Corporation	EP	1999	1999	2005
7	Derk, Carol	Carol Derk Professional Corporation	EP	1986	1986	
8	Douglas, Jim	James D. G. Douglas Professional Corporation	EP	1981	1988	1988
9	Fecenko, Mark	Mark Fecenko Professional Corporation	EP	1989	2003	2003
10	Fowler, Bruce	Bruce Fowler Professional Corporation	EP	1983	1988	1990
11	Gosnell, Sean	Sean Gosnell Professional Corporation	EP	1984	1984	1991
12	Harrison, Andrew	Andrew Harrison Professional Corporation	EP	1989	1989	1995
13	Kirkpatrick, Kathryn	Kathryn Kirkpatrick Professional Corporation	PA	2002	2002	2009
14	Kramer, Gabrielle	Gabrielle Kramer Professional Corporation	EP	1992	1992	1999
15	MacFarlane, Alex	A. MacFarlane Professional Corporation	PA	1988	2016	2016
16	MacLellan, James	James MacLellan Professional Corporation	EP	1995	1995	2001
17	McGivney, Kevin	Kevin McGivney Professional Corporation	EP	1991	1991	1997
18	Miklaucic, Natasha	Natasha Miklaucic Professional Corporation	EP	1998	2005	2008
19	Milne, Noella	Noella Milne Professional Corporation	EP	1988	1988	1994
20	Mingay, Paul	Paul A. D. Mingay Professional Corporation	EP	1981	1988	1988
21	Morrow, Rosalind	Rosalind Morrow Professional Corporation	EP	1984	1984	1990
22	Nishisato, Ira	I. Nishisato Professional Corporation	EP	1995	1999	2004
23	Page, Alfred	Alfred Page Professional Corporation	EP	1981	1981	1987
24	Paglia, Laura	Laura Paglia Professional Corporation	EP	1997	2014	2014
25	Pallotta, Christine	Christine M. Pallotta Professional Corporation	PA	1997	2011	2011
26	Prince, Victoria	Victoria Prince Professional Corporation	EP	1987	1996	2000
27	Pundsack, Scott	Scott Pundsack Professional Corporation	SCO	1997	2011	2011
28	Rodger, J Mark	Jonathan Rodger Professional Corporation	EP	1990	1997	2001
29	Shaban, Richard	R.H. Shaban Professional Corporation	EP	1985	1985	1991
30	Silverman, Howard	Howard S. Silverman Professional Corporation	EP	1991	1991	
31	Solhi, Bobby	Bobby B Solhi Professional Corporation	PA	2009	2018	2018
32	Spagnolo, Donna	Domenica Spagnolo Professional Corporation	EP	1998	1998	2004
33	Waque, Stephen	Stephen Waque Professional Corporation	SCO	1979	1979	1985
34	Weir, Sean	Sean Weir Professional Corporation	EP	1981	1981	1987
35	Wiebe, Justine	Justine Wiebe Professional Corporation	EP	1994	2011	2011
36	Wong, Brendan	Brendan Wong Professional Corporation	EP	2005	2005	2012
		36				

Borden Ladner Gervais LLP					
CLLAS APPENDIX B					
Section F - List of Lawyers who are not Employees					
OFFICE - VANCOUVER					
Lawyer Name	Prof Corp Name	Status	Year of Call	Year Joined DLG	Year Became Partner
Andersen, Kendall	Kendall Andersen Law Corporation	L	2001	2001	2007
Archer, Jennifer	Jennifer A. Archer Law Corporation	L	2005	2004	2018
Beukman, Leon	Leon Beukman Law Corporation	L	2002	2006	2010
Bird, Donald G.	Donald G. Bird Law Corporation	L	1988	1987	1996
Brooks, Jason J.	Jason J. Brooks Law Corporation	L	1996	1995	2001
Chiasson, Craig	Craig R. Chiasson Law Corporation	L	2002	2011	2016
Dawkins, Robert	Robert Dawkins Law Corporation	L	2002	2004	2008
Deane, Robert J.	Robert J.C. Deane Law Corporation	L	2000	1999	2006
Dineley, Luke	Luke Dineley Law Corporation	L	2009	2008	2016
Dixon, Brad W.	Brad W. Dixon Law Corporation	L	1990	1989	1997
Doherty, G. Eric	Eric Doherty Law Corporation	L	1998	1997	2004
Eagles, Christopher	Chris Eagles Law Corporation	L	2001	2000	2008
Foord, Allison	AK Foord Law Corporation	L	2002	2001	2009
Foy, Patrick G.	Patrick G Foy Law Corporation	L	1978	1977	1983
Freedman, Bradley J.	Bradley J. Freedman Law Corporation	L	1987	1990	1994
Glowacki, Peter	Glowacki	L	2013	2013	2019
Graf, Ian E.	Ian E. Graf Law Corporation	L	2011	2018	2018
Hiebert, Lisa	Lisa Hiebert Law Corporation	L	2009	2014	2017
Kerwin, M. Scott	Scott Kerwin Law Corporation	L	2001	2001	2008
Kufeldt, Kent D.	Kent D. Kufeldt Law Corporation	L	1989	2011	2011
Lakatos, Serge	Serge Lakatos Law Corporation	L	2005	2004	2011
Learmonth, Warren B.	Warren Learmonth Law Corporation	L	1996	1995	2001
Longcroft, David C.	David C. Longcroft Law Corporation	L	1989	1988	1997
Maniago, Michelle T.	Michelle Maniago Law Corporation	L	2008	2008	2015
Mayovsky, Grant	Grant Mayovsky Law Corporation	L	2001	1999	2008
McEachern, Sarah K.	Sarkat Law Corporation	L	2008	2007	2015
McGowan, D. Ross	D. Ross McGowan Law Corporation	L	1988	1987	1996
Miachika, David	David Miachika Law Corporation	L	1989	1988	1996
Morphy, Randy	Morphy Law Corporation	L	2005	2005	2011
Muggah, Sean A.	Sean Muggah Law Corporation	L	1998	1998	2007
Mydske, David L.	David P.L. Mydske Law Corporation	L	1977	1976	1982
Orchard, Vincent R.	Vincent R. Orchard Law Corporation	AC*	1976	1976	1981
Owen, Robert G.	Robert G. Owen Law Corporation	L	1994	1993	2000
Pletcher, Fred R.	Fred R. Pletcher Law Corporation	L	1993	1992	1998
Rebane, Blair A.	Blair Rebane Law Corporation	L	1995	2000	2003
Robertson, Stephen	Stephen P. Robertson Law Corporation	L	2008	2007	2018
Rossi, Dionysios	Mount Olympus Law Corporation	L	2007	2006	2013
Sanders, Doug R.	Doug R. Sanders Law Corporation	L	1990	1999	2001
Shouldice, Robert R.	Robert R. Shouldice Law Corporation	L	1983	1988	1990
Skene, Michael A.	MAS Law Corporation	L	1993	1992	2000
Swanson, Matthew	Matthew G. Swanson Law Corporation	L	2008	2007	2014
Thorn, Brian W.	Brian W. Thorn Law Corporation	L	1982	1981	1988
Verbrugge, Magnus C.	Magnus C. Verbrugge Law Corporation	L	1988	1987	2004
Walker, Graham	Phillimore Point Law Corporation	L	1994	1993	2000
Wang, Edward J.T.	JS Scholar Law Corporation	L	2010	2009	2016
Warnett, Steve T.	Stephen Warnett Law Corporation	L	2002	2002	2008
Williams, Rick	Richard Williams Law Corporation	L	2000	1999	2006
Wong, Peter	Petwong Law Corporation	L	1986	2008	2008

APPENDIX C

ACTIVE NON-LAWYER COUNSELLANTS OF THE FIRM AS OF MARCH 1, 2019  
(Excluding Patent & Trademark Agents)

Name of Firm: Borden Ladner Gervais LLP

**SECTION A**

Professional Service Provided or Type of Profession	# Person in Same Profession	Location (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance <sup>/1</sup>	% of Time Docketed <sup>/2</sup>
Bev Gilbert, C.A.	1	AB	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

**SECTION B**

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure:	Type of Exposure:
Insurance Carrier:	Insurance Carrier:
Policy Number:	Policy Number:
Period of Insurance:	Period of Insurance:
Retroactive Date:	Retroactive Date:
Limits: \$ _____ per claim, \$ _____ aggregate	Limits: \$ _____ per claim, \$ _____ aggregate

<sup>/1</sup> If underlying insurance is purchased, please complete Section B.

<sup>/2</sup> PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

APPENDIX C  
ACTIVE NON-LAWYER CONSULTANTS OF THE FIRM AS OF MARCH 1, 2019  
(Excluding Patent & Trademark Agents)

Name of Firm: Borden Ladner Gervais LLP

**SECTION A**

Professional Service Provided or Type of Profession	# Person in Same Profession	Location (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance <sup>/1</sup>	% of Time Docketed <sup>/2</sup>
Translators	12	QC	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Notaries	2	QC	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

**SECTION B**

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure:	Professional Liability	Type of Exposure:
Insurance Carrier:	Fonds d'Assurances Responsabilité de la Chambre des Notaires	Insurance Carrier:
Policy Number:		Policy Number:
Period of Insurance:		Period of Insurance:
Retroactive Date:		Retroactive Date:
Limits:	\$ 2,000,000.00 per claim, \$ 4,000,000.00 aggregate	Limits: \$ _____ per claim, \$ _____ aggregate

<sup>/1</sup> If underlying insurance is purchased, please complete Section B.

<sup>/2</sup> PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

**APPENDIX C**

**ACTIVE NON-LAWYER CONSULTANTS OF THE FIRM AS OF MARCH 1, 2019**  
(Excluding Patent & Trademark Agents)

Name of Firm: Borden Ladner Gervais LLP

**SECTION A**

Professional Service Provided or Type of Profession	# Person in Same Profession	Location (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance <sup>/1</sup>	% of Time Docketed <sup>/2</sup>
Land Use Planner	1	ON	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Utility Rate Consultant	1	ON	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

**SECTION B**

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure:	CGL	Type of Exposure:	
Insurance Carrier:	Chubb	Insurance Carrier:	
Policy Number:	35811522	Policy Number:	
Period of Insurance:	Oct 1, 2018 to Oct 1, 2019	Period of Insurance:	
Retroactive Date:		Retroactive Date:	
Limits:	\$ 2M per claim, \$ 20M general aggregate	Limits:	\$ per claim, \$ aggregate

<sup>/1</sup> If underlying insurance is purchased, please complete Section B.

<sup>/2</sup> PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

Borden Ladner Gervais LLP

At March 20/19

CLLAS APPENDIX B

Section C - List of Non Lawyer Consultants

CALGARY OFFICE

Lawyer Name	Status	Year of Call	Date Joined BLG	Year Became Partner
Gilbert, Beverly	C.A.	-	09/01/2004	

[illegible]

	Borden Ladner Gervais LLP				
	CLLAS APPENDIX B		Revised: as of March 1, 2019		
	Section C - List of Non-Lawyer Consultants				
	OFFICE - Toronto				
				Year	Year
				Joined	Became
#	Lawyer Name	Status	Year of Call	BLG	Partner
1	Bacon, Bruce	Senior Utility Rate Consultant		2007	
2	Shipowick, Adam	Land Use Planner		2017	



APPENDIX D  
"ASSOCIATED FIRMS" AND "UMBRELLA FIRMS"

---

Name of Firm: Borden Ladner Gervais LLP

Attached

## APPENDIX D

### “ASSOCIATED FIRMS” AND “UMBRELLA FIRMS”

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Name of Firm: Borden Ladner Gervais LLP

- The Named Insured under the CLLAS policies is Borden Ladner Gervais LLP with (i) Borden & Elliot International and (ii) Borden DuMoulin Howard Gervais, a partnership of Borden & Elliot, Russell & DuMoulin, Howard Mackie, McMaster Gervais and George Cihra, which was operated in London, England being added as additional Named Insureds.
- On June 15, 1999, Borden & Elliot merged with Scott & Ayles of Ottawa. They were known as Borden Elliot Scott & Ayles in Ottawa and Borden & Elliot in Toronto.
- On March 1, 2000, Borden & Elliot merged with the firms Ladner Downs of Vancouver, Howard Mackie of Calgary, Borden Elliot Scott & Ayles of Ottawa and McMaster Gervais of Montreal and practised under the name of Borden Ladner Gervais LLP.
- On July 1, 2002, Borden Ladner Gervais LLP merged with Armstrong Perkins Hudson of Calgary and continues to practise under the same name.
- On January 1, 2008, Shortt, Hanbidge, Richardson & Welch merged with Borden Ladner Gervais LLP and continues to practise under the same name.
- The Firm now has offices in Toronto, Ottawa, Vancouver, Calgary and Montreal.
- Borden & Elliot had established a national association with Russell & DuMoulin of Vancouver, Howard Mackie and McMaster Gervais known as Borden DuMoulin Howard Gervais. The association was terminated on March 1, 2000.
- There were two associated partnerships operating in London, England:
  - Borden DuMoulin Howard Gervais which was a partnership of the four Canadian firms and George Cihra, counsel to the Firm. This partnership was dissolved but it is covered under the CLLAS policies for acts prior to March 2001.
  - Borden & Elliot International which was a partnership involving Borden & Elliot and George Cihra. Although this partnership was terminated in March 2001, it is covered under the CLLAS policies for acts prior to March 2001.
- Ladner Downs had established an international partnership with Osler, Hoskin & Harcourt of Toronto and Ogilvy Renault of Montreal which was known as Osler Renault Ladner. Ladner Downs withdrew from the partnership on December 31, 1993.
- There is an endorsement on the policy in respect of Borden DuMoulin Howard Gervais, Borden Elliot Scott & Ayles and Osler Renault Ladner to cover possible claims relating to the period during which the partnerships were in operation.
- Coverage is also provided to the predecessor firms of Shortt, Hanbidge, Richardson & Welch namely Shortt, Hanbidge, Snider, Richardson & Welch and Shortt, Hanbidge & Snider.

## APPENDIX E

### PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: Borden Ladner Gervais LLP

#### 1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law

#### 2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time in the U.S. Office

#### 3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law

#### 4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ annual aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ annual aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ annual aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ annual aggregate

APPENDIX F

SCHEDULE OF CLAIMS AND NOTICES AS OF DECEMBER 31, 2018

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Name of Firm: Borden Ladner Gervais LLP

Files attached separately

Appendix F - Claims (Toronto).pdf  
Appendix F - Claims (Vancouver).pdf  
Appendix F - Claims (Calgary).pdf  
Appendix F - Claims (Ottawa).pdf

## CLAIMS SCHEDULE F

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)



BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLLAS CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
December 21, 2011	2012-061	Lawrence Orubor, Orubor Technologies Inc. (Jason Howg, Tim Webb, David Wood)		\$0	<p>Past client was put into collections. When approached regarding collections, client alleged:</p> <ul style="list-style-type: none"> <li>- mishandling of trust funds</li> <li>- negligence in work done</li> <li>- double billing</li> <li>- poor work</li> <li>- failure to respond to past concerns</li> </ul> <p>No further word from client since December 1, 2011.</p> <p>Client filed Statement of Claim. (JLH, March 2012)</p> <p>Client filed Amended Statement of Claim March 18, 2013. Defence filed. Affidavits of Records being prepared. (JLH, March 2014)</p> <p>Affidavit of Records filed. (JLH, March 2015)</p> <p>Questioning of one defence witness commenced but not yet completed. (JLH, March 2016)</p> <p>No changes; (JLH, March 2017)</p> <p>June 2017 – Counsel (Halt, Edward) advised instructions received to pursue a security for costs application against the claimants (Lawrence Orubor and Orubor Technologies Inc.; March 2018 Counsel (Halt, Edward) is trying to proceed with the security for costs application on March 28, 2018 but opposing counsel is seeking an adjournment; We have receive some preliminary undertakings with respect to the Michael Whitt discovery which we are responding to. (JLH, March 2018)</p> <p>The security for costs application was further postponed; Examination of M. Whitt continued (JLH – March 2019)</p>	OPEN

## CLAIMS SCHEDULE F

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
Sept 9, 2015	2016-023	CareVest Capital Inc. (David Madsen)		\$1,500,000	<p>We represent CareVest Capital Inc. ("CareVest"). CareVest held a mortgage over a 50% undivided interest in land owned by its borrower. The title to those lands was held in the name of the borrower as to a 50% interest and in the name of several others as to the other 50%, but there was only one title. As part of a larger series of foreclosures, CareVest foreclosed on this interest and took an Order for Sale to Plaintiff of that 50% interest for the appraised value of \$77 million.</p> <p>After CareVest's mortgage was registered, but before the Order for Sale to Plaintiff was obtained, Weinrich Contracting Ltd. ("Weinrich") commenced a claim against the borrower and the other owners of the lands, claiming an interest in those lands pursuant to an agreement, and registered a CLP on title. That claim was for an interest in the lands equal to approximately \$1.6 million of work that had been expended on the property by Weinrich.</p> <p>There had been discussions in November 2014 with counsel for Weinrich (Kevin Chapotelle at Bryan &amp; Co. in Edmonton) with Robyn Gurofsky of our office such that Mr. Chapotelle wanted to ensure that his client's CLP did not get foreclosed off all of the lands, only the 50% interest charged by CareVest's mortgage. He and Ms. Gurofsky agreed to a form of Order which would split the titles and leave the CLP only on the portion not being transferred to CareVest. Ms. Gurofsky was assisting in this foreclosure. While I did receive an email that provided the agreed upon language for the Order, I did not see it or it did not register with me. I handled the Court application at which the Order for Sale to Plaintiff was granted and my solution was to obtain the Order for Sale to Plaintiff, but leave the CLP on the whole title on the understanding that the CLP would thereafter only attach the lands not being foreclosed on.</p> <p>CareVest took title and the CLP remained on title. CareVest now has a purchaser and the purchaser wants the CLP off title. Counsel for Weinrich (will not agree to remove the CLP. His argument is that while he did agree that the CLP could come off the 50% interest charged by CareVest at the time of taking the Order for Sale to Plaintiff, now, through an error or omission on my part, (ie. not putting specific language in the Order or not splitting the titles) the CLP creates an interest in the lands which is free and clear of CareVest's mortgage.</p> <p>CareVest would like us to apply to amend the Order for Sale to Plaintiff or to seek an Order that can be registered on title confirming that the CLP does not attach the interest of CareVest or its assigns.</p> <p>The sale is now scheduled to close November 15.</p> <p>An application was heard February 19, 2016 to discharge the CLP. The decision has been reserved. If the application is successful it is expected the purchaser will still close and our damages or possible damages would be limited to interest and any change in purchase price. (DTM – March 2016)</p>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					No changes (DTM – March 2017)  Awaiting decision of Justice Nixon re: application to discharge CLP. Statement of Claim issued by the claimants on May 19, 2017. (DTM – March 2018)  Action is proceeding; Defence to be filed as to quantum of damages only. (DTM – March 2019)	



SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
Dec 1, 2015	2016-058	Dunlop, David and Roxanne (Jennifer Lamb)		\$10,000 to \$100,000	<p>This matter was scheduled to proceed to a 7 day trial on November 30. On November 2, I received the client's instructions to make a Formal Order for the all-inclusive amount of \$120,000.00 (confirmed in an email). That offer went out but a few hours later, the clients changed their minds. I didn't think the offer had gone out (my assistant sent it) so I did not know at the time I responded to them that it went out. I immediately retracted the offer over the phone but not in writing. Trial preparations continued.</p> <p>On November 18, 2015 the Defendant accepted the Formal Offer. After a review of this matter, I realized my error regarding the retraction. It should have been in writing as per Rule 4.25 of the <i>Rules of Court</i>.</p> <p>I immediately advised the clients of this over the telephone. The clients agreed with me that our only option was to attend Court on November 19, 2015 (a Pretrial conference had been scheduled) and have the Judgment entered confirming acceptance of the Formal Offer. The Judgment was for \$120,000.00, which is the entire amount of their damages but exclusive of interest and costs.</p> <p>It is important to note that earlier in the day on November 18; the client had asked me to propose a Consent Judgment of \$171,000.00 which comprised their damages, plus interest and disbursements. However, they instructed me to make an argument for solicitor-client costs, which I told them repeatedly we would not likely be successful on.</p> <p>A key fact in all of this is that the Defendant has made repeated representations that it is insolvent and has no more than \$50,000.00 to satisfy our client's claim. It is already operating under the name of a new corporate entity.</p> <p>On November 19, 2015 our office received a cheque payable to our clients in the sum of \$25,000.00 from the Defendant as partial payment towards the Judgment. We forwarded this to the clients. On November 24, 2015 the client advised me over the telephone that it is best that I no longer act for them. I sent them a final reporting letter and advised that we would send along our final Statement of Account shortly.</p> <p>Received correspondence confirming the clients (Dunlops) have obtained counsel – Beamer, Comfort at Bennett Jones; no other changes to report. (JL, March 2016)</p> <p>No changes. (JDV – March 2017)</p>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>Civil Claim issued by the claimants on March 30, 2017; Pre-trial conference on January 3, 2018 when counsel will be providing the producible records for circulation to the unrepresented Plaintiff. Mr. Dunlop has now brought a motion seeking further document discovery, which application will be opposed. (JDV – March 2018)</p> <p>Settlement reached between parties; awaiting mutual releases (JDV – March 2019)</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
Feb 10, 2016	2016-088	The Guarantee Company of North America  (Patricia L. Morrison)		\$2,161,452	<p>The client for this potential claim is The Guarantee Company of North America ("GCNA"), which issued bonds to Carco Pipeline for a construction project. Pursuant to the agreement to provide the bonds, GCNA obtained security from Carco Pipeline, in the event that amounts were paid out under the bonds. The security included a General Security Agreement and personal indemnitees from two individuals, Frank Wilson and Carl Moffat.</p> <p>Court of Queen's Bench Action No. 1001-10554 was commenced on July 16, 2010 against Carco Pipeline, Mr. Wilson and Mr. Moffat for damages for amounts paid out in relation to claims made under the bonds. The claim was commenced by Mr. Shane McGurk, a former partner of Borden Ladner Gervais LLP. Mr. McGurk resigned from Borden Ladner Gervais on December 17, 2010.</p> <p>On February 16, 2011, all three parties were noted in default for failure to file a Statement of Defence. On February 14, 2014, Default Judgment was entered against all three parties in the amount of \$2,161,452.57.</p> <p>On February 17, 2015, our office was contacted by counsel for Mr. Wilson, who advised that we had exchanged correspondence with Mr. McGurk and that, in December, 2010, Mr. McGurk had agreed to take no steps against Mr. Wilson without first providing reasonable notice. The December 2010 correspondence with Mr. Wilson's counsel was not placed on the file at Borden Ladner Gervais LLP.</p> <p>A Consent Order was entered on April 7, 2015 setting aside the Noting in Default and Default Judgment as against only Mr. Wilson, but not as against Mr. Moffat or Carco Pipeline.</p> <p>On July 13, 2015, counsel for Mr. Wilson served Borden Ladner Gervais LLP with an application to dismiss the action against Mr. Wilson for long delay pursuant to Rule 4.33 or dismissing the action pursuant to Rule 4.31. The application is scheduled to be heard on March 3, 2016.</p> <p>The application was heard on March 3, 2016 and was successfully opposed. The appeal period will run from 10 days after the form of Order is filed and served. (PLM, March 2016)</p> <p>Mr. Wilson appealed the Order; the appeal was scheduled for January 13, 2017 but was adjourned due to illness; the appeal is being rescheduled to April 2018 due to Court availability. (PLM - March 2017)</p> <p>Appeal scheduled for June 6, 2018 (PLM - March 2018)</p> <p>Matter is settled. Payments are being made to our client and we have a signed Consent Judgment on file; no claim against BLG was ever initiated. (PLM – March 2019)</p>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
July 14, 2016	2017-004	Wael Maged Badawy  (Frank Tosto)		\$1,000,000 - \$1,500,000	<p>Borden Ladner Gervais LLP ("BLG") was retained by IntelliView Technologies Inc. ("IntelliView") to commence an action against its former director and current shareholder Wael Badawy. Mr. Badawy is an intelligent (PhD in engineering) self-represented litigant who is very litigious. The causes of action against Mr. Badawy include breach of fiduciary duties, fraudulent and negligent misrepresentations, conversion and interference with economic interests and unjust enrichment. The Statement of Claim was issued on June 14, 2016. On June 15, 2016, BLG on behalf of IntelliView, obtained an interim interlocutory <i>ex parte</i> injunction against Mr. Badawy (the "Injunction Order") requiring Mr. Badawy to, among other things: i) provide IntelliView with the user name and password for the intelliview.ca domain, website and email hosting account or accounts and IntelliView's social media accounts, including but not limited to, its Twitter and LinkedIn accounts; and ii) do all things necessary or required to transfer access to or control over the intelliview.ca domain, website and email hosting account or accounts to IntelliView and do all things necessary or required to transfer access to and control over IntelliView's social media accounts, including but not limited to, its Twitter and LinkedIn accounts, to IntelliView. In addition, Mr. Badawy was ordered to not use, sell, assign, lease or deal with in any way whatsoever trademark registrations bearing Nos. TMA 664448, TMA 815829 and TMA 815828. Mr. Badawy was also ordered not to use or access in any way whatsoever the domain intelliview.ca, including its website and email hosting account or accounts.</p> <p>On July 7, 2016, Mr. Badawy caused to be filed a Statement of Defence and a Counterclaim. The Counterclaim identified new parties as Defendants by Counterclaim including "Broden Lander Gervais LLP".</p> <p>By Counterclaim, Mr. Badawy essentially asserts that BLG is acting in conflict of interest and therefore requests that it deliver all material related to IntelliView to a custodian appointed by the Law Society of Alberta. He also seeks an order preventing BLG from acting against the Plaintiff by Counterclaim or any of the shareholders of IntelliView and punitive damages in an unspecified amount against BLG. The relevant paragraphs in the Counterclaim are 33, 34, 51, 121, 122 and 120 (this paragraph appears out of numerical order in the pleading).</p> <p>The Counterclaim discloses no cause of action and should be struck.</p> <p>Statement of Defence filed; Application for Summary Judgment on behalf of BLG scheduled for April 13, 2017; (Tosto March 2017)</p>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>An application for Summary Judgment was heard on April 13, 2017. Master Prowse granted summary judgment and we were awarded costs; Counsel forwarded Mr. Badawy a form of Order and Consent to a bill of costs. Mr. Badawy appealed the Order of Master Prowse. Appeal of the summary dismissal has been put over to a special chambers application scheduled for June 29, 2018. Appeal to be heard by a Judge.</p> <p>Mr. Badawy has also commenced a Federal Court action. Although Mr. Badawy seeks relief against BLG, BLG is not listed as a party defendant. All defendants argued applications to strike the Federal Court Statement of Claim. This motion was heard on March 8, 2018. Madam Justice McVeigh has reserved her decision. (Tosto – March 2018)</p> <p>Mr. Badawy appealed the decision summarily dismissing the action against BLG. The appeal was heard by Madam Justice Hughes on June 29, 2018. She dismissed the appeal with costs payable to BLG. Mr. Badawy has appealed this decision to the Alberta Court of Appeal. Mr. Badawy, however, has been declared a vexatious litigant in the Alberta Courts and his appeal has been stayed. It will likely never be heard. The action against BLG is effectively now at an end.</p> <p>Mr. Badawy continued to make certain assertions against BLG and certain of its lawyers in the Federal Court. He has, however, not listed BLG or any of its lawyers as parties to the Federal Court action. The Federal Court action was struck by Judgment of Madam Justice McVeigh on July 31, 2018. Mr. Badawy has undertaken an appeal of this decision. We are awaiting a hearing date from the Federal Court of Appeal.</p> <p>On March 27, 2019, we are arguing an application to have Mr. Badawy declared a vexatious litigant in the Federal Court system. If he is declared a vexatious litigant and the abovementioned appeal decision has not been released, the Court may stay the appeal.</p> <p>Our client, IntelliView Technologies Inc., made an application in October 2018 to petition Mr. Badawy into bankruptcy. The application was not granted but we were given leave to renew the application in six months. We will be doing so on behalf of IntelliView at the end of April or beginning of May 2019. (Tosto – March 2019)</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
Jan 26, 2017	2017-095	Brian and Barbara Clarke (Barbara Clarke as attorney for Mary Baird)  (Maria Doerksen)		\$500,000 - \$999,999	<p>On November 15, 2012 I received an email from Brian Clarke. Mr. Clarke advised that he and his wife have money invested with a first mortgage broker from Calgary for 8 years and "are very comfortable. Older friends of ours (Didsbury) have been with this broker many years and again are most satisfied. Mortgages must be renewed every six months, and rate of return is 10% paid semi annually. That business is BASE FINANCE and is operated by Mr. Arnold Breitkrutz and his partner Susan (private company). We receive assignment of title (not original as several investors may be involved with one property)...For our satisfaction we would like to further check these companies, but have not found anyone willing to follow some titles, making sure all is well...Is this something you could to, and what sort of cost do you anticipate.</p> <p>On November 21, 2012 I sent an email to Mr. Clarke advising that we can assist with "standard due diligence searches on the corporate (ensure corporation exists, who are the directors, litigation matters, etc.) and can also do title searches on land involved. In the event there are encumbrances registered on title, we can obtain copies of these as well". I quoted a cost of \$300 for the due diligence and report, and advised that land titles searches are \$12/title or encumbrance search.</p> <p>On November 25, 2012 I received another email from Mr. Clarke stating that he would like to go ahead with the project. Mr. Clarke suggested that I call Arnold and Susan and say that I am doing due diligence for a client and have them explain their program. He advised "We would wish to be anonymous." Mr. Clarke also attached a brief explanation sheet written for his children and faxed me a copy of one of the assignments (being and assignment of mortgage interest from Base Finance to Brian or Barbara Clarke).</p> <p>I noted that the assignment provided did not include any legal description, mortgage registration number or any other information through which I could determine a legal description in order to pull title.</p> <p>On January 9, 2013 I called Arnold Breitkreutz. He advised that he provides short term lending (6 months at the longest) for mortgages on residential properties only, based on 75% of land value, charging 10% interest. It is a quick time line – basically bridge financing.</p> <p>On February 6, 2013, I received an email from Mr. Clarke following up on this file.</p> <p>On February 9, 2013, I emailed Mr. Clarke advising that I had talked to Mr. Breitkreutz and have done the due diligence searches. I advised that "Everything checks out as you state. The due diligence searches did not result in anything unexpected".</p> <p>On July 19, 2013 I emailed Mr. Clarke providing a detailed summary of my conversation with Mr.</p>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>Breitkreutz as well as the due diligence search results on Base Finance Ltd. and Base Mortgage &amp; Finance Ltd.</p> <p>On July 22, 2013, I received a further email from Mr. Clarke asking "Understanding Arnold's business model, would it be realistic to inquire if we could be placed as having secured interest in the mortgages?"</p> <p>On August 1, 2013, I emailed Mr. Clarke advising "I have two answers...from a purely legal perspective, it is realistic (and logical) to want to have a secured interest in the mortgage. Every lender (ie. Whether financial institution or private lender) that I work with requires this. However, having phoned Arnold to talk to him about his structure, he was clearly not happy that one of "his investors" was having a lawyer follow up with him...I do not know how Arnold will react when you ask him about having a secured interest...You clearly have a lot more knowledge about and experience with Arnold, and would be better able to judge his reaction."</p> <p>On August 1, 2013 I received a thank-you email from Mr. Clarke.</p> <p>Burstall Ward states that: Brian and Barbara Clarke had \$1.09 million invested and that Mary Baird had \$140,000 invested. They offered to settle for \$640,000.</p> <p>No further update; no changes; (MD – March 2017)</p> <p>Statement of Defence was filed in July 2017. Affidavit of Records deadline for the Plaintiffs passed in November 2017. We were advised on January 25, 2018 that the Plaintiffs' Affidavit of Records is still coming. We have received nothing further yet. (MD – March 2018)</p> <p>In October 2018, we received the Plaintiff's Affidavit of Records. In January 2019, we agreed that Questioning would take place on March 5 and 6, 2019. In February 2019, Plaintiff's counsel asked for Questioning to be postponed to May or June, 2019. No new date has been set yet. (MD – March 2019)</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
Feb 14, 2018	2018-067	Susan Elizabeth Smith and Mary Jo Leslie  (Dennis J. Pelkie)		\$500,000 - \$999,000	<p>This file dealt with Mary Jo Leslie and Susan Smith the daughters of Grace Walla and Grace Walla's estate. The claim is made for steps alleged not to have been taken by Mr. Pelkie during his retainer the result of which caused alleged anguish to Mrs. Smith and Mrs. Leslie and the health of both daughters has allegedly been permanently damaged. The complainants believe BLG needs to be held accountable not only for Mr. Pelkie's "sub-standard efforts and lack of strategy to bring relief to this family...The beneficiaries should never have been exposed to such long period of inept activity". No action has been commenced to date.</p> <p>No changes; (JDV – March 2019)</p>	OPEN



SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
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(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
March 23, 2018	2018-079	Music Seeds International Ltd. et al  (Richard Eisenbraun)			<ol style="list-style-type: none"> <li>1. The client, Music Seeds International Ltd. ("<b>MSI</b>") is an Alberta not-for-profit private company incorporated on October 15, 2012 pursuant to Part 9 of the <i>Companies Act</i> (Alberta).</li> <li>2. MSI is a registered charity, CRA Charity registration no. 783622681 RR0001</li> <li>3. Due to anticipated changes to the board of directors of MSI, MSI would no longer meet the minimum number of Alberta-resident directors set out by Part 9 of the <i>Companies Act</i> (Alberta). Borden Ladner Gervais LLP ("<b>BLG</b>") was retained on a <i>pro bono</i> basis to assist with this issue, and advised that MSI would need to migrate out of the jurisdiction and continue under the <i>Canada Not-for-profit Corporations Act</i> ("<b>CNCA</b>"). However, as this is not permitted under Part 9 of the <i>Companies Act</i> (Alberta), BLG recommended the following plan: <ol style="list-style-type: none"> <li>a. MSI would cause a new corporation to be incorporated under the CNCA, which would have the same (or substantially the same) charitable purposes as MSI;</li> <li>b. The new corporation would apply for charitable registration with the Canada Revenue Agency ("<b>CRA</b>") so as to become a "qualified donee" for the purposes of the <i>Income Tax Act</i> (Canada);</li> <li>c. Once registration has been granted to the new company, MSI would gift all of its property to the new corporation, a qualified donee;</li> <li>d. MSI would voluntarily dissolve and cease to exist.</li> </ol> </li> <li>4. The necessary documentation was prepared by Ms. Monah Gichuyia, a junior associate at BLG, under the supervision of Mr. Richard E. Eisenbraun, a partner at BLG.</li> <li>5. On or about November 22, 2017, Music Seeds International Inc. ("<b>Newco</b>") was incorporated under the CNCA. An application for charitable registration was sent to the CRA in respect of Newco on December 4, 2017.</li> <li>6. In the interim, documents were prepared and signed to effect the dissolution of MSI. It was intended that these documents would be held in escrow and dated, released and filed only after Newco received notice of its successful registration as a charity, and the property of MSI was transferred to Newco.</li> <li>7. Due to a misunderstanding by Ms. Gichuyia with respect to the instructions of Mr. Eisenbraun, on January 4, 2018, MSI was voluntarily dissolved and its remaining property distributed. Mr.</li> </ol>	OPEN

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DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>Eisenbraun was away on vacation at the time.</p> <p>8. A registered charity is only permitted to distribute property to “qualified donees”, as that term is defined in the <i>Income Tax Act</i> (Canada). At the time that MSI was dissolved (and as at the date hereof), Newco's application to register a charity remained outstanding. The property was not distributed to qualified donees. As a result, MSI is in violation of its obligations as a registered charity.</p> <p>9. The error was noted by Mr. Eisenbraun after his return from a vacation. In the period that followed, Mr. Eisenbraun and other BLG members began to investigate the process to revive MSI. It was determined that a dissolved Part 9 company can only be revived under the <i>Companies Act</i> (Alberta) by way of a Court Order.</p> <p>10. BLG is prepared to bring the necessary court application to revive MSI and restore the property distributed in error, pending Newco's registration as a charity, at which time, the property would be gifted by MSI to Newco, and MSI can be fully and finally dissolved.</p> <p>October 1, 2018 – Working with various parties towards a resolution; (R. Eisenbraun – March 2019)</p>	

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DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
June 27, 2018	2018-122	The Lorne Charles Webster Spousal Trust by Trustee Royal Trust  (Patrick L. Lindsay)		\$3,000,000	<p>The potential claim relates to the determination of the adjusted cost base ("ACB") of certain shares transferred during a reorganization. Borden Ladner Gervais LLP ("BLG") implemented the transaction based on a step plan including financial and tax information and data provided by Deloitte LLP. The potential claimant, Royal Trust Corporation of Canada, as trustee of the Lorne Charles Webster Spousal Trust, believes that the incorrect ACB was used in completing the reorganization, resulting in approximately \$2,000,000 - \$3,000,000 of adverse tax consequences to the Spousal Trust.</p> <p>Facts:</p> <ol style="list-style-type: none"><li>1. Royal Trust Corporation of Canada ("Royal Trust") is the sole trustee of the Lorne Charles Webster Spousal Trust (the "Spousal Trust"), and the Lorne Charles Webster Protective Trust (the "Protective Trust").</li><li>2. Borden Ladner Gervais LLP ("BLG") has acted as legal counsel for Royal Trust in connection with the Protective Trust, the Spousal Trust, the personal matters of the late Lorne Charles Webster, and Island City Investment Ltd., a corporation under the laws of the Province of Alberta ("ICT").</li><li>3. In or about late 2008 to early 2009, Royal Trust engaged Deloitte LLP ("Deloitte") to identify and analyze options for the winding up of the Protective Trust, and the distribution of its properties to the Spousal Trust and seven trusts established for the benefit of the children of Lorne Charles Webster.</li><li>4. By a letter dated October 6, 2010, Deloitte sent a letter containing a detailed analysis of a plan to facilitate the distribution the assets of the Protective Trust to Mr. Fred Sbrocchi, Senior Trust Advisor at Royal Trust.</li><li>5. Sometime thereafter, in the Fall of 2010, the Calgary office of BLG was retained to implement the transactions contemplated by the October 6, 2010 planning letter from Deloitte (the "Transactions").</li><li>6. In the weeks and months that followed, transaction documents were prepared by BLG Calgary, under the supervision of J. Kevin Scott, then an Associate in the BLG Calgary Tax group.</li><li>7. Due to delays in obtaining valuations and financial information, the Transactions were not completed in 2010 as initially planned. In fact, the Transactions were not finally completed until 2013.</li><li>8. In or about July 2012, and before the transactions could be completed, Mr. Scott resigned from BLG, and Patrick L. Lindsay, then a partner in the BLG Calgary Tax group, assumed responsibility for the matter.</li><li>9. After he assumed carriage of the file, Mr. Lindsay began regular communications with Royal Trust (and in particular, Gary Troup and Jean Korinetz) and Deloitte (and in particular, Faisal Jamal, CA) to discuss the Transactions and steps. Mr. Lindsay provided Royal Trust and Deloitte with drafts of the transaction documents (including on October 19, 2012).</li><li>10. On a number of occasions, Mr. Lindsay noted that updated figures and values would be</li></ol>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>required to finalize the transaction documents. These updated figures were to be provided by Deloitte, as noted on a number of occasions by Mr. Lindsay and Ms. Korinetz, and as acknowledged by Mr. Jamal.</p> <p>11. By a letter dated December 17, 2013, Deloitte provided Royal Trust with an update to the steps to complete the Transactions.</p> <p>12. The transaction documents were completed by BLG in reliance on the steps and figures provided by Deloitte, and executed.</p> <p>13. In or about December 2017, Royal Trust contacted BLG to request copies of BLG's client files in relation to the Transaction. Royal Trust advised that they wished to determine how the adjusted cost base ("ACB") of certain shares of ICI transferred (the "Class C Shares") from the Spousal Trust to a new corporation as part of the Transaction had been determined, as it appeared that the incorrect ACB had been used, resulting in estimated adverse tax consequences for the Spousal Trust of \$2,000,000 to \$3,000,000.</p> <p>14. BLG provided copies of its relevant files to Royal Trust for review.</p> <p>15. On or about June 25, 2018, BLG was advised by Royal Trust that Royal Trust was considering making a claim in their capacity as trustee of the Spousal Trust against BLG in connection with the advice and assistance provided by BLG in respect of the Transactions. Royal Trust advised that:</p> <p>a. Based on their review of the documents in their files, Deloitte had structured the Transactions on the basis that the Class C Shares had an ACB equal to their fair market value;</p> <p>b. In reality, the Class C Shares had nominal ACB; and</p> <p>c. BLG ought to have identified the error in Deloitte's assumptions with respect to the ACB of the Class C Shares.</p> <p>16. BLG continues to represent Royal Trust with respect to other matter, including the occasional preparation of dividend resolutions for Royal Trust and ICI.</p> <p>February 2019 – Renewed Tolling Agreement; (JDV – March 2019)</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
July 12, 2018	2019-001	Prairie Green Renewable Energy Inc. and Anderson & Company  (Robb McNaughton)		\$100,001	My client, Berry Capital Group, sent on September 4, 2017 a request in writing from its new client, Prairie Green Renewable Energy Inc., for me to speak to RBC Royal Bank to confirm that Berry Capital Group is a client of the firm.  RBC Royal Bank refused to process the \$190,000 payment due under a consultancy agreement between Berry Capital Group and Prairie Green Renewable Energy Inc. without this confirmation. I called Stephanie Bull at RBC Royal Bank on September 5 to confirm BLG's relationship with Berry Capital Group.  No changes (R. McNaughton – March 2019)	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
July 16, 2018	2019-002	Front Range Resources Ltd., Deventa Energy Inc.  (Colin Poon)			<p>BLG was asked to prepare drafts of certain tax slips relating to flow-through shares relating to an external flow-through share financing and an internal financing with a subsidiary. The slips for the external financing were completed, but the slips for the internal financing were not completed. The internal slips would have accompanied an additional filing to be made by the subsidiary which would have moved the relevant resource expenditures from the subsidiary to the parent. Having not received the internal slips, the CFO of the client was not reminded of the fact that such forms needed to be filed and the filing was not made in time. The <i>Income Tax Act</i> allows late-filing of these forms where it is just and equitable but a late-filing penalty calculated to \$22,423.25 will apply. Although this matter should also have been caught by the CFO of the relevant corporation, BLG may have failed to properly follow-up and to deliver draft slips which would have reminded the CFO of the requirement to file.</p> <p>Details: Over the course of 2016, Front Range Resources Ltd. ("FRRL") subscribed for flow-through shares of a subsidiary, Deventa Energy Inc. ("Deventa") in order to meet its own commitment to incur qualifying resource expenditures under its own flow-through share financing to third-party investors. BLG assisted with the subscription agreements and was asked to assist with the required tax filings. Initial filings (T100a and T100B forms) were made in respect of the issuance of flow-through shares from FRRL to third parties (the "External Financing") as well as the issuance of flow-through shares from Deventa to FRRL (the Internal Financing").</p> <p>On January 30, 2017 BLG was asked to assist with preparation of T101 slips for the External Financing and the Internal Financing. The purposes of the T101 slips is to notify investors of renunciations – a copy must be sent to the relevant investor and a copy must be retained to be filed along with the renouncing corporation's T101A form in respect of such renunciation. The External Financing was intended to utilize the "look back" rule available in certain circumstances under the <i>Income Tax Act</i>, while the Internal Financing was ineligible for the look-back rule. Accordingly, the slips for the Internal Financing had to be delivered by February 15, 2017 to outside investors. These slips were duly prepared and sent to the client for further handling. The internal slips needed to be filed when the relevant expenditures had been made and renounced by Deventa to FRRL throughout 2016 or 2017. They were not prepared in February 2017 and appropriate follow-up may not have occurred to ensure the client filed the T101A form which would have included such slips. The professionals from BLG involved in the tax-side of the file were Colin Poon, associate, and Richard Eisenbraun, partner.</p> <p>On July 10, 2018 the client notified Colin Poon of BLG to confirm whether certain filings were made. On July 11 BLG's role in the failure to file was identified.</p> <p>The late-filing penalties for the relevant forms have been calculated to be \$22,423.25, which is BLG's probable liability in respect of these matters. In the unlikely event that the CRA does not accept the late-filed forms the liability could be as high as \$4,500,000, pending on the magnitude</p>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					of indemnification claims received by FRRL from external investors.  No changes (C. Poon – March 2019)	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
Sept 7, 2018	2019-022	Victor Benesch  (Michelle Pilz, Sarah Makson, Justine Blanchet)			<p>This potential claim involves issues arising from two separate Provincial Court actions commenced by Mr. Benesch in 2017. Mr. Benesch regularly conflates the two actions so for the purposes of this summary, I have referenced both claims.</p> <p>Mr. Benesch commenced a Civil Claim in the Provincial Court of Alberta, Action No. P1790100184, Judicial District of Calgary, on January 12, 2017, against a number of defendants, including our client, Margaret Argue. The crux of that dispute are allegations against Ms. Argue for knowingly making a false complaint to the police while they were neighbors at a seniors living facility in Edmonton in 2016.</p> <p>Mr. Benesch filed a second Civil Claim in the Provincial Court of Alberta, Action No. P1791004844, Judicial District of Calgary, on December 5, 2017 against the Centre of Addition and Mental Health, a psychiatric facility and hospital located in Toronto (the "CAMH Action"). The allegations in the CAMH Action relate to alleged misdiagnoses while Mr. Benesch was being treated for a variety of serious mental illnesses between approximately 2002 and 2011.</p> <p>Mr. Benesch filed an Application with respect to the CAMH Action on September 4, 2018, returnable October 5, 2018. In addition to seeking summary judgment against CAMH, paragraph 1 of Mr. Benesch's Application indicates that he would like criminal charges filed against me with respect to the contents of CAMH's Dispute Note filed earlier this year. I believe that Mr. Benesch is particularly upset with paragraphs 3 and 4 of the Dispute Note as he brought an Application (February 16, 2018) for an Order striking those paragraphs. The Court denied Mr. Benesch's requests but did order minor amendments as follows:</p> <ol style="list-style-type: none"><li>1. In or around August of 1990 the Plaintiff suffered a traumatic brain injury following an overdose of his prescribed medication. <u>The Defendant diagnosed him with a variety of mental health disorders including schizoaffective disorder, schizophrenia, paranoid personality disorder, psychosis and Major Depressive Disorder ("MDD").</u></li><li>2. <u>In 2002, the Plaintiff was charged with two separate counts of criminal harassment contrary to the Criminal Code.</u> Those charges were resolved on July 16, 2023, when he was found Not Criminally Responsible on account of mental disorder. Accordingly, the Plaintiff was then subject to the supervision of the Ontario Review Board ("ORB"), who ordered him discharged to the community subject to a number of conditions, including that he report to the person in charge of CAMH on a monthly basis. On October 29, 2004, the ORB ordered that he Plaintiff be absolutely discharged.</li></ol> <p>Although the amendments were made, Mr. Benesch appears to be of the opinion that the information contained within his CAMH health record is private and that the reference to his non-conviction information in the Dispute Note was made to intentionally harm him.</p> <p>With respect to the issues of service referenced in Mr. Benesch's letter to the Law Society dated</p>	OPEN



SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>August 31, 2018, I believe his grievances stem from requests of mine for him to refrain from serving me at our office personally. I asked him to do so after I received complaints from our receptionists that his demeanour made them feel uncomfortable. Given his background of harassment (in Toronto) and mental illness, I am concerned that he might become aggressive. I advised him (on more than one occasion) that I will accept service via email, fax or registered mail and cited Rule 11.21. His remarks in the letter appear to be his way of lashing out. In any event, he has continued to serve his applications in person but I understand that he now makes a big deal of holding his hand over his mouth.</p> <p>No changes; (JDV – March 2019)</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
Oct 5, 2018	2019-034	Ceana Development Sunridge Inc., Bahadur (Bob) Gaidhar and Yasmin Gaidnar  (Travis McArthur)		\$2,000,000	<p>Travis McArthur, who at the relevant time was at BLG but is now a lawyer at KH Dunkley, was acting for Hillsboro and Neotric in relation to several loans to Ceana Development Ventures Inc. ("Ceana") in 2017. Keith Ferrel is not a client in his personal capacity. The loans went into default and foreclosure proceedings were commenced. A Defence and a separate Counterclaim were filed against the lender Hillsboro Ventures Inc., Neotric Enterprises Inc., Keith Ferrel and BLG.</p> <p>In relation to BLG, the Counterclaim alleges that BLG wrote a letter to a general contractor working for Ceana which stated the amount in BLG's trust account and the purpose for which those monies were held. The allegation in the Counterclaim is that the letter was a misrepresentation. That alleged misrepresentation and the alleged failure of the lender Hillsboro Ventures Inc. to advance further monies, are claimed to have caused work to continue to be done by the general contractor, albeit not paid for, resulting in enhanced lien claims and other damages. The letter was drafted and signed by Travis McArthur and is dated August 25, 2018. As at the date of that letter the stated funds were in BLG's trust account.</p> <p>No changes; (JDV – March 2019)</p>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
Nov 20, 2018	2019-053	Rethink and Diversity Securities Inc, and Jason Park  (Daniel Johnson, Jonathan Doll, Sharagim Habibi			<p><b>Summary</b></p> <p>BLG was retained in respect of the contemplated purchase by Rethink and Diversify Securities Inc. ("R&amp;D") of certain assets from Omnus Investments Ltd. ("Omnus") on August 29, 2016. At the time of engaging BLG, R&amp;D and its CEO, Mr. Jason Park, had signed a Letter of Intent with Omnus, dated August 3<sup>rd</sup>, 2016 (the "LOI"), and an agreement with Omnus dated August 23, 2016, which was called the "Transaction Summary". The Transaction Summary provided that R&amp;D would: (1) make monthly payment of cash to Omnus (this payment was purported in respect of a lease payment to Omnus' affiliate, although R&amp;D would not actually be entitled to any leased space under the terms of the contract); (2) and issue shares to any Omnus employees that came to work with R&amp;D.</p> <p>In exchange for the monthly payment and various share issuances, Omnus was required to: (1) de-register as an exempt market dealer; and (2) using its best efforts to convince its dealing representatives to register with R&amp;D; and (3) as a courteous gesture, Riki Roy, officer and director of Omnus would provide working space to R&amp;D staff in terms to be determined by her in her sole discretion.</p> <p>Omnus also had made various other representations to R&amp;D orally, which were not set out in the Transaction Summary.</p> <p>Over calls, Jon Doll and Sharagim Habibi of BLG explained to Mr. Park that under the transaction terms R&amp;D had unusual transaction risk because R&amp;D was obligated to pay the entire purchase price regardless of whether any "assets" were actually transferred to R&amp;D because, under the current transaction terms, Omnus simply had to use best efforts to convince its dealing representatives to seek registration with R&amp;D. BLG discussed various risks with the deal terms, including that, among other things, R&amp;D should negotiate an adjustment provision so that the purchase price would be reduced proportionately if the dealing representatives did not actually come to work for R&amp;D and did not meet performance milestones. BLG raised numerous other concerns about the transaction terms. BLG indicated to Mr. Park that the document indicated that it was subject to negotiating customary terms. BLG made various suggestions to Mr. Park and then it was agreed that BLG would negotiate deal terms on a call with Omnus and its counsel.</p> <p>On September 1, 2016, Ms. Riki Roy, CEO of Omnus, emailed BLG and Burnet Duckworth &amp; Palmer LLP (counsel to Omnus) and indicated that Omnus wanted to submit the Transaction Summary to the securities commissions because Omnus and R&amp;D, as registrants with the securities commissions, were required to seek the non-objection of the commissions in order to close the transaction.</p> <p>Mr. Doll expressed concern to Mr. Park that the Transaction Summary should not be sent to the securities commissions because the deal terms were unclear and appropriate transaction documents should be negotiated first before the regulator is contacted.</p> <p>On September 2, 2016, Omnus, R&amp;D, BLG and BD&amp;P had a call to discuss the LOI signed by the parties. BLG and BD&amp;P discussed that it was not clear on what assets were being sold under the</p>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>letter of intent. BLG suggested including various reasonable transaction terms. While BLG was making these suggestions, Mr. Park interjected and indicated that he made a deal and he was sticking to his word and there would be no changes to the deal terms.</p> <p>After that call, Mr. Park called Mr. Doll and Mr. Habibi. Mr. Park indicated to BLG that Ms. Roy was really angry at Mr. Park and indicated that R&amp;D almost lost the transaction because of BLG's suggested revisions to the deal terms that BLG stated on the call. Mr. Park then terminated BLG's retainer.</p> <p>Mr. Doll and Mr. Habibi also received an email from BD&amp;P indicating that they were no longer acting for Omnus on the file.</p> <p>R&amp;D and Mr. Park proceeded to enter into several binding documents in respect of the transaction, including a binding Memorandum of Understanding and a Personal Guarantee, and proceeded to take other steps to complete the transaction, including filing a notice with the securities commissions that indicated that they were proceeding with the transaction. AUM Law, new counsel to Omnus, assisted Omnus and R&amp;D in making the filing to the securities commissions. BLG was not involved with that filing and, to BLG's knowledge, R&amp;D did not use any counsel independent of AUM Law at that time.</p> <p>On October 7, 2016, Mr. Park received a call from an insider of Omnus while Mr. Park was at coffee with Mr. Doll. After that call, Mr. Park indicated to Mr. Doll that he wanted to terminate the transaction with Omnus as he was concerned that the circumstances had shifted from what was originally agreed.</p> <p>R&amp;D re-engaged BLG to assist on the transaction on October 7, 2016. After that coffee meeting, Mr. Park attended BLG's offices and met with Mr. Doll, Mr. Habibi and Daniel Johnson. At this meeting, BLG and Mr. Park discussed various risks and possible ways to deal with the transaction. Mr. Park indicated that he still wanted to proceed with the transaction; however, he was concerned that R&amp;D was not getting the same assets that he originally thought R&amp;D would be getting from Omnus.</p> <p>Subsequently, the Saskatchewan Financial Consumer Affairs Authority objected to the completion of the transaction and required R&amp;D to enter into an asset purchase agreement.</p> <p>Mr. Doll, Mr. Habibi, and Mr. Johnson provided advice in the drafting of an Asset Purchase Agreement between R&amp;D and Omnus (the "APA"). AUM Law and McLennan Ross represented Omnus for the purposed of drafting the APA and related documents. However, the negotiation was more limited in scope than a typical negotiation because the parties had already agreed to the binding terms of the transaction and were in fact, proceeding to complete the transaction on the basis.</p> <p>Included in the APA was a clause which provided each party the right to terminate the agreement if the transaction did not close on or before November 30, 2016. The APA was signed by all parties by November 22, 2016.</p> <p>Prior to November 22, 2016, and pursuant to the Memorandum of Understanding previously signed by Mr. Park for R&amp;D, Omnus and R&amp;D had taken steps to carry out the transaction. R&amp;D</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>and Omnus continued to take steps to complete the transaction after the APA was signed, and beyond the contemplated November 30, 2016 closing date. As of November 30, 2016, and up to January 4, 2017, some steps necessary to the closing of the transaction had not occurred. Throughout October 2016 to January 2017, the Omnus dealing representatives primarily went to other firms. R&amp;D originally thought it was receiving over 30 dealing representatives, but that number continually declined throughout the course of the file. Ultimately, there were very few dealing representatives that would be going to R&amp;D at the end of the transaction.</p> <p>During the course of the file, Mr. Park changed his mind several times as to whether or not he wanted to proceed with the transaction. BLG provided legal advice to R&amp;D in respect of terminating the APA with Omnus over several phone calls and emails. R&amp;D wanted to terminate the APA for various reasons, including because Omnus would not agree to reasonable non-competition agreements that were part of the transaction and because the majority of the Omnus dealing representatives that R&amp;D thought it would gain out of the transaction had, in fact, left for other firms and were not joining R&amp;D as had been originally contemplated.</p> <p>Between December 1, 2016 and January 5, 2017, BLG advised R&amp;D and Mr. Park that there was a termination provision in the APA which provided R&amp;D a potential right to terminate the APA. However, BLG indicated that R&amp;D and Mr. Park were likely to be sued if R&amp;D terminated on the basis that R&amp;D had already accepted and paid for some of the assets being sold under the transaction. In addition, BLG indicated that Omnus would allege bad faith if the APA was terminated at this time, although the court would also need to look at Omnus' conduct. In an email provided to R&amp;D and Mr. Park prior to termination, BLG stated:</p> <p>"As we have previously discussed, Omnus may decide to sue you for any number of reasons, so you should be prepared for Omnus to start a lawsuit shortly after the APA is terminated. Based on a past email from Omnus' counsel, one of the likely claims by Omnus will be that the transaction has been substantially closed, and consequently, R&amp;D is bound to pay Omnus all or a substantial portion of the purchase price. Consequently, R&amp;D must ensure that it does not close as many aspects of the transaction as possible in order to defend this argument. For example, if R&amp;D issues shares to the dealing reps pursuant to the APA, then this could be used by Omnus to argue the transaction has been completed. Consequently, R&amp;D must not issue shares pursuant to the APA. If R&amp;D is going to issue the shares to the dealing reps, this should be done after the APA has been terminated, and done under separate agreements (such as the dealing rep agreements). In addition, R&amp;D must not take any other steps that would suggest that the transaction has, in fact, closed or substantially closed. For example, if R&amp;D has access to Omnus client files, those client files must be returned to Omnus shortly after the APA is terminated."</p> <p>R&amp;D terminated the agreement on January 5, 2017. On January 21, 2017, Omnus sued R&amp;D and Mr. Park. Both parties filed applications for summary judgment, and, on October 19, 2018, the</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>Alberta Court of Queen's Bench held that R&amp;D did not have right to terminate the Asset Purchase Agreement and awarded damages against R&amp;D in the amount of \$434,500 plus interest, less the amount paid by R&amp;D already to Omnus of \$22,586.18.</p> <p>On October 23, 2018, on a conference call where Mr. Doll and Mr. Johnson were present, one of the directors of R&amp;D (we are not sure who) made a statement to the effect of "isn't the lawyer that advised us to terminate the deal liable for the judgment". This was at the start of the call when people were joining and appeared to be a statement directed at the other directors on the call and not at Mr. Doll or Mr. Johnson. The call then proceeded once all attendees had joined and there was no further mention of this from the R&amp;D directors.</p> <p>No changes (D. Johnson – March 2019)</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
March 13, 2019	2019-084	Daniel Lim; Skilled Networks Inc.  (Travis McArthur)			<ul style="list-style-type: none"> <li>On June 1, 2017, the potential claimant Daniel Lim ("DL") contacted BLG seeking assistance in relation to loans made by DL through his holding companies to a borrower for the development of a project in Brooks (the "Project"). DL advised that the borrower had been late in payments under the loan. DL provided BLG with copies of the loan agreements that he and the borrower had drafted and negotiated in 2015 – these were unsigned (the "Loan Agreements").</li> <li>On June 2, 2017, Travis McArthur ("TM") reviewed the Loan Agreements and spoke with DL and reviewed title to the Project and advised DL that there were a number of financial charges registered against the Project lands: <ul style="list-style-type: none"> <li>A mortgage in favour of Connect First Credit Union Ltd. in the principal amount of \$3,150,000.00;</li> <li>A mortgage in favour of Canadian Western Trust Company in the principal amount of \$197,500;</li> <li>A caveat protecting a promissory note in favour of 1012764 Alberta Ltd. in the principal amount of \$559,166.66; and</li> <li>A Tax notification by the City of Brooks (for unpaid taxes).</li> </ul> </li> <li>TM advised that the Loan Agreements were unsigned and contemplate that a caveat was to be filed against the Project lands securing each loan to the borrower (collectively, the "Charge") and further contemplated that DL was to be provided a share pledge of 100% of the outstanding shares of the borrower (the "Share Pledge"). Neither the Charge nor the Share Pledge were ever obtained, rendering DL an unsecured creditor. TM advised DL to request from the borrower signed copies of a mortgage to register against the Project Lands.</li> <li>In July of 2017, after DL spoke with the borrower, TM advised DL that the best approach would be to draft a fulsome mortgage which would give DL additional enforcement rights over drafting an agreement charging land and registering by caveat.</li> <li>On July 19, 2017, TM sent draft documentation to DL for review which included bullets (info to be completed):</li> </ul>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<ul style="list-style-type: none"><li>o Agreement charging land re: MPDC loan for 220k (the "MPDC Charge");</li><li>o Agreement charging land re: Skilled Networks loan for 220k (the "Skilled Charge");</li><li>o Share pledge agreements re: MPDC loan;</li><li>o Share pledge agreements re: Skilled Networks loan;</li><li>o Stock Power Transfers of Attorney re: share pledges.</li><li>• TM reminded DL that even after registering the above, DL would be behind a number of encumbrances that will rank ahead of DL's charge and that an allpaap registration existed at the Personal Property Registry against the borrower and that the best way to perfect an interest in the shares is to physically control the share certificates.</li><li>• On July 19, 2017, DL responded advising that he was not surprised that there were secured creditors ahead of him and asked TM to send him a clean email so that he could forward to the borrower for review</li><li>• On August 28, 2017, DL forwarded an email from the borrower to TM asking what the meaning of the documentation was and TM responded on August 28, 2017.</li><li>• On September 29, 2017, DL asked TM to re-forward the email on August 28.</li><li>• On October 22, 2017, DL forwarded an email to TM with the signed documents.</li><li>• On October 29, 2017, TM advised DL that it was his last day at BLG and also advised that he reviewed the signed documents and there were a number of NTDs and bullets not completed. TM introduced Patrick Mah ("PM") to the client.</li><li>• On November 10, 2017, DL forwarded another set of signed documents to PM.</li><li>• On November 20, 2017, PM sent DL an email advising that there were deficiencies to the documents which included bullets and notes to draft that still needed to be complete, that DL needed original share certificates and that DL should register the MPDC Charge and the Skilled Charge.</li><li>• On November 24, 2017, PM followed up with DL.</li></ul>	



SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<ul style="list-style-type: none"><li>On March 15, 2018, DL emailed PM asking for a call.</li><li>On March 23, 2018, PM re-sent the email that PM sent DL on November 20, 2017 listing the deficiencies.</li><li>On March 26, 2018, PM advised DL that he should register the Charges as soon as possible to get on title and DL advised PM to proceed.</li><li>On November 4, 2018, DL emailed PM advising him that he received a tax recovery sale notification from the Town of Okotoks and asking if anything needs to be done.</li><li>On November 5, 2018, PM advised DL that the Town of Okotoks is threatening to sell the Project lands by way of public auction to pay the tax arrears and that DL should consider demanding under the loan and offering to put DL in touch with one of BLG's litigation lawyers.</li><li>On November 6, 2018, PM spoke with DL on the phone and discussed options for enforcement. On the call, DL advised PM that the subject loans were in the amount of \$220k to MPDC and \$280k to Skilled Networks Inc. and that the agreements charging land should secure the corresponding amounts totaling \$500k. PM advised that he would review title to the Project lands and would advise.</li><li>On November 6, 2018, PM reviewed the title to the Project lands and identified that the Skilled Charge was in the amount of \$220k and not \$280k as DL had expected. PM e-mailed DL introducing DL to a litigation colleague and attached an additional agreement charging land for \$60k advising DL that the Skilled Network Charge was in the amount of \$220k as opposed to \$280k as he expected and that he should send the draft 60k agreement charging land to the borrower to have signed.</li><li>On November 15 and November 19, BLG followed up re: the additional 60k agreement charging land.</li><li>Throughout November, a BLG litigation lawyer discussed options with DL.</li><li>On November 29, 2018, BLG emailed DL advising that BLG had received a copy of a valuation listing the forced sale value at \$3.4 million and market value at \$4.2 million. BLG advised that there were approximately \$3.6million in secured creditors ahead of DL not including the tax arrears which means that there may or may not leave any recoveries</li></ul>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>for DL depending on the sale.</p> <ul style="list-style-type: none"><li>• In December and January of 2019, a BLG litigation lawyer continued discussion re: options with DL.</li><li>• The potential claim against BLG is that BLG allegedly was instructed to draft the Skilled Networks Charge in the amount of \$280k and failed to do so as the Skilled Networks Charge was only in the amount of \$220k (the "Potential Claim").</li></ul> <p>Based on the best available information, the "forced sale" valuation of the subject property is set at \$3.4 million. Given that there is approximately \$3.6million in secured creditors before the tax arrears, we believe that DL's losses from his loans will exceed the liability under the Potential Claim making the likelihood of the Potential Claim very unlikely.</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

CLOSED MATTERS FOR/IN THE PAST 5 YEARS

BORDEN LADNER GERVAIS, CALGARY OFFICE

AMOUNT OF CLAIM

DATE REPORTED	CLAIM NO.	CLAIMANT (LAWYER)	PAID	ESTIMATE	BRIEF DESCRIPTION	STATUS
March 15, 2010	CLLAS 2010-126	Dan Hill (Frank Foran & Julie Hopkins)			<p>It involves an action by a person who resides in England (plaintiff) who claims he has a beneficial ownership interest in the shares of a holding company he is suing (and its subsidiaries). The claimed interest arises out of a family discretionary trust of the common shares of the holding company set up by the father of the plaintiff, for tax planning purposes, in 1975 in favour of a number of potential beneficiaries including the plaintiff.</p> <p>In 2005, the plaintiff commenced the action against the trustees of the trust, the companies, and the sole beneficiary to whom the shares had been distributed by the trustees in 1995, namely his brother (who had himself been a trustee of the trust since inception). He later added, as a defendant, his father, the settlor of the trust. The father passed away in 2008 and there is now an issue about whether his estate should be added.</p> <p>Up until late March of 2009, all the defendants (including the companies that BLG now acts for) were represented by the MacPherson, Leslie and Tyerman firm ("MLT").</p> <p>The plaintiff commenced his action in Alberta although many of the matters complained about relating to the handling of the family trust by the trustees and the operations of the businesses both before and after the distribution in 1995, occurred in Saskatchewan. The value of the holding company is very significant. The operating companies, whose shares it owns (directly or indirectly), are profitable companies and well known, primarily in Saskatchewan and, to a lesser degree, Alberta</p> <p>The plaintiff's initial claim was that the trust was improperly wound up and all the shares of the holding company were wrongfully distributed by the Trustees to his brother (a named defendant) in 1995</p> <p>During the course of the litigation the defendants became aware of documents going back to 1976 (a year or so after the trust was set up) that indicate that the trust was actually wound up (or</p>	CLOSED (March 2014)

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

				<p>intended by the trustees to be wound up) and the shares to be distributed 25% each to the plaintiff, his two sisters, and his brother (the same brother to whom the shares were later distributed by the trustees in 1995). When the existence of these 1976 documents was discovered during the litigation, the settlor father, was confronted with them and he advised that the 1976 wind-up and distribution of the trust property (the shares) never was completed. He then proceeded to rip up some of the documents. They were, however, retrieved and produced by MLT in the litigation which, of course, resulted in an amendment to the plaintiff's statement of claim alleging that he has been a beneficial shareholder since 1976.</p> <p>In March 2009, MLT decided that it would like to have further legal assistance in defending this significant lawsuit. It approached Randy Block and myself and we agreed to take over the defence of the named corporate defendants, namely the holding company (whose shares had been distributed 100% to the plaintiff's brother in 1995) and the main operating subsidiary. We have been acting for the companies for about a year. Julie Hopkins and I have been handling the day to day issues on the case and Randy has been involved in the defence to a lesser extent but will be more actively engaged when the matter goes to trial.</p> <p>The claims against the companies are basically oppression or unfair disregard of the plaintiff's interests and "knowing assistance" to the other defendants for the cause of actions alleged against them including breach of trust. The trial has been bifurcated such that liability issues before the 1995 distribution will first be litigated. However, this will still, by necessity, involve a review of a number of dealings by the companies. Our retainer agreement is limited to defending the companies only in the lawsuit. We are not providing general corporate advice.</p> <p>The plaintiff is a very litigious individual. Our research shows he has been involved in litigation in numerous jurisdictions. He is a lawyer by training but a businessman who feels badly treated by his father who set up the trust, his brother who received 100% of the common shares and the trustees. He also has just changed his own counsel in the action and has made allegations in a recent affidavit that he has received some bad legal advice.</p> <p>One of the plaintiff's many lawyers, an English solicitor, has written us a letter saying that we (as counsel defending the claim for the companies) owe his client duties to protect his interests in the assets of the company. He asserts that "it is the duty of the officers, agents and advisors to the Companies to ensure that any improper assistance of {the plaintiff's brother to whom 100% of the shares were distributed in 1995} does not continue and, as far as possible is redressed. Insofar as such agents, advisors and officers of the companies fail to do so they will be individually aggravating the damages suffered by the Claimant and may be personally held to account. They should be advised to that effect."</p> <p>The letter goes on to state: "The question will also be asked to what extent the Companies' officers, advisors and agents have participated in the misdealings and the extent of their liability. This shall include to what extent they know or should have known about any of the above and</p>	
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SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

				<p>what advice they took of should have taken in fulfillment of their duties to the Companies."</p> <p>It seems that should the plaintiff win the lawsuit which we are defending for the companies, he may advance a claim against this firm and the counsel involved in the defence as "advisors" for some type of damages he claims he may suffer relating to how the company is dealing with its assets during the course of the litigation.</p> <p>In the circumstances, I think we should consider the letter from the plaintiff's English solicitor to me as notice of a potential claim and, out of an abundance of caution, put our insurers on notice. Please let me know if you need the names of the parties and any of the pleadings.</p> <p>There is nothing to add to this description – except that the father's (settlor's) estate will not be proceeded against in the Alberta action and that the trial (bifureated as per the above) will now take place in early 2012. (FRF, March 2011)</p> <p>The trial commenced February 21, 2012 and the evidentiary portion will conclude during the week of March 19, 2012.</p> <p>During the trial, the Plaintiff has asserted that the Corporate Defendants, including its counsel, BLG, improperly did not disclose a portion of the evidence of a witness (a former officer/employee of the Corporate Defendants) prior to trial and we were under a duty to do so. Although the witness was not examined for discovery, he had provided an undertaking response to the Corporate Representative of the Corporate Defendants prior to our becoming counsel. The Plaintiff apparently is asserting that the undertaking response required a continuing obligation of disclosure of the former officer/employee's information in a certain area. He only recalled the information while reviewing documents in meeting with us as a potential witness for trial. It is our view that the undertaking did not cover the undisclosed information and there was no duty to disclose.</p> <p>The evidence was adduced at trial and cross-examined upon. The Plaintiff then claimed that it had been concealed from him. Whether it should have been previously disclosed will likely form part of the closing argument before the trial judge.</p> <p>We report this development because the Plaintiff has pleaded and continually asserts that the Defendants including the Corporate Defendants and their previous counsel have concealed evidence from him both before and after the commencement of proceedings. It appears now that he may make the same allegation against us (BLG) as trial counsel.</p> <p>We do not believe we have breached any pre-trail disclosure rules or obligations and the trial judge will deal with this allegation. However, out of an abundance of caution, we again report this development due to the litigious nature of the Plaintiff, the acrimonious nature of this lawsuit and</p>	
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## CLAIMS SCHEDULE F

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

					<p>his English Counsel's previous letter reported 2010. (JGH &amp; FRF, March 2012)</p> <p>In reasons for decision dated May 9, 2012, the Trial Judge dismissed the Plaintiff's action against all defendants. The Plaintiff appealed and retained new counsel. Facta have been filed and the appeal is scheduled to be heard April 5, 2013. (JGH &amp; FRF, March 2013)</p> <p>Further to the previous report the Court of Appeal dismissed the Appellant's (Plaintiff's) appeal. The Supreme Court of Canada dismissed a Leave to Appeal application in October 2013. The trial judge, in separate proceedings, awarded significant costs to the Defendants. The Appellant (Plaintiff) appealed the costs award to the Court of Appeal. In a separate judgment the Court of Appeal dismissed the cost appeal. The Supreme Court of Canada dismissed an application for Leave to Appeal for the Court of Appeal costs decision.</p> <p>The matter is now ended.</p> <p>On December 19, 2013, the Alberta Lawyers Insurance Association closed its file (File: 20100606 PCA) "as no claim developed".</p> <p>This matter appears to be at an end and the claim should now be considered closed as of the date of the insurer's correspondence, December 19, 2013. (FRF, March 2014)</p>	
February 26, 2013	2013-088	Servus Credit Union Ltd. (Robert Stemp)		\$438,079	<p>Commencing on October 26, 2012 the opposing lawyer (Blair Yorke-Slader) made a written proposal in relation to the foreclosure action. I (upon receiving instructions from my client) agreed to accept part of the proposal which was the sale of two condominium units and receiving additional proceeds so that the amount realized by my client from those sales was \$500,000. This part of the proposal was accepted and closed. The remaining part of the proposal which was settlement of the foreclosure action by receiving payment of \$2.2 million was not addressed or responded to. I only accepted the portion of the offer that related to the sale of the two units and proceeds from those units. The solicitor for the Defendants has taken the position that a settlement in its totality was reached and we cannot repudiate the final portion of the settlement offer which is the payment of \$2.2 million.</p> <p>This matter is closed. File resolved and client received full payout. No claim will be made.</p> <p>(RCS, March 2014)</p>	CLOSED (March 2014)

## CLAIMS SCHEDULE F

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

March 30, 2010	CLLAS 2010-135	Servus Credit Union (Ryan Kipp) Internal Contact: Jeff Vallis		\$375,000	<p>Borden Ladner Gervais LLP ("BLG") was acting for Brian Ostrander and Angela Ostrander (the "Purchasers") in connection with the purchase of a property municipally described as 88 Grizzly Rise, Cochrane, Alberta. The Purchasers had not sold the property they owned at 24 Arbour Estates Landing N.W., Calgary, Alberta, and Servus Credit Union (who was providing financing on the purchase of the Grizzly Rise property) sent BLG a promissory note and loan agreement for a loan of \$375,000 from Servus Credit Union to the Purchasers, and an assignment of sale of proceeds from the sale of the Arbour Estates property (the "Assignment of Proceeds"). Under the Assignment of Proceeds, the Purchasers agreed that the \$375,000 loan would be repaid from the proceeds of sale of the Arbour Estates property. BLG acknowledged receipt of the Assignment of Proceeds.</p> <p>This documentation was signed and forwarded to Servus Credit Union. Subsequently when the Arbour Estates Landing property sold and unbeknownst to BLG, the Purchasers retained Brent Mainwood, Barrister and Solicitor, to act on the sale of the Arbour Estates property. The proceeds of the Arbour Estates property were apparently paid to the Purchasers and not to Servus Credit Union. The loan is in default and we have been advised that demand has been made on the Purchasers for repayment.</p> <p>No changes. (JDV, March 2012)</p> <p>No changes. (JDV, March 2013)</p> <p>No changes. (JDV, March 2014)</p> <p>No changes. (JDV, March 2015)</p>	CLOSED  (April 2014)
April 25, 2014	2014-118	Sandra J. Burkett (Melanie McDonald)		\$300,385	<p>In this file BLG LLP represented Aviva Insurance Company of Canada and it was with respect to an estate bond issued by Aviva. Sandra J. Burkett (the claimant) was the former executor of the estate. She needed to file an estate bond with her probate application since she did not reside in Alberta (she lives in California).</p> <p>The former estate lawyer, Ian Meikle, of Meikle Osgood law firm sent estate funds of \$300,385 to Sandra J. Burkett. Sandra J. Burkett did not distribute those funds in accordance with the terms of the Will (she didn't give proper share of the estate to one of the beneficiaries – her sister-in-law, Lynn Milburn).</p> <p>Lynn Milburn was appointed the replacement executor and then Lynn Milburn brought a court application for the return of the funds that Sandra J. Burkett received and named Aviva to pay out on the estate bond.</p> <p>An application was scheduled for April 10, 2013 and Sandra J. Burkett appeared in Court from California. The matter was adjourned after the application started to see if we could resolve it as Sandra J. Burkett agreed in Court to return the funds. Order granted April 12, 2013 (Crt#SES01-</p>	CLOSED  (Dec 2014)

## CLAIMS SCHEDULE F

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

					<p>100923) by Justice P.M. Clark directing Sandra J. Burkett pay the balance of \$18,600 on April 10, 2014. Sandra J. Burkett did not appeal this Order. This was a final Order which resolved this application.</p> <p>After the April 10, 2013 Order application, Aviva settled with the estate lawyer, Ian Meikle, of Meikle Osgood law firm's insurer, represented by Perry Mack, and they contributed \$20,000 to Aviva's legal fees. There was an Order and release with respect to Aviva's claim against the estate lawyer. The claim by Aviva was brought against the estate lawyer since he got an Order to release the bond after giving the funds to Sandra J. Burkett and without notifying Aviva about such Order.</p> <p>I presume Sandra J. Burkett does not want to pay the balance of \$18,600 that was due on April 19, 2014 which led to the email from Sandra J. Burkett dated April 20, 2014 stating I was being sued. I have not been served with a Statement of Claim nor other Court documents and the first I have heard of this potential lawsuit is the email. There are no Court dates set at this time. I am not aware that (nor anyone at BLG) did anything wrong that would lead to a lawsuit (as I said we were opposing counsel and at no time represented Sandra J. Burkett). Robert Allen and Brian Conway are other opposing lawyers (Sandra J. Burkett was not represented). I am not sure shy Bank of Montreal is listed in her email of April 20, 2014.</p> <p>No changes. (MEM, March 2015)</p>	
January 13, 2015	2015-070	<p>Island City Investments Ltd., The Lorne Charles Webster Spousal Trust, Royal Trust Corporation of Canada (John Kevin Scott)</p> <p>Handled by: Shannon James</p>		\$2,000,000	<p>The potential claim arises in connection with a reorganization of the estate of Lorne Charles Webster. As part of a reorganization plan, two corporations were amalgamated pursuant to s.184 (1) of the Business Corporations Act (Alberta). However, contrary to s.184 (1)(b)(ii), due to an inadvertent error, the wrong articles were filed during the amalgamation, and the articles of the subsidiary corporation were filed instead of the articles of the parent corporation. The amalgamated corporation, Island City Investments Ltd., did its financial and tax reporting on the assumption that its articles were those of the predecessor parent, and the issued and outstanding shares were the same as those of the predecessor parent.</p> <p>Despite an unrelated earlier application for rectification of corporate registry records to correct the effective date of the amalgamation initiated by the client, the error was only discovered years later when it was requested that the Island City Investments Ltd. minute book be updated and replacement share certificates bearing the post-amalgamation name be issued.</p> <p>It is expected that the error can be corrected either through administrative channels pursuant to s.170 of the ABCA, or by order of the Court of Queen's Bench, with no impact or prejudice to the client or other interested parties.</p>	<p>CLOSED</p> <p>(Aug 2015)</p>



## CLAIMS SCHEDULE F

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

					<p>After the issue was discovered, BLG sought and obtained approval from the firm's insurers to take corrective measures, as described above. It was decided to first attempt to rectify the situation through administrative channels. On March 16, 2015, a statutory declaration requesting correction of the Alberta corporate registry records pursuant to s.170 of the ABCA was sworn and submitted to the Alberta corporate registry. As at March 20, 2015, no response has been received from Alberta Corporate Registry.</p> <p>If the Registry declines to exercise its power to correct the articles of Island City Investments Ltd., a second remedy is available in the form of an application for rectification in the Alberta Court of Queen's Bench. (SJ, March 2015)</p>	
November 7, 2013	2014-156	Jatinder Dhillon (Patrick Heinsen)		\$85,000	<p>Jatinder Dhillon alleges she lent \$85,000.00 to Bhinder Mundi. The loan was secured by a Promissory Note. Demand was made on such Note and no payment was forthcoming. While I was an associate at BLG I brought an action against Mr. Mundi on the Promissory Note (December 22, 2009). There was a second Promissory Note in the same amount evidencing a loan Jatinder Dhillon made to a Mr. Samra. Both Promissory Notes were drafted by Amer Preet Singh. I am of the opinion that the Promissory Notes are unenforceable. As such, a Statement of Claim has been issued against Mr. Singh (filed October 7, 2011 and served October 1, 2012). Elena Semenova at Peacock Linder is acting for Mr. Singh. The last material step on the Mundi action was in 2010 with the exchange of Affidavits of Records and the producible documents. By that time conduct of the file was with another BLG associate. Several efforts appear to have been made to schedule Questioning with response from Mr. Mundi's counsel. I left BLG at the end of March of 2013. The client instructed that this file be transferred. In the opening of the file here it would appear the existence of the Mundi action was overlooked (no Notice of Change of Representation was filed on it whereas one was on the Samra action) and nothing was entered into the limitation system respecting the "drop dead". Mr. Samra did not defend and enforcement proceedings have been undertaken against him. I was served with a Rule 4.33 application November 6, 2013. I have attempted to the client's husband, Bruno Dhillon, to advise of the application. I act for Mr. Dhillon on two other matters. Given the nature of the Promissory Note, I am of the opinion that the action against Mr. Mundi is likely untenable in any event and have expressed this opinion to the client. I have sought instructions respecting agreeing to a discontinuance of action on a without costs basis to avoid the necessity of the Rule 4.33 application but have not heard back from the Dhillons. I have not contacted Mr. Mundi's counsel yet.</p> <p>Letter received from ALIA confirming receipt of potential claim. (Claim #20140209 PCA) (Heinsen Nov 2013)</p>	CLOSED (Dec 2015)

## CLAIMS SCHEDULE F

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

					No changes. (PH, 2015)	
June 17, 2009	2009-155	Larry and Jacqueline Martini (Geoff Stenger) (Frank Cahill)		\$500,000	<p>Larry and Jacqueline Martini retained BLG with respect to an Offer to Purchase the Martini's entered into with Ryeland Hotel Ltd in 2004. The Offer to Purchase stated that Ryeland would build a retaining wall for the Martinis for the development of a home on the lands.</p> <p>Later that year Ryeland's lawyer, Terry Britton advised that his client would build the retaining wall at the cost of the Martinis. On or about June 1, 2006, our firm filed a Statement of Claim on behalf of the Martinis but the claim was never served within the one-year period.</p> <p>On July 6, 2005 our office sent Terry Britton a letter advising of the size of retaining wall required and that we required a response by July 13, 2005 or the Martinis may commence legal action. Our office commenced a subsequent action on July 13, 2007.</p> <p>Robert Bourne and Frank Cahill were handling the matter until their departures. The file then was transferred to Geoffrey Stenger in the Summer of 2008 for further handling.</p> <p>On June 10, 2009, BLG received correspondence from Ryeland's newly appointed counsel, Mr. Peter Vetsch, advising that he was of the view that the limitation period had expired and was willing to discontinue the action on a without cost basis.</p> <p>No changes. (GS, March 2011)</p> <p>Statement of Claim filed June 23, 2011. (JDV, March 2012)</p> <p>Statement of Defence filed July 31, 2012. (JDV, March 2013)</p> <p>No changes. (JDV, March 2014)</p> <p>No changes. (JDV, March 2015)</p> <p>Discontinuance of Action filed February 25, 2016; Full and Final Release received; matter finalized. (JDV, March 2016)</p>	CLOSED  (March 2016)
April 20, 2015	2015-107	Western Surety Company (Robyn Gurofsky)		\$5500 - \$6000	<p>BLG had scheduled a special application with the trial coordinator. We had obtained an Order and subsequently sent a confirmation letter confirming the date. In January 2015 we received communication advising that a further Order was required confirming the date. A further Order was later obtained but it was too late and the date had been released.</p> <p>My legal assistant sent me an email advising that the date had been released on January 22, 2015. I missed that email and realized the date had been released in April 2015. Upon being</p>	CLOSED  (Dec 2015)

## CLAIMS SCHEDULE F

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

					<p>advised of the release and the next available date in January-February 2016, opposing counsel filed an application to lift the stay. While unsuccessful in lifting the stay, solicitor/client costs were awarded against our client, Western Surety Company, for a number of reasons, including the history of the litigation prior to our involvement, and poor communication with the other party.</p> <p>Our client should not be responsible for paying these costs. The order for solicitor/client costs was granted on April 13, 2015. I have asked opposing counsel for the amount of costs payable. They have advised that they are preparing a Bill of Costs, but estimate the range to be between \$5,500 to \$6,000.</p> <p>The Law Society closed the file as the firm (Vancouver &amp; Calgary offices) paid the Bill of Costs directly. (RG- March 2016)</p>	
May 15, 2015	2015-130	HSBC (John Ircandia)		\$2,000,000	<p>BLG and in particular myself, Jon Ircandia and Patrick McCarthy were retained to act on behalf of HSBC Bank Canada (HSBC) in the enforcement of security granted by K2 Developments Ltd in support of substantial loans.</p> <p>We also acted on HSBC's behalf respecting a related action against the guarantors of K2, Robert Adelson and Sean Krausert.</p> <p>Claims against K2 were in the approximate amount of \$77,000,000.00. Claims against the guarantors were limited to a principal sum of \$2,000,000.00. Statements of Claim were filed on or about April 2009.</p> <p>The claim against K2 included security enforcement claims including the appointment of a receiver to realize on all of the assets and undertaking of K2 which consisted primarily of a hotel and report property in Canmore, Alberta.</p> <p>For strategic reasons, in particular, K2 was strongly contesting the action of HSBC, it was decided to pursue and file separate Statements of Claim, one against the company and a separate claim against the guarantors.</p> <p>The focus initially was to get a receiver appointed and to realize on the corporate debt and security. Pursuing the action against the guarantors was put in abeyance.</p> <p>After various contested proceedings, we were successful in having the court appoint the receiver and began the lengthy process of maximizing recoveries against the company, which took the next several years.</p> <p>When realizations against the company were exhausted, the client requested that we pursue the claims against the guarantors originally filed.</p> <p>Unfortunately with the passage of time, although we had filed the claims so did not offend a limitation period, we were offside the Alberta Rules of Court in having failed to prosecute the</p>	<p>CLOSED  (June 2016)</p>

## CLAIMS SCHEDULE F

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

					<p>action. Hence the current claim.</p> <p>HSBC has not yet filed a Statement of Claim; they did however make an offer to settle for a nominal amount (\$30K) and the offer is being considered by the insurer. I do not expect the matter will proceed to litigation.</p> <p>HSBC accepted settlement of \$30,000; Full and Final Release issued February 29, 2016; matter finalized. (JLI, March 2016)</p>	
July 15, 2015	2016-004	Rizopoulos, Sandy (Lenard Sali)		\$1,000,000	<p>Client (Rizopoulos) was questioned as part of the discovery process. 6 undertakings were requested. Rizopoulos provided some response to each, namely, "I do not have the records". These were not provided to opposing counsel, before I ceased to act for the client.</p> <p>All parties involved were served with appropriate notice and information available to me as to client address. Client was provided with copy via email; hard copy sent by registered mail. It was returned. I have no awareness as to whether the Client contacted counsel for others after I ceased to act.</p> <p>I heard nothing further about any part of this until a few weeks ago when the Client told me that some Order had been entered against him and he wanted me to agree to act for him. I told him that I was too busy to even consider his request, and that I would even not consider it without adequate fee arrangements in place. Outstanding fees exceed \$45,000.</p> <p>I came to learn that some Order or Judgment had been entered against the Client, apparently by default. I have no knowledge of the Client's interaction with Plaintiff's counsel from the date that I ceased to act. I have no direct knowledge of what occurred after I ceased to act, nor was I ever provided with any further pleadings and Motions.</p> <p>Advised by Counsel for Rizopoulos on February 25, 2016 that an Order setting aside the Judgment has been granted and they are in the process of having the Order signed and filed (Sali, L., March 2016)</p>	<p>CLOSED</p> <p>(Oct 2016)</p>
Nov 30, 2015	2016-053	Darby Kreitz (Scott Cedergren)		\$500,000 to \$999,999	<p>Borden Ladner Gervais LLP ("BLG") was at the relevant time corporate counsel to Pro-Trans Ventures Inc. ("Pro-Trans"), an Alberta corporation, and its wholly owned subsidiary Maple Leaf Loading Ltd. ("MLL"), a BC corporation, to assist with securities and general corporate matters from time to time. At the relevant time, Scott Cedergren was the corporate secretary of Pro-Trans as it was a publicly traded company. Until October 2012, a partner of BLG had been on the board of directors of Pro-Trans.</p> <p>BLG Calgary was the registered office for Pro-Trans and BLG Vancouver was the registered office for MLL. In 2011, Pro-Trans acquired MLL at an arm's length acquisition. Darby Kreitz was a director and significant shareholder of MLL and concurrent with the acquisition also became a board member of Pro-Trans and remained involved with the business post-closing of the acquisition.</p> <p>MLL was the primary operating subsidiary of Pro-Trans. The board of directors of MLL consisted</p>	<p>CLOSED</p> <p>(Jan 2018)</p>

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

				<p>of 2 individuals: Martin Carsky, President and CEO of Maple Leaf Loading and Pro-Trans and Darby Kreitz, the Chairman of the Board of Directors of Pro-Trans.</p> <p>On January 3, 2014, Mr. Kreitz sent an email to the Pro-Trans Board, including Mr. Cedergren as Corporate Secretary, and scheduled a January 6, 2014 Pro-Trans board of directors meeting to discuss the ongoing financial concerns of MLL.</p> <p>Between January 6, 2014 and January 20, 2014, Mr. Carsky and Derrek Wong, the Chief Financial Officer of Pro-Trans and MLL, on behalf of MLL, worked with BLG to prepare documentation relating to a loan in the principal amount of \$500,000 from Mr. Kreitz to MLL.</p> <p>Mr. Cedergren met with Mr. Patrick Mah, an associate in the Financial Services group at BLG and requested that he prepare the lending documentation on behalf of MLL. Mr. Mah and Mr. Cedergren then requested that Ms. Katherine English, another associate, work on drafting the documents with Mr. Mah.</p> <p>BLG, including Messrs. Cedergren, Mah and Ms. English, worked with MLL at the sole instruction of Mr. Carsky and Mr. Wong to prepare documents relating to the loan from Mr. Kreitz.</p> <p>On January 20, 2014, Mr. Carsky sent an email to the Pro-Trans Board of Directors, copying Mr. Wong and Mr. Cedergren, attaching a memorandum from Mr. Carsky setting out the terms negotiated with Mr. Kreitz for a proposed non-arm's length financing with Mr. Kreitz.</p> <p>Mr. Carsky's email and memorandum of January 20, 2015 included a statement to the Board of Directors of Pro-Trans that Mr. Cedergren would prepare and distribute written consent resolutions for consideration by the Board of Directors of Pro-Trans, which was drafted and distributed to the entire Board of Directors of Pro-Trans.</p> <p>On January 21, 2014, Mr. Cedergren provided the first draft of the loan agreement, security agreement and form of directors resolution for MLL (the "Loan Documents") to Messrs. Carsky and Wong by email. Mr. Cedergren stated in that email "Please provide any comments on the loan documents to Kate English and me, or if you are satisfied to Darby for him to review and obtain counsel regarding if he sees fit."</p> <p>On January 21, 2014 Mr. Carsky forwarded the email from Mr. Cedergren to Mr. Kreitz, copying Messrs. Cedergren and Wong, providing Mr. Kreitz the Loan Documents and the request to review and obtain counsel and requesting that he review and, if he was "okay" to "let us know so that we can send your signed execution copies and move to close as soon as Scott confirms that Les has signed off with Les."</p> <p>Section 20 of the draft loan agreement clearly stated that the parties acknowledge that they have had an opportunity to obtain independent legal advice and if they have not obtained independent legal advice they expressly waive their right to do so.</p> <p>On January 22, 2014 Mr. Carsky followed up with Mr. Kreitz by email (copying Mr. Cedergren) asking again if he had any comments on the draft documents provided.</p> <p>On January 23, 2014 Mr. Carsky resent the Loan Documents to Mr. Kreitz by email requesting that: "Assuming you are okay to sign, please do that and scan/send to Scott, Derrek and myself"</p> <p>On January 31, 2014, Mr. Carsky sent an email to Mr. Wong and Mr. Cedergren as well as Maureen Vollett (who was the Comptroller of MLL), and copying Mr. Kreitz, containing the Loan Documents, which had been executed by Mr. Kreitz without any further changes or queries to BLG. This email also stated that Mr. Kreitz would arrange for the transfer of funds on February 1, 2014.</p> <p>After preparation of the Loan Documents, BLG did not provide further legal advice to any of Pro-Trans or MLL with respect to the Loan Documents.</p> <p>During the period from the time of BLG engagement to prepare the Loan Documents, to the</p>	
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## CLAIMS SCHEDULE F

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

					<p>completion of the loan, BLG and Mr. Cedergren took instruction from Mr. Carsky and corresponded only with Messrs. Carsky and Wong in respect of the engagement to prepare the Loan Documents and were clear to the client MLL, to its parent corporation, Pro-Trans (including its directors and officers) and to Mr. Kreitz that BLG represented MLL in the matter of the loan and not that of Mr. Kreitz.</p> <p>The Loan Documents are dated February 1, 2014.</p> <p>On or about June 27, 2014 Ernst &amp; Young was appointed receiver of all of the assets, undertakings and properties, including proceeds thereof of MLL.</p> <p>On or about June 30, 2014, Mr. Kreitz contacted Mr. Cedergren and raised a question on the security interest that had been granted by MLL to Mr. Kreitz had not been perfected by the filing of a registration statement. On or about July 2, 2014 Mr. Cedergren responded and confirmed by email to Mr. Kreitz, copying Mr. Carsky of MLL that BLG had not been instructed to file registration statements by MLL and that it was the responsibility of the lender to file such documents. The correspondence also confirmed that BLG had only acted for MLL and not the borrower. Mr. Carsky responded with a thank you email.</p> <p>Mr. Cedergren and BLG received no further communication regarding this matter until November 16, 2015 when counsel to Mr. Kreitz sent the demand letter that is attached to this notice.</p> <p>Response to Civil Claim filed January 22, 2016. (JDV, March 2016)</p> <p>Filed documents send to counsel in April to assemble Affidavit of Records; No knowledge of discoveries. (JDV, March 2017)</p> <p>Settled; Released signed August 8, 2017; Consent Order filed August 29, 2017</p>	
May 17, 2016	CLLAS 2016-143	<p>Momentive Specialty Chemicals Canada Inc.; Momentive Specialty Chemicals, Inc.</p> <p>(Duncan Marsden)</p>		\$210,000	<p>I am assisting a US law firm who acts for Momentive Specialty Chemicals Canada, Inc. and Momentive Specialty Chemicals, Inc. ("Momentive").</p> <p>Momentive employed an individual called Norbert Milota until he died in February 2012. Mr. Milota had life insurance and the claim relates to whether or not he is entitled to one time's his salary by way of life insurance payment, or three times his salary. Mr. Milota has been paid one time's his salary by way of life insurance payment (\$105,000) and his estate should have been paid \$315,000. The total claim worth \$210,000.</p> <p>Mr. Milota's estate brought the claim against Momentive and the insurer (Great West-Life Assurance Company ("GWL"). Both defendants filed a defence which includes a limitation period argument. A summary judgment application is being brought which we consider will be successful, in which case this matter will not be an issue.</p> <p>Mr. Milota applied for three times life insurance in about July 2007 and it was denied and premiums were refunded to him in December 2007. Mr. Milota provided the application form to Momentive and Momentive were to provide it to GWL. It is unclear as to whether Mr. Milota's application for life insurance of three times his salary was denied based on his failure to provide medical documentation or because GWL never received the application from Momentive. In their defence, and GWL alleged that they never received the application from Momentive. Momentive states that they did provide this form to GWL.</p> <p>In documents received from GWL in February 2016, there is documentation which we consider</p>	<p>CLOSED</p> <p>(Aug 2017)</p>

## CLAIMS SCHEDULE F

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

					<p>proves, for the first time, that GWL did in fact receive the application. It is my view that if a judge decides that the claim is valid, he/she would find either GWL liable (for not having processed the application) or Momentive liable (for not having sent the application) in which case there will be no omission or error. But it is arguable that I should have protected Momentive's position by filing a third party claim against GWL in the event that a judge finds both liable but based on an act of GWL only.</p> <p>October 2016 - Reported to CLLAS that client was planning to bring summary judgment application on the basis that the claim against it is out of time; February 28, 2017 – received correspondence from ALIA confirming matter closed as no claim was developed; (JDV March 2017)</p>	
Sept 6, 2016	2017-029	<p>Estate of James Blair Colborne</p> <p>(Barrie Pomerance)</p>		Unknown	<p>I drafted and held the last the executed Last Will and Testament of James Blair Colborne dated September 19, 1990. On or about March 17, 2015, I was contacted by Mr. Colborne to update his Last Will and Testament and in response thereto, at 2:17 on March 17, 2015, I did send him an email setting out my new practice coordinates and advising him to "Send me you (sic) typed will and I will incorporate it into a proper document and then have you come in to sign it up". AT 2:42 that same day Mr. Colborne responded by email sending me his typed out, but unexecuted, Last Will and Testament. I do not have any recollection of receiving his email from Mr. Colborne, but nonetheless it does show up as a received email in my system. Through inadvertence, or not being aware of receipt of Mr. Colborne's March 17, 2015, 2:42 email, I did not incorporate Mr. Colborne's typed but unexecuted Last Will and Testament into a proper document and then have Mr. Colborne come in to sign it up as I indicated to him in my March 17, 2015 email, nor did I follow up on the matter with Mr. Colborne. Further, at no time did Mr. Colborne follow up with me on the matter and no further communication occurred as between us. I did not forward a retainer agreement/engagement letter to Mr. Colborne nor did he provide any retainer funds, and no file at BLG was ever opened.</p> <p>On September 1, 2016 I did receive a call from Fouad (Ed) Farah, that Mr. Colborne had died and that Mr. Farah requested my legal assistance in dealing with Mr. Colborne's Estate. On September 6, 2016, I did receive a copy of Mr. Colborne's Last Will and Testament dated March 13, 2015 (the "New Will"), executed by Mr. Colborne and one subscribing witness (which execution is not in accord with the requirement of the Wills and Succession Act, SA 2010) the provisions of which were identical to the provisions forwarded to me by Mr. Colborne on March 17, 2015. At the time of Mr. Colborne's original Last Will and Testament, he was married and at the time of the New Will he was not. The dispositions a set out in the New Will are significantly different from the dispositions as set forth in Mr. Colborne's Last Will and Testament of September 19, 1990.</p> <p>I do not know the value of the Estate.</p> <p>No Changes; (Pomerance – March 2017)</p>	<p>CLOSED</p> <p>(June 2017)</p>

## CLAIMS SCHEDULE F

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

Sept 26, 2016	2017-034	Preferred Credit Resources Ltd.  (Daniel Johnson)		\$10,000 - \$100,000	<p>On August 26, 2016, the registration of a writ of enforcement in favour of BLG's client, Preferred Credit Resources Ltd. ("Preferred") expired without being renewed. Although the expiry date was initially diarized in BLG's critical dates system, the diary entry was changed to inactive, so no reminders regarding the expiration of the registration were received. The registration was renewed on September 22, 2016.</p> <p>Details: Daniel Johnson, acting for Preferred, obtained judgment for Preferred against Ronald Cecil Lockhart ("Lockhart") on a credit card debt on August 20, 2014. A Writ of Enforcement (the "Writ") was filed with the Court of Queen's Bench on August 21, 2014. On August 26, 2014, the Writ was registered (the "Registration") at the Personal Property Registry ("PPR") with a two year expiration period ending on August 26, 2016. The Writ's expiry date was diarized in BLG's critical dates system (the "Critical Date"), with a reminder set for 30 days prior to the expiration of the Writ and the date of Writ's expiry.</p> <p>On April 5, 2015, Karan Jones – a Legal Assistant at BLG, changed the status of the Critical Date from "active" to "inactive", so no reminders were sent regarding the Critical Date.</p> <p>Heather Walker – Preferred's litigation manager – contacted Mr. Johnson on September 22, 2016 requesting an update on the status of the Writ and its registration. Upon reviewing the file to provide an update to Preferred, Mr. Johnson noted that the Registration had expired. Mr. Johnson immediately took steps to renew the Registration under subsection 35(4) of the Civil Enforcement Act RSA 200 c C-15. A PPR search on September 22, 2016 revealed that a security agreement was registered against a Ronald Earl Lockart on September 8, 2014 after the Writ was first registered on August 26, 2014.</p> <p>The Registration was renewed on September 22, 2016, and Preferred was informed that the registration had been renewed the same day.</p> <p>Counsel for ALIA contacted Mr. Johnson and informed Mr. Johnson that they would be closing their file; To our knowledge no claim has been filed by Preferred. (DJ – March 2017)</p>	CLOSED  (Jan 2017)
March 14, 2017	2017-110	Alberta Treasury Branches  (Matt Noel-Bentley)		\$1,000,000 - \$1,500,000	<p>On February 27, 2017, John Poetker, Counsel at Borden Ladner Gervais LLP, received an email from Ms. Hannah Crowe, the personal assistant for Mr. Don Bialik, who is the President and controlling shareholder of Long View Systems Corporation, a corporate client of the Firm's with Mr. Poetker as the client responsible lawyer. This email, we believe, is the first indication the Firm had of the potential for a claim.</p> <p>Mr. Matt Noel-Bentley is no longer with the Firm; he left in 2015 and is currently in house counsel at Parkland Fuel Corporation.</p> <p>The file that Mr. Noel-Bentley opened for this matter holds very little information. The instruction letter from ATB was not on file nor was there an executed copy of the mortgage to be registered.</p>	CLOSED  (May 2017)



## CLAIMS SCHEDULE F

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

					<p>A copy of a release of dower rights was on file. It is not known where the original is.</p> <p>We believe that the matter can be fixed. A current search of the title to the property was obtained on March 10, 2017. There were no intervening registrations noted on the title.</p> <p>A caveat has been filed on behalf of ATB claiming an interest in the lands by virtue of an unregistered mortgage. ATB will be approached to obtain a copy of their instruction letter to Mr. Noel-Bentley with the intention of registering a mortgage on title. The current amount outstanding on the original principal amount of \$1,200,000 is not known. The original release of dower rights typically accompanies a mortgage to be registered where dower is an issue, so the absence of the original will need to be addressed.</p>	
Aug 24, 2015	2016-015	All Season Weeping Tile Ltd. (Locklyn Price)		\$10,000 to \$100,000	<p>On March 8, 2015 and in contemplation of his departure from BLG, Denver Brust transferred me two clients named Stealth Homes Ltd. ("<b>Stealth</b>") and Luxuria Homes Ltd. ("<b>Luxuria</b>") The main point of contact of these two clients is Harpreet Randev.</p> <p>Throughout early to mid 2015, Harpreet sought my advice on lien and general construction matters.</p> <p>In mid 2015, Stealth Homes Ltd. began considering purchasing, amalgamating with or taking over All Season Weeping Tile Ltd. ("<b>All Season</b>"). In the context of merging these two companies Harpreet asked for me to assist in cleaning up All Season Weeping Tile Ltd.'s account receivables. I had previously provided Stealth Homes Ltd. advice on some All Season matters, but explained that if I was going to be doing any work for All Season, I would need a retainer with All Season.</p> <p>We drafted some documents for Stealth and performed conflict searches to determine whether we could act for All Season, but needed to get a retainer before we filed anything on All Season's behalf.</p> <p>On July 10, 2015, I explained to Harpreet the lien process and provided some builders' lien forms and information for how All Season, Stealth, and Luxuria could file liens themselves. I also explained that we could file liens for a reasonable and explained the information that I would need.</p> <p>Rather than filing their own liens and on a short timeline, Harpreet asked me to draft and file a lien on July 13, 2015 at 3:54 p.m.. This lien was on All Season's behalf for work that All Season had done on July 13, 2015. In retrospect, I should have refused to process the lien without a retainer specifically from All Season, but because of the time sensitive nature of the lien and in an effort to obtain another client for the firm, I proceeded as quickly as possible. I also should have counted the days myself as Harpreet advised that the 45 days was expiring on July 17, 2015 when in fact the 45 days expired on July 14, 2015. All Season's work was done with respect to a property</p>	<p>CLOSED</p> <p>(Jan 2017)</p>

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

					<p>located at 240145 Rainbow Road, Chestermere, Alberta (the "<b>Property</b>"). and therefore first thing the next morning (July 14, 2015) I asked Victoria Smith, a paralegal with the firm, to pull the legal title for the municipal address 240145 Rainbow Road, Chestermere Alberta. Victoria sent back the following legal description: PLAN 0912855 BLOCK 1 LOT 1 CONTAINING 109 HECTARES( 269.1 ACRES) MORE OR LESS EXCEPTING THEREOUT: PLAN NUMBER HECTARES ACRES MORE OR LESS EXPROPRIATION 1312176 2.38 5.88 EXCEPTING THEREOUT ALL MINES AND MINERALS ATS REFERENCE: 4;28;24;3;NW ATS REFERENCE: 4;28;24;3;SW ATS REFERENCE: 4;28;24;3;SE ESTATE: FEE SIMPLE (the "<b>Title</b>").</p> <p>Victoria explains that in pulling the Title, it appears that she misread the map and obtained a legal description for land to the east of the land we required title for. There was apparently some confusion because this land had been annexed from Rocky View County.</p> <p>I drafted the lien using the Title and the information supplied by the client and sent it away to the Land Titles Office. The information from the client was that the last day work was done on the property was May 29, 2015 and that the 45 days would expire on July 17, 2015. While it is not generally my practice to sign on behalf of the client I did so. Despite my best efforts to get the lien registered the day after receiving instructions to file it, the Land Titles Office rejected it on the basis that the 45 days had expired.</p> <p>I communicated the rejection to the client and explained that in the future, I need to have a representative from All Season sign for the lien.</p> <p>On or about July 27 or July 28, Harpreet called and advised that she had talked to the employees on site and they explained that the last time work had been done on the property was, in fact, June 17, 2015 and she asked if we could file a lien with this information. I counted the days and confirmed that the lien should be filed before July 31, 2015. I explained that I needed a signed retainer agreement from All Season and that All Season would need to sign the lien. I drafted the lien using the Title and provided it to the client after they executed a retainer agreement on July 30, 2015.</p> <p>The corporate representative of All Season is a gentleman named Jagdeep Shergill. Jagdeep reviewed the information, confirmed that it was correct and then signed the lien. I sent away the</p>	
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## CLAIMS SCHEDULE F

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

					<p>lien for filing on July 30, 2015 and it was accepted by the Land Titles Office. We received confirmation that the lien was successfully filed on July 31, 2015, which I communicated to the client.</p> <p>On August 8, 2015, I received an email from Jonathan Selnes of Burnet Duckworth and Palmer LLP indicating that the owner of the Title, David Wayne Mikkelsen did not retain All Season for the work that was indicated on the lien. Mr. Selnes asked for more information as to why the lien was filed on the Title. First thing Monday morning, I asked our due diligence team to confirm that the Title was the right title, but discovered that Ms. Smith had pulled the wrong title and that the lien had been filed on the wrong property.</p> <p>I discussed the situation with Trish Morrison of our office and then with Jeff Vallis of our office. I also communicated the fact that the lien had been registered on the wrong title and explained that All Season may have a claim against Borden Ladner Gervais LLP, but that they would have to obtain independent legal advice with respect to that claim. I offered to commence an action in Provincial Court on their behalf and received instructions to do so. Since receiving confirmation that the lien was wrongfully filed I have emailed the client daily and called frequently asking Mr. Shergill to come in and sign the necessary documents to get the lien discharged. On August 20, 2015, Mr. Shergill made an appointment to come in and sign the discharge of lien. As soon as a signed discharge is obtained it will be sent to the Land Titles Office to discharge the lien.</p> <p>No Changes (LP – March 2016)</p> <p>No Changes (LP – March 2017)</p> <p>CLLAS CLOSED 2017</p>	
March 9, 2016	2016-100	<p>Timothy Hamilton and Hamilton Hall Soles/Ray &amp; Berndtson Inc.</p> <p>(Clay Jacobson)</p>		Unknown	<p>This matter involves <i>Kevin Neil Hall v. Hamilton Hall Soles/Ray &amp; Berntson Inc., Timothy J. Hamilton, Janet E. Soles, Kevin Gregor and Boyden Calgary Inc.</i>; Court of Queens Bench of Alberta, Court File No. 1401-01349.</p> <p>The parties are:</p> <ol style="list-style-type: none"> <li>1. Kevin Neil Hall ("Hall") – Hall is a shareholder and former director of HHSRB.</li> <li>2. Hamilton Hall Soles/Ray &amp; Berntson Inc. ("HHSRB") – HHSRB is an Alberta corporation that carried on business as an executive search firm until December 31, 2013, when it</li> </ol>	CLOSED

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

					<p>ceased operations.</p> <p>3. Timothy J. Hamilton ("Hamilton") – Hamilton is a shareholder and director of both HHSRB and Boyden.</p> <p>4. Janet E. Soles ("Soles") – Soles is a shareholder and director of both HHSRB and Boyden. Kevin Gregor ("Gregor") – Gregor is a shareholder and director of both HHSRB and Boyden.</p> <p>5. Boyden Calgary Inc. ("Boyden") – Boyden is an Alberta corporation that commenced operations as an executive search firm commencing January 1, 2014. Boyden's shareholders and directors are Hamilton, Soles, Gregor, Brent Shervey and Robert Travis ("Travis"). Travis is the "managing partner" of Boyden. Travis and Shervey had no involvement in HHSRB.</p> <p>We act for Boyden. Our contact, and the authorized source of our instructions, is Travis. Peacock Linder Halt Mack LLP acts for the remaining defendants.</p> <p>The action commenced as an Originating Application on February 10, 2014 seeking various forms of relief under the oppression remedy, including, inter alia, an order for the delivery of certain financial records of HHSRB, an order directing one or more of the Defendants to purchase Hall's shares in HHSRB for \$400,000, and an order directing one or more of the Defendants to pay Hall \$750,000 for his share of HHSRB revenue, and \$250,000 representing his alleged portion of HHSRB's retained earnings. Hall left HHSRB in July 2012 to form a competitor, but remained a shareholder of HHSRB. Hall alleges that Hamilton, Soles and Gregor breached the Alberta Business Corporations Act, and acted in an oppressive manner by causing HHSRB to enter into a Purchase and Sale Agreement with Boyden whereby HHSRB's assets were transferred to Boyden for less than fair market value and in the absence of the required special shareholders' resolution.</p> <p>The issues in the litigation include HHSRB's revenue during the period after Hall left the company, and the revenue distribution practices of the shareholders of HHSRB both before and after Hall departed HHSRB. Each of Hall, Hamilton, Soles and Gregor are executive search practitioners. They refer to one another as "partners", and their historical practice of profit distribution involved a formula that considered the revenue contribution of their "practices", and their contributions to the administration of the company.</p> <p>The Originating Application was heard on December 11, 2014. Affidavits were filed by Hall, Soles, Gregor, Travis, and HHSRB's accounting consultant Jackie Altwasser. All deponents were cross</p>	
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SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

				<p>examined prior to the application.</p> <p>The Court of Queen's Bench did not grant the relief sought in the Originating Application, but instead ordered the matter to be re-commenced by Statement of Claim, and set out a schedule for pre-trial steps. This included a deadline for Affidavits of Records of all parties by February 27, 2015 (which was subsequently amended to March 13, 2015).</p> <p>On March 15, 2015, all parties exchanged Affidavits of Records. Landon Miller of our office, who assisted me with the Originating Application, collected the client's records and prepared the Affidavit of Records.</p> <p>Boyden's Affidavit of Records included 127 records, one of which is an email that Jackie Altwasser (HHSRB's accounting consultant) sent to Robert Travis on April 9, 2014 attaching a large spreadsheet setting out revenue data of HHSRB during the relevant period, including an income statement. Revenue from specific customers is included.</p> <p>The court ordered schedule required the Plaintiff to conduct questioning by April 30, 2015. The Plaintiff did not conduct questioning by that date, but instead brought an application to compel answers to certain undertakings requested from Jackie Altwasser and Kevin Gregor, which included requests to provide the source accounting data of HHSRB in Simply Accounting format, a list of the assignments in progress on the date that HHSRB ceased operations, and a copy of HHSRB's hard copy and electronic client database.</p> <p>HHSRB resisted the application on the basis that the identity of its customers, subsequent to Hall's departure, and the revenue from those customers, is confidential.</p> <p>Hall relied on the fact that Boyden had disclosed the records in its possession that are relevant to HHSRB's revenue generation in support of its application to compel HHSRB to produce further records.</p> <p>The revenue generated by HHSRB, its retained earnings, and the distribution of profits to Hamilton, Soles and Gregor based on the revenue generation from their "practices", which are comprised of specific clients, is an issue in the litigation.</p> <p>On September 3 and October 21, 2015, the Court of Queen's Bench ordered HHSRB to produce certain additional documents and information.</p> <p>On or about November 20, 2015, I was informed by Travis that, in the course of compiling the additional requested documents, he had an argument with Hamilton in which Hamilton advised him that, should HHSRB, Hamilton, Gregor and Soles "lose" the lawsuit, Hamilton would commence an action against Travis and BLG for disclosing HHSRB's customer identities and revenue information.</p>	
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SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES  
FOR PAST FIVE YEARS

(REVISED MARCH, 2019)

					No changes (CJ – March 2017)  No changes (CJ – March 2018)  File Closed (CJ – March 2019)  CLLAS CLOSED SEPTEMBER 30, 2018	
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# LPIC CLAIMS

## As of March, 2019

POTENTIAL CLAIMS - TO BE REPORTED			
	Approximate Date of Claim/Complaint	Nature of Claim/Complaint	Amount
	Sept/Oct, 2018 No CLLAS File	<ul style="list-style-type: none"> <li>Emma Blanchard – Parkbridge – as advised by Emma, a solution was found so it was not reported. KPN following up with her. (April 12/19)</li> </ul>	
	Nov. 3, 2017 No CLLAS File	<ul style="list-style-type: none"> <li>Paul Taylor – re: lost Will – was resolved by means of a consent before it was reported.</li> </ul>	

POTENTIAL CLAIMS - REPORTED			
	Approximate Date of Claim/Complaint	Nature of Claim/Complaint	Amount
1.	May, 2018 No CLLAS File	<ul style="list-style-type: none"> <li>Jeffrey Wong (Jeff Coghlan)</li> </ul>	
2.	April 26, 2018 No CLLAS File	<ul style="list-style-type: none"> <li>Kevin Laroche – M-I L.L.C.</li> </ul>	
3.	July, 2017 No CLLAS File	<ul style="list-style-type: none"> <li>David Sherriff-Scott re: Francois Jacques</li> </ul>	
4.	May, 2017 No CLLAS File	<ul style="list-style-type: none"> <li>John O'Toole. PWC-Rump Estate</li> </ul>	
5.	February 1, 2016 CLLAS File 2016-158 - now closed	<ul style="list-style-type: none"> <li>Jane Bachynski re: Canadian Professional Soccer League</li> <li>Missed limitation deadline. Under understanding that all Defendants including Claimants, had agreed to waive, suspend or toll the limitation period for asserting crossclaims.</li> </ul>	\$2,000,000.00 and up
6.	December 20, 2016 No CLLAS File	<ul style="list-style-type: none"> <li>Beverley Moore. Re: Novartis</li> </ul>	Unknown

CLAIMS ISSUED			
	Approximate Date of Claim/Complaint	Nature of Claim/Complaint	Amount
3.	June 5, 2013 No CLLAS File	<b>John O'Toole</b> – Claim was reported to LawPro by Gowlings on June 5, 2013. Statement of Claim was issued on May 24, 2013 against Gowlings and John O'Toole without any previous indication a claim was going to be advanced. The allegation is that Mr. O'Toole gave negligent advice with respect to whether or not the Plaintiff, Gregory King, a former partner at Gowlings,	\$2,000,000.00

		<p>should defend a claim against him in Italy. Plaintiff alleges that based upon Mr. O'Toole's advice, he decided not to attorn to Italian jurisdiction and did not defend the Italian action. Judgment was obtained against Mr. King in August, 2001 and proceedings were brought in Ontario in 2007 to enforce the judgment. Judgment was ordered enforced pursuant to an Order made in Ontario on November 30, 2010. Mr. King fought the enforcement Order all the way to the Supreme Court of Canada and lost. The issued proceedings against Gowlings and Mr. O'Toole is indicated above on May 24, 2013. This claim appears to be frivolous and has a very unlikely prospect of success.</p>	
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<b>LAW SOCIETY OF UPPER CANADA COMPLAINTS</b>			
	<b>Approximate Date of Claim/Complaint</b>	<b>Nature of Claim/Complaint</b>	<b>Amount</b>
		None	

OTT01: 5978241: v1



Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society					CLLAS				
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLLAS Indemnity Paid	CLLAS Legal Paid	CLLAS Indemnity Reserve	CLLAS Legal Reserve	CLLAS Incurred Liability
CLLAS1988-020	JORDAN DIMOFF	THOMAS QUINN	01-Nov-1987	01-Feb-1987	01-Jul-1990		\$ -	\$ 11,939	\$ -	\$ -	\$ 11,939	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1988-039	GARY A. MAVARA	DIEDDAH PROPERTIES	01-Nov-1987	01-Sep-1987	01-Mar-1988	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-005	THOMAS G. ANDREWS	COLDMATT	01-Sep-1988	01-Jun-1988	01-Dec-1988	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-013	J.H. BERLINER	R.J.A. WILDMAN	01-Feb-1989	01-Dec-1985	01-Jun-1989	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-019	Richard A. Applebaum	HYUNDAI AUTO	01-Mar-1989	01-Feb-1986	01-Apr-1991		\$ -	\$ 13,494	\$ -	\$ -	\$ 13,494	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-038	W.R. MIDDLETON	HERTZ	01-Apr-1989	01-Mar-1989	15-Jan-1990	CPF	\$ 13,655	\$ 2,408	\$ -	\$ -	\$ 16,063	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-050	R.W. MURRAY	BRUCE CLARK	01-Jun-1989	01-Jun-1989	08-Feb-1990		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-052	Mary M. Fox	DONALD F. RIPLEY	01-Jun-1989	01-May-1989	31-Dec-1993		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-054	WENDY J. EARLE	JOHN GILGAR	01-Jun-1989	01-Jan-1989	31-Dec-1993		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-062	Wendy Tattle	SCOTT & FRED WHITE	30-Jun-1989	31-Mar-1989	31-Dec-1996		\$ -	\$ 13,362	\$ -	\$ -	\$ 13,362	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-063	GORDON JAMES SHEARN	ARCHIVAL VENTURE	01-Jun-1989	01-Dec-1988	15-Apr-1990	NCP	\$ -	\$ 472	\$ -	\$ -	\$ 472	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-087	I.A. MCEWAN	SUN PAC FOODS LTD.	01-Jun-1989	01-Jan-1985	01-Feb-1991	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-091		AMERICAN HOME	01-Nov-1988		03-Jul-1990		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-009	WILLIAM S. ROBERTSON	Bank of Nova Scotia	01-Oct-1989	01-Jun-1989	25-Oct-1989		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-078	R.LEE WOODS	MR. & MRS.PETER GOOCH	15-Jun-1990	01-Jan-1990	01-Sep-1991	NCP	\$ -	\$ 1,745	\$ -	\$ -	\$ 1,745	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-086	RICHARD LEE WOODS	FEDERAL BUSINESS DEVELOPMENT BANK	30-Jun-1990	28-Feb-1988	13-Mar-1995	CPF	\$ 37,500	\$ 7,471	\$ -	\$ -	\$ 44,971	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-098	Lorne H. Saltman	686793 ONTARIO LIMITED	28-Jun-1990	15-Oct-1989	01-Dec-1990		\$ 10,554	\$ -	\$ -	\$ -	\$ 10,554	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-004	R. LEE WOODS	MUNICIPAL SAVINGS & LOAN CORP.	31-Jul-1990	30-Apr-1989	02-Mar-1995	NCP	\$ -	\$ 824	\$ -	\$ -	\$ 2,660	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-005	K.W. SCOTT	AMCA INTERNATIONAL	01-Jul-1990	01-Mar-1990	27-Mar-1991		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-010	W.PAUL MCCARTEN	DAN BROWN	01-Aug-1990	01-Dec-1989	31-Dec-1993		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-011	K.W. SCOTT	ELLIS-DON LIMITED/DOMINION BRIDGE	18-Jul-1990	01-Mar-1990	30-Jun-1994		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-023	R. LEE WOODS	TRITEN CORPORATION CANADA LTD.	30-Oct-1990	31-May-1990	30-Sep-1999		\$ 404,418	\$ 398,059	\$ -	\$ -	\$ 1,000,000	\$ 3,490,099	\$ 103,049	\$ -	\$ -	\$ 3,593,148
CLLAS1991-025	R. LEE WOODS	PRENOR TRUST	31-Aug-1990	30-Apr-1989	18-Dec-1997		\$ 50,000	\$ 58,047	\$ -	\$ -	\$ 108,256	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-061	MARY MARGART FOX	CHERE BUNTING	06-Feb-1991	01-Jan-1991	30-Oct-1991	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-067	Michael McKeley	ENG HO TAN	01-Feb-1991	01-Jan-1990	30-Jun-1994		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-077	META M. TORY	ESTATE OF COLIN HALLAM	01-Mar-1991	30-Apr-1990	20-Sep-1993	CPF	\$ 24,021	\$ 571	\$ -	\$ -	\$ 24,592	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-105	MARK BARUZZI	BIO-MED SYSTEMS CORPORATION - ERIC LEHNER	15-May-1991	01-Sep-1989	01-Jun-1991	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-116	Martin Scilsizzi	Bank of Nova Scotia	03-Jun-1991	01-Nov-1990	01-Sep-1991	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-150	Richard A. Applebaum	AMBROZIC	16-May-1991	01-Apr-1990	01-Nov-1991	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-151	ALICE JANISCH	MONEY MANAGERS INC.	15-Jun-1991	01-Jan-1991	31-May-1993	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society						CLIAS			
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLIAS Indemnity Paid	CLIAS Legal Paid	CLIAS Indemnity Reserve	CLIAS Legal Reserve	CLIAS Incurred Liability
CLIAS1991-153	STEVEN N. ICZKOVITZ	N. ABBOTT LIMITED	01-Feb-1991	01-Apr-1990	01-Jun-1992	NCP	\$ -	\$ 755	-	\$ -	\$ 755	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1992-015	JAMES D.G. DOUGLAS	ONTARIO ELECTRICAL CONSTRUCTION COMPANY	20-Sep-1991	22-Jun-1991	01-Dec-1992	NCP	\$ -	\$ 5,046	-	\$ -	\$ 5,046	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1992-092	ELLEN R. CHAIKOF	FRANK MATIC	21-Feb-1992	26-Jun-1989	01-Oct-1994	NCP	\$ -	\$ 3,289	-	\$ -	\$ 3,289	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1992-093	GEOFF MORAWETZ	SWISS INSTRUMENTS LIMITED	21-Feb-1992	01-Jan-1991	09-Dec-1993	NCP	\$ -	\$ -	-	\$ -	\$ 1,932	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1992-094	MAUREEN HOUSTON	MARY-ANNE TAYLOR	27-Feb-1992	01-Apr-1990	01-Mar-1993	NCP	\$ -	\$ -	-	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1992-101	Lorne H. Saltman	JON HUSSMAN	17-Mar-1992	28-Jul-1987	01-Jan-1993	NCP	\$ -	\$ -	-	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1992-122	GARTH MANNING	MONTREAL TRUST/Mr. & Mrs. WEDLOCK	14-May-1992	02-May-1990	01-Dec-1992	NCP	\$ -	\$ -	-	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1992-172	G.B. MORAWETZ	NICHOLAS PRESS	30-Jun-1992	01-Jun-1992	01-Dec-1992	NCP	\$ -	\$ -	-	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1992-173	Martin Scisizzi	HALIFAX INSURANCE COMPANY	29-Jun-1992	01-Feb-1990	02-Sep-1994	NCP	\$ -	\$ -	-	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1992-174	BARBARA CAPPEL	WHITBY LANDMARK DEVELOPMENT INC.	29-Jun-1992	01-Jan-1990	13-Dec-1993	NCP	\$ -	\$ -	-	\$ -	\$ 1,162	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1992-183	ILSA J. SHORE	ZURICH LIFE	28-Feb-1992	31-Jul-1991	04-Oct-1994	NCP	\$ -	\$ -	-	\$ -	\$ 1,293	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1993-005	N.C. SAXE	THOMAS PAYETTE	18-Aug-1992	01-Jan-1990	28-Jan-1994	NCP	\$ -	\$ -	-	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1993-029	William T. Pashby	X-L COIN-OP/THOMAS MCEWEN	30-Nov-1992	31-Jul-1992	08-Feb-1999	NCP	\$ -	\$ 3,544	-	\$ -	\$ 3,544	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1993-039	Murray B. Shopiro	Barclays Bank of Canada	31-Dec-1992	01-Dec-1992	06-Jul-1994	NCP	\$ -	\$ 2,660	-	\$ -	\$ 2,660	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1993-077	J.F.L. MCARDLE	ADAPTIVE MICROELECTRONICS LTD.	12-Mar-1993	01-Aug-1986	08-Oct-1993	NCP	\$ -	\$ -	-	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1993-078	M.J. DERMER	DAVID LONGARINI	11-Mar-1993	30-Sep-1990	11-Mar-1994	NCP	\$ -	\$ -	-	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1993-090	DORIS L. BAUGHAN	NATIONAL BANK OF CANADA	04-Feb-1993	15-May-1991	31-May-1993	NCP	\$ -	\$ 1,184	-	\$ -	\$ 1,184	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1993-105	M.J. DERMER	Stanley P. Fienberg	29-Apr-1993	31-Jan-1991	17-Aug-1993	NCP	\$ -	\$ -	-	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1993-118	CHRISTINE FOTOPOULOS	Oleh Bych	31-May-1993	31-Dec-1992	22-Oct-1993	NCP	\$ -	\$ -	-	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1993-141	Craig J. Hill	National Trust/GHALI	30-Jun-1993	28-Feb-1993	17-May-1995	NCP	\$ -	\$ -	-	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1993-142	W. Paul McCarten	Bradley & Associates Insurance Agencies Ltd.	23-Jun-1993	12-Dec-1990	09-Nov-1993	NCP	\$ 2,500	\$ -	-	\$ -	\$ 2,500	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1993-164	BARBARA CAPPEL	WHITBY LANDMARK DEVELOPMENT INC.	30-Mar-1993	31-Aug-1991	31-Jan-2003		\$ 947,630	\$ 52,370	-	\$ -	\$ 1,000,000	\$ 302,370	\$ 24,229	\$ -	\$ -	\$ 326,599
CLIAS1994-012	DOREEN WONG	Barclays Bank of Canada	19-Aug-1993	01-Nov-1990	03-Mar-1995	NCP	\$ -	\$ 3,568	-	\$ -	\$ 5,564	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1994-015	John J. Morris	John McNevin	27-Aug-1993	01-Jan-1993	21-Mar-1995	NCP	\$ -	\$ 4,205	-	\$ -	\$ 4,205	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1994-032	IAN F. GAVAGHAN	Haddow/Ganter	13-Oct-1993	30-Sep-1988	30-Nov-1993	NCP	\$ -	\$ 255	-	\$ -	\$ 255	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1994-047	W. Douglas R Beamish	Dan Burnham Farquharson	04-Nov-1993	01-Aug-1993	04-Jan-1994	NCP	\$ -	\$ 3,189	-	\$ -	\$ 3,189	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1994-050	DAVID A.L. BRITNELL	Don Malcolmson	26-Nov-1993	01-Jan-1989	23-Jan-1995	NCP	\$ -	\$ 10,480	-	\$ -	\$ 10,480	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1994-053	John D. Marshall	Dr. Victor Kutcher	03-Dec-1993	01-Apr-1993	06-Jul-1998	NCP	\$ 35,000	\$ 36,359	-	\$ -	\$ 72,534	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1994-079	George SEDGWICK	STAFFORD, HUGH	02-Mar-1994	01-Oct-1991	27-Feb-1995	NCP	\$ -	\$ -	-	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1994-097	John R. Wood	AETNA TRUST COMPANY	05-Apr-1994	01-Jan-1993	16-Mar-1995	NCP	\$ -	\$ -	-	\$ -	\$ 1,467	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1994-134	W. Paul McCarten	Scarborough Public Utilities	06-Jun-1994	01-Aug-1983	30-Aug-1996	NCP	\$ -	\$ -	-	\$ -	\$ 961	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1994-158	Lynn McGrade	AIC Securities	21-Apr-1994	01-Aug-1992	30-Aug-1996	NCP	\$ -	\$ -	-	\$ -	\$ 1,065	\$ -	\$ -	\$ -	\$ -	\$ -



Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society						CLIAS			
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLIAS Indemnity Paid	CLIAS Legal Reserve	CLIAS Indemnity Reserve	CLIAS Legal Reserve	CLIAS Incurred Liability
CLIAS1999-005	DORIS L. BAUGHAN	550 Management Inc. & 1192779 Ontario Ltd.	27-Jul-1998	20-Mar-1998	06-May-1999	NCP	\$ -	\$ -	\$ -	\$ -	\$ 1,067	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1999-006	Lou Kozak	Flextec International Ltd.	28-Jul-1998	28-May-1998	29-Apr-2003	SIR	\$ 38,204	\$ -	\$ -	\$ -	\$ 38,204	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1999-012	Patrick Lincoln	Nuala Beck & Associates Inc.	05-Aug-1998	01-Jun-1997	11-Dec-1998	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1999-055	Victoria Prince	PolyGram Canada Inc.	02-Nov-1998	20-Apr-1998	27-Jul-1999	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1999-070	R. Bruce Reynolds	General Accident/Interwide Mechanical	07-Jan-1999	11-Dec-1998	05-Feb-1999	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1999-137	Anne M Kendall	Mohammed Israfil	28-May-1999	25-May-1999	30-Jul-1999	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1999-138	Richard A. Applebaum	Paul Jeffrey Pape/Scotia Mortgage Corp.	29-Apr-1999	12-May-1992	24-Dec-2001	NCP	\$ 95,000	\$ 39,569	\$ -	\$ -	\$ 134,569	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1999-139	Lincoln Caylor	W. Roert Hutcheson Sand & Gravel	27-Apr-1999	28-Sep-1998	01-Jun-1999	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1999-140	Hans Dickie	LPIC/Michael Eisenstein	22-Apr-1999	13-Mar-1990	09-Jul-2001	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1999-141	Ronald Foerster	Emmanuel Feuerwerker	05-Apr-1999	09-Mar-1997	18-Jun-2001	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS1999-142	Wayne D. Barton	Ted Hains/Hains Mktng Corp.	21-May-1999	15-Sep-1998	04-Apr-2006	NCP	\$ -	\$ 932	\$ -	\$ -	\$ 932	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2000-034	Salvatore Mirandola	Guardian/Wellington & Central Registry of Grad. Nu.	14-Oct-1999	15-Sep-1998	31-Dec-2004	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2000-035	Victoria Prince	The ABAL Corp & HARPP Industries	26-Oct-1999	17-Feb-1997	29-Nov-2000	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2000-036	Ken W. Scott, Q.C.	Inco Limited	12-Nov-1999	01-Oct-1998	17-Apr-2002		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2000-037	John R. Wood	The Manufacturers Life Insurance Company	24-Nov-1999	30-Jun-1999	29-Aug-2002	NCP	\$ -	\$ 1,066	\$ -	\$ -	\$ 1,066	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2000-075	Anne M. Kendall	Dr. Dana Diudea	28-Jan-2000	26-Nov-1999	16-Feb-2000	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2000-107	M.J. DERMER	Dr. David Douglas	11-Apr-2000	01-Jun-1998	31-Dec-2004	NCP	\$ -	\$ 68,810	\$ -	\$ -	\$ 68,810	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2000-109	David Longcroft	President Asian Enterprises/Torgan Group	20-Apr-2000		03-May-2001	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2000-120	STEVEN N. ICZKOVITZ	Four Seasons Hotels Limited	28-Apr-2000	16-Mar-1994	26-Mar-2002		\$ -	\$ 10,896	\$ -	\$ -	\$ 10,896	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2000-134	Michael G. Massicotte	Kurt and Bruce Schurer	16-Jun-2000	01-Jun-2000	31-Jan-2001		\$ 26,037	\$ -	\$ -	\$ -	\$ 28,221	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2000-150	Jim Elder	Lynda Thompson	27-Jun-2000	06-Sep-1996	30-Sep-2001	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2000-154	Joan Chambers	Future Shop Ltd.	31-Mar-2000		05-Feb-2002		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2001-006	John Morris	Dr. Nancy Olivieri	03-Aug-2000	20-Jun-2000	31-Dec-2002		\$ -	\$ 940	\$ -	\$ -	\$ 940	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2001-012	Fred Somerville	Robin Thomas	28-Jul-2000		31-Mar-2001	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2001-013	Susan I. Dumont	Stoney Tribal Admin/Nakoda First nation/Wesley Ban	16-Aug-2000		31-Dec-2008		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2001-019	Kevin P. Nearing	675830 Ontario Inc.	24-Mar-1999	02-Dec-1997	27-Aug-2002		\$ 300,000	\$ 64,829	\$ -	\$ -	\$ 364,829	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2001-022	Gabrielle Kramer	Michael Elder	12-Oct-2000	06-Oct-2000	30-Sep-2001	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2001-026	Tom Ouchterlony	Paul Byrne, M.D.	18-Oct-2000	16-Nov-1993	26-Apr-2002		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2001-041	Timothy O. Buckley	Bowater Pulp and Paper (Cdn Pacific First Products)	28-Feb-1997	01-May-1994	25-Feb-2005		\$ 200,000	\$ 46,878	\$ -	\$ -	\$ 246,878	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2001-056	Shelley M Tratch	Mustang Investments Ltd	20-Mar-2000		26-Mar-2001	SIR	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2001-057	Larry R. Sandrin	Home Depot of Canada Inc.	31-Mar-2000		31-Aug-2004		\$ 762,225	\$ 237,775	\$ -	\$ -	\$ 1,000,000	\$ 420,614	\$ -	\$ -	\$ -	\$ 420,614
CLIAS2001-066	Oren Samuel	Marsh U.K. Ltd.	08-May-2000		30-Jun-2000		\$ 11,000	\$ -	\$ -	\$ -	\$ 11,000	\$ -	\$ -	\$ -	\$ -	\$ -





Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society					CLAS				
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Incurred Liability
CLAS2003-074	Nigel P. Cave	Boston Pizza Int'l	06-Dec-2002	17-Jul-2002	30-Jan-2009	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-081	M. Scott Wilson	Rider Resources Inc.	12-Dec-2002	09-Dec-2002	11-Mar-2003	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-097	John Sampson	SUN LIFE ASSURANCE COMPANY OF CANADA	31-Dec-2002		21-Jul-2004	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-103	John L. Sampson	TBA	31-Dec-2002		20-Feb-2004		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-108	Dirk H. Laudan	Micromill Systems Inc.	05-Dec-2002		30-Nov-2004	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-112	William C. Guinan	Business Development Bank of Canada	16-Jan-2003		30-Jun-2005	NCP	\$ -	\$ 4,171	\$ -	\$ -	\$ 4,171	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-115	Susan van der Hout	Technessen Ltd./Richter & Partners Inc.	24-Jan-2003	20-Jan-2003	31-Dec-2004	NCP	\$ -	\$ 289	\$ -	\$ -	\$ 289	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-116	Michael R. Whitt	Redfern Enterprises Ltd.	15-Nov-2002		30-Jun-2005	ECS	\$ 10,000	\$ 7,470	\$ -	\$ -	\$ 17,470	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-123	Christine Collard	Quality Steel Foundries Limited	03-Mar-2003	07-Aug-2001	17-Mar-2003	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-124	Ross D. Freeman	Attila Dogan Design & Construction Ltd.	03-Mar-2003		31-Dec-2008	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-125	Christine J. Collard	25924 Stichting Dienst Landbouwkundig Onderzoek	03-Mar-2003		17-Mar-2003	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-126	Christine Collard	Exxon Mobil Research & Engineering Company	03-Mar-2003		17-Mar-2003	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-127	Michael Marion	Dan Wood	03-Mar-2003		25-Aug-2003	NCP	\$ -	\$ 1,985	\$ -	\$ -	\$ 1,985	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-128	Fred W. T. Somerville	Jeffrey Brookman/James C. Crawford	07-Mar-2003		31-Mar-2003	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-132	Noella Milne	New Abbey Investments Inc - 11 Axis St. Condo Proj	02-Mar-2003	01-Feb-2001	04-Apr-2006		\$ -	\$ 35,405	\$ -	\$ -	\$ 35,405	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-135	L. Anne Kinsman	Norrel Networks Limited	14-Mar-2003	28-Feb-2003	04-Feb-2004	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-136	Douglas O. Smith	City of Toronto	19-Mar-2003	01-Nov-2002	28-Apr-2003	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-156	John L. Ircandia	PLM Consultants Ltd	19-Feb-2003		31-Mar-2003	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-160	Douglas O. Smith	The Guarantee Company of North America	21-Mar-2003	25-Jul-2000	30-Sep-2003	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-162	Brian C. Keith	Guarantee Company of North America	23-Feb-2003		30-Sep-2003	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-171	Gabriela Ramo	Nischal N. Rajey	13-May-2003	15-May-2001	31-Mar-2005		\$ 3,000	\$ -	\$ -	\$ -	\$ 3,000	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-178	Lou Kozak	Richtree Inc. et al	01-May-2003	25-Nov-1998	11-Apr-2005	NCP	\$ -	\$ 14,934	\$ -	\$ -	\$ 14,934	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-183	James W. MacLellan	Kennedy Electric Limited	03-Jun-2003	01-Jan-2003	11-Jun-2003	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-184	Victoria Prince	Sam Roth	02-Jun-2003	31-Aug-2001	25-Jun-2003	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-193	Francois Morin	Family of the Duke of Roxburghe	09-Jun-2003		06-Feb-2006	SNC	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-194	Johanne Thomas	Fonds Scotia	02-Jun-2003		31-Dec-2008		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-196	Pierre Cote	Revenue du Quebec	04-Feb-2003		01-Jun-2004	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-203	John S. Koh	Maxtech Manufacturing Inc.	20-Jun-2003	02-Apr-2003	30-Jul-2003	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-217	Robert D. Shaw	Canadian National Railway Company	30-Jun-2003		06-May-2004	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2003-223	Noella Milne	New Abbey Investments Inc - 11 Axis St. Condo Proj	21-Mar-2003		31-Mar-2004	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2004-005	D. Ross McGowan	Chubb Insurance Company of Canada	31-Jul-2003		31-Dec-2004	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2004-006	Karen A. McHugh	Attorney General of Canada/Human Resources Dev.	22-Jul-2003		24-Feb-2005	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

[illegible]



Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society					CLIAS				
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLIAS Indemnity Paid	CLIAS Legal Reserve	CLIAS Indemnity Reserve	CLIAS Legal Reserve	CLIAS Incurred Liability
CLIAS2004-146	Michael R. Rempel	Bio-Synergy Resources Inc.	07-Apr-2004	01-Apr-2004	04-Nov-2004	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2004-164	Robert A. Kopstein	Cypress Capital Management/Tom Dean	18-May-2004	01-Jun-2001	29-Sep-2006		\$ 6,000	\$ -	\$ -	\$ -	\$ 6,000	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2004-165	Gary J. Wilson	Kenneth I. Drushka and Laura D. Willimovsky	18-May-2004		25-Aug-2004	SIR	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2004-168	Michelle Dwyer	Astrida Kankis	27-May-2004	01-Aug-2003	09-Jul-2004	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2004-169	Terrance A. Sweeney	Tata Infotech Ltd.	27-May-2004	01-Jul-2001	22-Feb-2007		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2004-173	Tim P. Bates	John A. Harrison et al	25-May-2004	28-Jan-1989	31-Dec-2010		\$ -	\$ 281,192	\$ -	\$ -	\$ 281,192	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2004-175	D.J. Dochylo	Hanlon Estate	07-Jun-2004	01-Jul-2003	01-Sep-2004	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2004-182	Graham Walker	O&Y Properties/New West Enterprise	10-Jun-2004	31-Mar-2004	06-Mar-2015	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2004-188	David Miachika	Simon Szeto	10-Jun-2004		31-Oct-2007		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2004-217	Robert R. Shouldice	B.C. Railway Company	30-Jun-2004	31-Dec-2003	06-Mar-2015	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2004-218	Otto H. Nowak	Ta Ming Wang	29-Jun-2004		31-Oct-2012	SIR	\$ 175,640	\$ 68,007	\$ -	\$ -	\$ 243,647	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2005-001	Melany Franklin	Fred Williams	08-Jul-2004	31-Jul-2002	21-Oct-2004	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2005-003	Robert/Eric Russell/Dufo	Reseau Immobilier La Capitale Inc.	22-Jul-2004		30-Jul-2004	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2005-006	Rosalind Morrow	David Morrison	29-Jul-2004	14-Jul-2004	31-Dec-2005	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2005-011	Mary Locke Macaulay	Alexa Andree	10-Aug-2004		31-Dec-2008		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2005-017	Johanne Thomas	West Fraser Timber Co. Ltd.	20-Sep-2004		30-Sep-2004	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2005-033	Donald Edwards	Andychuk/Arsenal Energy et al	29-Sep-2004		28-Apr-2005	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2005-037	Randall Echlin	Dr. Steve Funk	08-Oct-2004	01-Jun-2002	07-Apr-2005	NCP	\$ -	\$ 3,413	\$ -	\$ -	\$ 3,413	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2005-077	Peter D. MacDonald	Canadian National Railway	28-Dec-2004		30-Jun-2005	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2005-078	Holly Pommier	Navigata Communications	28-Dec-2004		06-Mar-2015	SIR	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2005-079	Peter S. Beyak	Larry Sugar	31-Dec-2004		31-Dec-2005		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2005-082	Bradley J. Freedman	Ingrid Homberg	26-Nov-2004		17-Aug-2005		\$ -	\$ 12,950	\$ -	\$ -	\$ 10,050	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2005-083	Jeffrey S. Thomas	CSRS Ltd.	23-Oct-2004		30-Dec-2011		\$ 851,584	\$ 148,416	\$ -	\$ -	\$ 1,000,000	\$ 274,979	\$ -	\$ -	\$ -	\$ 274,979
CLIAS2005-097	John Tobin	Robert Turnbull Holdings Ltd.	19-Oct-2004	12-Oct-1989	13-Mar-2012	NCP	\$ -	\$ 21,962	\$ -	\$ -	\$ 21,962	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2005-098	Matt Campbell	Former Shareholders of Brawley Cathers Ltd.	05-Nov-2004		31-Jan-2005	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2005-099	Orlando V. Da Silva	HSBC Capital (Canada) Limited	19-Oct-2004	30-May-2003	08-Nov-2006	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2005-100	Julie Daoust	Raymond Rochon	29-Dec-2004		31-Dec-2004	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2005-101	Tim Sehmer	Chase Enterprises/Marin Investments Ltd - Pinecrest	29-Dec-2004		25-Aug-2006	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2005-103	Joanne Foot	Sentry Select	17-Dec-2004	20-Nov-2003	30-Jun-2009		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2005-104	Eric Keller	First Associates Investments Inc.	20-Dec-2004		31-Mar-2005	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2005-105	Peter Casey	J.P. Glauser Inc and Vimax Industries	12-Nov-2004		31-Dec-2008		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2005-106	Hans Dickie	Raul Martins and 1455730 Ontario Limited	26-Aug-2004	21-May-2002	22-May-2008	NCP	\$ -	\$ 3,171	\$ -	\$ -	\$ 3,171	\$ -	\$ -	\$ -	\$ -	\$ -







Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society					CLAS				
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Incurred Liability
CLAS2008-036	Mary M. Fox	Canadian Pharmacists Assoc. Levroy Fevang	31-Oct-2007		10-Aug-2010	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2008-037	Stephen C. Lee	Northwest Territories Power Corp	29-Oct-2007		02-Jan-2008	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2008-038	Douglas G. Copland	Go2 Tourism HR Society	01-Nov-2007		30-Apr-2010	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2008-049	Robert B. Dawkins	Voltech International Inc.	27-Nov-2007	01-Oct-2007	30-Apr-2009	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2008-055	Frank Newbould	Celluland Canada Inc.	03-Dec-2007		10-Aug-2010	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2008-056	Michael Whitt	DynaGen Technologies Inc.	04-Dec-2007	15-Nov-2007	30-Dec-2008	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2008-062	Alexander DeZordo	Walter Ball	06-Dec-2007		11-Mar-2015		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2008-064	Brent Mescall	Numbered Company/Dr. Lorenzo Diana	12-Dec-2007		15-Oct-2012	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2008-068	Richard L. Williams	MTU Maintenance Canada Ltd.	20-Dec-2007		22-Jul-2011	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2008-076	Graham Walker	BCR Properties Ltd.	20-Dec-2007		30-Apr-2009	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2008-080	Debra L. Sing	Insurance Corporation of British Columbia (ICBC)	28-Dec-2007		25-Feb-2010	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2008-082	Melanie Bradley	Dwane Brosseau President and CFO Orient Venture	28-Dec-2007		30-Sep-2009		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2008-099	David C. Longcroft	Pope & Talbot Limited (507566)	29-Jan-2008		28-May-2010	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2008-106	John Paxton	H. Sugawara & Company	21-Feb-2008		24-Jun-2010	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2008-120	Sharon E. Borgland	Navroz (Rosie) Karim/Tansah Holdings Ltd.	27-Mar-2008	14-Mar-2008	29-Apr-2008	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2008-129	Barbara J. Walker-Rensh	Galina Milova	28-Apr-2008		01-May-2008	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2008-143	Josephine M. Nadel	Elizabeth L. Diamond (Rose Franks Trust)	27-May-2008		30-Dec-2009	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2008-144	Felicia Chen	Windmill West Properties LLP et al	27-May-2008		29-Feb-2012	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2008-150	M. Scott Wilson	High Plains	05-Jun-2008		23-Dec-2008	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2008-183	Graham B. Walker	Island-Sea Marine Ltd./Ken Higgs	30-Jun-2008		31-May-2010		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2008-184	Stephen Antle	American Bullion Minerals Ltd.	30-Jun-2008		30-Nov-2010	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2008-192	Bruce A. Lawrence	Estate of Gerald David Hunt	28-Nov-2007		30-Jun-2010	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2009-009	Victoria Prince	Anand Bahl	07-Aug-2008	20-Oct-1999	31-Dec-2013	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2009-026	MICHAEL J. MacNAUGHTON	HSBC Bank re: Jager Blding Systems and Terlam Inc.	07-Oct-2008	12-Sep-2009	30-Jun-2009	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2009-029	Patrick McCarthy	HSBC Bank Canada	08-Oct-2008	02-May-2008	16-Oct-2009	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2009-046	Adam Chamberlain	Kalanda	03-Nov-2008	30-Jun-2006	02-Nov-2009		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2009-067	Michael McKelvey	Lynne Golbourn (non-client)	10-Dec-2008	04-Jun-2007	27-Nov-2009	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2009-068	Gordon Zimmerman	Multimatic Inc.-'Structural I-Beam Auto Susp. Arm'	25-Nov-2008		30-Jun-2009		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2009-069	Mark Lewis	Canada Line Construction Company	15-Dec-2008		30-Dec-2009		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2009-079	Richard J. Bennett	CSI Holdings Ltd.	29-Dec-2008		30-Nov-2010	SIR	\$ -	\$ 5,891	\$ -	\$ -	\$ 5,891	\$ -	\$ -	\$ -	\$ -	
CLAS2009-088	M. Jeffrey Dermer	Holdemar Limited	06-Jan-2009		30-Jun-2009		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2009-090	Lisa De Piante	Anthony Coote	07-Jan-2009		17-Aug-2011	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	

















Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society				CLAS			
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLAS Indemnity Paid	CLAS Legal Reserve	CLAS Incurred Liability
CLAS2017-147	Michael David Di Paolo	Raffaelsen Privatbank Liechtenstein AG	19-May-2017	04-May-2017	29-Jan-2018	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-161	Stephen Antle	Dalko Ventures Ltd., Colleen Kloeble	29-Jun-2017				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-175	Emilie Jutras	Roland Dusault	13-Apr-2017		30-Jun-2018	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-012	Barry H. Bresner	Fiat Chrysler	31-Aug-2017	27-Jul-2017	27-Feb-2018	NCP	\$ -	14,129	\$ -	\$ -	14,129	\$ -	\$ -	\$ -
CLAS2018-018	Kathryn Kirkpatrick	City of Toronto	11-Sep-2017	31-Mar-2016	29-Jan-2018	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-024	Stephen D. Holmes	Mr. Payday Easy Loans Inc.	18-Sep-2017		30-Oct-2018		\$ -	190	\$ -	\$ -	190	\$ -	\$ -	\$ -
CLAS2018-030	Jessica Leung	Great Canadian Dollar Store	31-Oct-2017	29-Sep-2017			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-032	D. Ross McGowan	Ahmed Amr	03-Nov-2017				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-035	Natasha Miklaucic	David Rogers, Martin Day, Stephen Dowd, Jean Potter, Assif	08-Nov-2017	01-Apr-2015	26-Mar-2018	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-037	Martin Scisizzi	Bank of Nova Scotia	30-Nov-2017	09-Jul-2017	29-Jan-2018	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-046	Graham B. Walker	Larry Sawchuk	07-Nov-2017				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-054	Gordon Laird Sonny Ingram	The Guarantee Company of North America	20-Dec-2017	23-Aug-2017	30-Apr-2018	SIR	\$ -	1,690	\$ -	\$ -	1,690	\$ -	\$ -	\$ -
CLAS2018-058	Tommy Tremblay	Martin Turgeon, ADNMI International	08-Jan-2018				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-059	Morton G. Gross	Toronto Parking Authority	31-Jan-2018	01-Jun-2017			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-060	Gordon Zimmerman	Daniel Jones	29-Dec-2017	02-Jan-2010			\$ -	\$ -	10,000	\$ -	10,000	\$ -	\$ -	\$ -
CLAS2018-062	Arthur Fish	Estate of Donald Irwin MacIver	24-Jan-2018	13-Sep-2002			\$ -	13,221	\$ -	6,779	20,000	\$ -	\$ -	\$ -
CLAS2018-063	Morton G. Gross	Sheldon Godfrey	26-Jan-2018	19-Jun-2007			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-067	Dennis Pelkie	Susan Smith, Mary Jo Leslie	14-Feb-2018				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-078	Keith Batten	Laloni Properties International Inc., 2160943 Ontario Limited	19-May-2017	12-Apr-2017	25-Oct-2017	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-079	Richard E. Eisenbraun	Music Seeds International Ltd.	26-Mar-2018	04-Jan-2018			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-097	Ewa Krajewska	Typhon Group Ltd., Typhon Group Vaughan Limited	22-Sep-2017	21-Jul-2017			\$ -	2,497	\$ -	8,503	11,000	\$ -	\$ -	\$ -
CLAS2018-098	Robert Love	Laloni Properties International Inc., 2160943 Ontario Limited	19-May-2017	12-Apr-2017	30-Jul-2018	SIR	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-099	Jonathon Lee Thoburn	Derek Dunlop	15-Sep-2017	01-Jun-2017			\$ -	\$ -	\$ -	10,000	10,000	\$ -	\$ -	\$ -
CLAS2018-104	Barry H. Bresner	Nexia Health Technologies Inc.	18-May-2018	20-Jul-2017			\$ -	\$ -	\$ -	13,500	13,500	\$ -	\$ -	\$ -
CLAS2018-107	Vincent R.K. Orchard	Jonathon MacWillie	25-May-2018		28-Jun-2018	SIR	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-122	Patrick Lindsay	The Lorne Charles Webster Spousal Trust, by Trustee Royal Trust	27-Jun-2018				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2019-001	Robb McNaughton	Prairie Green Renewable Energy Inc.	13-Jul-2018				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2019-002	Colin Poon	Deventra Energy Inc., Front Range Resources Ltd.	16-Jul-2018				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2019-008	Ian J. Houston	Ontario College of Art and Design University	25-Jul-2018	01-Jan-2016			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2019-009	Robert B. Dawkins	Glenn Bogue	02-Aug-2018				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2019-022	Michelle Pilz	Victor Benesch	05-Sep-2018	15-Aug-2018			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2019-026	Douglas C. Jack	On Track Door Systems Canada Inc., Sheldon McPherson	14-Sep-2018	06-Mar-2018			\$ -	\$ -	\$ -	60,000	60,000	\$ -	\$ -	\$ -

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society						CLLAS			
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLLAS Indemnity Paid	CLLAS Legal Reserve	CLLAS Indemnity Reserve	CLLAS Legal Reserve	CLLAS Incurred Liability
CLLAS2019-034	Travis MacArthur	Ceana Development Sunridge Inc. Bahadur (Bob) Gaidhar, Yasmin	09-Oct-2018	25-Aug-2017			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2019-036	David C. Longcroft	The Surespan Group of Companies	12-Oct-2018				\$ -	\$ -	\$ 500,000	\$ 200,000	\$ 700,000	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2019-049	Susan Margot Blight	Ontario College of Art and Design University	23-Nov-2018	27-Jun-2017			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2019-053	Daniel Johnson, Sharagim Habibi, Johnathon Doll	Rethink and Diversity Securities Inc.	26-Nov-2018				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total							\$ 8,644,050	\$ 7,223,686	\$ 1,150,000	\$ 1,257,454	\$ 18,441,152	\$ 14,405,262	\$ 1,557,180	\$ -	\$ 85,580	\$ 14,982,544

CLLAS #	Insured	Claimant	Report Date	Error Date
2019-071	Henry Ngan	William Rallis	October 12, 2018	October 10, 2018
2019-073	Erin VanderVeer	Empire Communities	February 3, 2019	January 10, 2019
2019-086	Martin Scisizzi	Humberplex	February 11, 2019	December 1, 2017
N/A	Andrew Powers	N/A	N/A	N/A

No matter reported to CLLAS - follow up with firm.

APPENDIX F



SCHEDULE OF CLAIMS AND NOTICES AS AT DECEMBER 31, 2018

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
1.	BLG 00/4 LIF 00-316 CLLAS 2001-056	Shelley Tratch	Mustang Investments Ltd.	03/29/2000	On or about December 15, 1999	03/27/2001
	Description:	<ul style="list-style-type: none"> <li>Possible error re recording of dividend resolution.</li> <li>See letter and enclosures of March 29, 2000 from Ross Switzer to the Law Society of British Columbia.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

  

2.	BLG 00/5 LIF 00-361 CLLAS 2000-154	Joan Chambers/ Doug Copland/ Key-Yong Shin	Future Shop Ltd.	03/31/2000		05/19/2000
	Description:	<ul style="list-style-type: none"> <li>Failure to transfer domain name.</li> <li>See letter and enclosures of March 31, 2000 from Ross Switzer to the Law Society of British Columbia.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
3.	BLG 00/6 LIF 00-317 CLLAS 2001-057	Larry Sandrin	Home Depot of Canada Inc.	03/31/2000	December 20-23, 1999	Aug 30/04
	Description:	<ul style="list-style-type: none"> <li>Lawsuit re alleged drafting error in Offer to Purchase.</li> <li>See letter and enclosures of March 31, 200 from Ross Switzer to the Law Society of British Columbia.</li> <li>The matter is set for a five week trial commencing November 1, 2004.</li> <li>Discoveries have commenced but are not yet completed.</li> <li>A mediation of the claim is scheduled to be held on April 19 and 20, 2004 in Vancouver before George Adams.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought <u>\$2.25 million plus</u>	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

4.	BLG 00/7 LIF 00-364 CLLAS 2000-109	David Longcroft	President Asian Enterprises Inc. – Loan to Torgan Group of Companies	04/20/2000	March 1998	05/03/2001
	Description:	<ul style="list-style-type: none"> <li>Failure to obtain a guarantee of a loan.</li> <li>See letter and enclosures of April 20, 2000 from Ross Switzer to the Law Society of British Columbia.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		



	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
5.	BLG 00/8 LIF 00-396 CLLAS 2001-066	Borden Ladner Gervais/Oren Samuel/Christopher O'Connor and Bruce Gailey	Marsh U.K. Ltd.	05/05/2000		06/27/2000
	Description:	<ul style="list-style-type: none"> <li>Failure to plead provision of <i>Shipping Act</i>.</li> <li>See letter and enclosures of May 5, 2000 from Ross Switzer to the Law Society of British Columbia.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

6.	BLG 00/9 LIF 00-711 CLLAS 2001-159	Bradley J. Freedman	Willingdon Park Hospital Ltd.	09/13/2000	04/04/1996	
	Description:	<ul style="list-style-type: none"> <li>Opposition to amendment of pleading on grounds of limitations and delay.</li> <li>See letter and enclosures of September 13, 2000 from Ross Switzer to the Law Society of British Columbia.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
7.	BLG 01/1 LIF 01-002 CLLAS 2002-158	Roger D. McConchie/ Callum G. Kelly	Judy Chuacosu and George Chuacosu	01/04/2001		04/22/2002
	Description:	<ul style="list-style-type: none"> <li>Issue re limitation period in claim against municipality.</li> <li>See letter and enclosures of January 4, 2001 from Ross Switzer to the Law Society of British Columbia.</li> <li>By letter dated April 22, 2002, L.I.F. advised it was closing its file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

8.	BLG LIF CLLAS	01/3 01-689 2002-061	David K. Camp	Rivers Inlet Resort Ltd.	09/12/2001	01/01/1999 to 08/16/2000	12/01/2003
	Description:		<ul style="list-style-type: none"><li>Failure to advise purchaser of supplementary property tax liability re vendor's prior logging activities.</li><li>See letter and enclosures of September 12, 2001 from Don MacDonald to the Law Society of British Columbia.</li><li>By letter dated December 1, 2003, LIF advised it was closing its file.</li><li><b>CLOSED.</b></li></ul>				
	Damages Sought \$_____		\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
9.	BLG 01/4 LIF 01-671 CLLAS 2002-060	Max Weder	Sunrise Adoption Centre	09/24/2001	About March 1, 2001	11/07/2002
	Description:	<ul style="list-style-type: none"> <li>• Delay in filing a charity application form with the CCRA.</li> <li>• See letter and enclosures of September 24, 2001 from Don MacDonald to the Law Society of British Columbia.</li> <li>• By letter dated November 7, 2002, LIF advised it was closing its file.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

10.	BLG 01/6 LIF 01-902 CLLAS 2002-108	Robert Shouldice	British Columbia Hydro and Power Authority	12/20/2001	09/29/1998	April 29/04
	Description:	<ul style="list-style-type: none"><li>Possible error in drafting of a fee formula in an electricity purchase agreement.</li><li>See letter and enclosures of December 20, 2001 from Don MacDonald to the Law Society of British Columbia.</li><li><b>CLOSED.</b></li></ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
11.	BLG 01/7 LIF 02-064 CLLAS 2002-112	BLG	BC Rail Ltd.	01/31/2002		12/10/2003
	Description:	<ul style="list-style-type: none"> <li>Possible erroneous advice in the 1980's re authority to subdivide land under the <i>Land Title Act</i>.</li> <li>See letter and enclosures of January 31, 2002 from Don MacDonald to the Law Society of British Columbia (c.c. to CLLAS).</li> <li>By letter dated December 10, 2003, LIF advised that it was closing its file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

12.	BLG 01/8 LIF 02-045 CLLAS 2002-111	Vincent Orchard	Margot Wilderdijk	01/21/2002	July 2001	05/07/2002
	Description:	<ul style="list-style-type: none"> <li>Possible failure to issue writ for Part 7 benefits for MVA claimant.</li> <li>See letter and enclosures of January 21, 2002 from Don MacDonald to the Law Society of British Columbia (c.c. to CLLAS).</li> <li>By letter dated May 7, 2002, LIF. advised it was closing its file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
13.	BLG 01/9 LIF 02-060 CLLAS 2002-113	Ian A. Webb	Xenon Genetics Inc.	01/31/2002	About April 1999	May 31, 2007
	Description:	<ul style="list-style-type: none"> <li>Possible claim regarding issuance of shares from treasury rather than through subdivision of outstanding shares.</li> <li>See letter and enclosures of January 31, 2002 from Don MacDonald to the Law Society of British Columbia (c.c. to CLLAS)</li> <li>To March 1, 2004, no claim had been made. No claim is now expected to be made.</li> <li>To Dec 31, 2004, no claim made or expected to be made.</li> <li>To Dec 31, 2005, no claim made or expected to be made.</li> <li>To Dec 31, 2006, no claim made or expected to be made.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

14.	BLG 02/3 LIF 326 CLLAS 2002-160	Gary J. Wilson	Christian Breukelman	05/01/2002	04/30/1996	03/24/2003
	Description:	<ul style="list-style-type: none"> <li>Possible claim for late filing of estate's terminal return.</li> <li>See letter and enclosures of May 1, 2002 from Don MacDonald to the Law Society of British Columbia (c.c. to CLLAS).</li> <li>By letter dated March 24, 2003, LIF advised it was closing its file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
15.	BLG 02/4 LIF 02-424 CLLAS 2002-257	Stephen Antle/Barbara Smith	Dr. Peter Geib	06/27/2002	August 2001	09/15/2003
	Description:	<ul style="list-style-type: none"> <li>Error regarding voting entitlement on "going private" transaction.</li> <li>See letter and enclosures of June 27, 2002 from Don MacDonald to the Law Society of British Columbia (c.c. to CLLAS).</li> <li>Matter settled and release dated March 26, 2003 obtained.</li> <li>By letter dated September 15, 2003, LIF advised it was closing its file.</li> <li>On February 29, 2004, BLG closed its file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

16.	BLG 02/5 LIF 02-419 CLLAS 2002-215	Max Weder/Robert Kopstein	Pope & Talbot Ltd.	06/27/2002		08/31/04
	Description:	<ul style="list-style-type: none"> <li>Possible claim for reassessment of PST not covered by an indemnity.</li> <li>See letter and enclosures of June 27, 2002 from Don MacDonald to the Law Society of British Columbia (c.c. to CLLAS).</li> <li>By letter of August 31, 2004, LIF advised it was closing its file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by LIF</b>
17.	BLG 02/6 LIF 02-417 CLLAS 2002-226	Kareen Zimmer	Oxford Properties Group/Groupe Immobilier Oxford Inc.	06/27/2002	05/31/2002 and 06/10/2002	11/28/2003
	Description:	<ul style="list-style-type: none"> <li>Possible claims arising out of failure to register french version of company name on personal property registrations.</li> <li>See letter and enclosures of June 27, 2002 from Don MacDonald to the Law Society of British Columbia (c.c. to CLLAS).</li> <li>By September 12, 2003 five of the seven registrations had been corrected, discharged or expired. Two of the registrants made no revisions.</li> <li>On November 28, 2003, LIF advised it was closing its file.</li> <li>On February 29, 2004, BLG closed its file on this matter.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by LIF</b>
18.	BLG 02/7 LIF 02-418 CLLAS 2002-248	Barry D. Chase	Ridley Terminals Inc.	06/28/2002	Sometime between 1999 and 2001	11/02/05
	Description:	<ul style="list-style-type: none"> <li>• Possible claim for failure to file a notice of an owner's interest in Land Title Office regarding claims of builders' liens.</li> <li>• See letter and enclosures of June 28, 2002 from Don MacDonald to the Law Society of British Columbia (c.c. to CLLAS).</li> <li>• No claims advanced or action commenced against BDC or BLG.</li> <li>• To December 31, 2004 settlement of lien claims anticipated.</li> <li>• All liens discharged.</li> <li>• By email dated October 24, 2005 BLG advised LIF it was closing its file.</li> <li>• <b>CLOSED</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		



	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
19.	BLG 02/8 LIF 02-486 CLLAS 2003-040	Timothy Sehmer	445162 B.C. Limited [Enertek]	07/02/2002		March 31, 2009
	Description:	<ul style="list-style-type: none"> <li>Possible claims regarding legal advice/representation on four matters, including sale of assets</li> <li>See letter and enclosures of July 2, 2002 from Don MacDonald to the Law Society of British Columbia</li> <li>Though the client continues to communicate with BLG and third parties regarding these matters, to March 1, 2004, we are not aware of any legal proceedings having been commenced.</li> <li>No action commenced.</li> <li>To December 31, 2005, no claim made or action commenced.</li> <li>To December 31, 2006, no claim made or action commenced.</li> <li>To December 31, 2007, no claim made or action commenced against TRS or BLG.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
20.	BLG 02/9 LIF 02-474 CLLAS 2003-041	Gary J. Wilson	Vivianne Lolita and Alexander Mordo	07/18/2002		December 31, 2007
	Description:	<ul style="list-style-type: none"> <li>Possible claim re creation of alter ego trust.</li> <li>See letter and enclosures of July 18, 2002 from Don MacDonald to the Law Society of British Columbia (c.c. to CLLAS).</li> <li>This matter was set for a 10 day trial commencing October 4, 2004.</li> <li>Trial postponed and reset for 15 days commencing June 5, 2006.</li> <li>No relief sought against BLG or GJW.</li> <li>Trial scheduled to commence on June 5, 2006.</li> <li>June – July, 2006, claims proceeded to trial.</li> <li>November 30, 2006, judgment pronounced. All of Plaintiff's claims dismissed, with costs. Alter ego trust valid.</li> <li>December 27, 2006: Plaintiff files Notice of Appeal.</li> <li>To December 31, 2006, no claims advanced against GJW or BLG.</li> <li>By consent order of June 7, 2007, Plaintiff agrees to pay GJW's tariff costs (\$12,134.40).</li> <li>May 1, 2007: appeal abandoned.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity		\$_____ Legal \$_____ Indemnity		
		Amount Paid \$_____		Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
21.	BLG 02/10 LIF 02-844 CLLAS 2003-074	Nigel P. Cave	Boston Pizza International Inc.	12/04/2002	07/17/2002	January 30, 2009
	Description:	<ul style="list-style-type: none"> <li>Possible claim for damage for loss of value of trademarks because of advice re structure of transaction.</li> <li>See letter and enclosures of December 4, 2002 from Don MacDonald to the Law Society of British Columbia (c.c. to CLLAS).</li> <li>A hearing date in the underlying proceedings have not yet been determined.</li> <li>No claims advanced or action commenced against NPC or BLG.</li> <li>To December 31, 2005, no claim made or action commenced.</li> <li>To December 31, 2006, no claim made or action commenced.</li> <li>To December 31, 2007, no claim made or action commenced against NCP or BLG. Settlement negotiations of underlying proceeding continue.</li> <li>March, 2008: Litigation settled.</li> <li><b>CLOSED</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

22.	BLG 02/11 LIF 02-831 CLLAS 2003-108	Dirk H. Laudan	Micromill Systems Inc.	12/02/2002	October 2000	Nov 17/04
	Description:	<ul style="list-style-type: none"> <li>Failure to file within time registration fee for trademark.</li> <li>See letter and enclosures of December 2, 2002 from Don MacDonald to the Law Society of British Columbia (c.c. to CLLAS).</li> <li>Trade mark registered December 7, 2004.</li> <li><b>CLOSED</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
23.	BLG 02/12 LIF 02-910 CLLAS 2003-073	Dirk H. Laudan	Insurance Corporation of British Columbia	12/02/2002	October 1999	Nov 8/04
	Description:	<ul style="list-style-type: none"> <li>Possible claim for filing consent dismissal order rather than a consent order for a settlement amount.</li> <li>See letter and enclosures of December 2, 2002 from Don MacDonald to the Law Society of British Columbia (c.c. to CLLAS).</li> <li>On March 6, 2003, LIF advised that it appeared that no claim would be made by ICBC and that LIF intends to close its file in June of 2004.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

24.	BLG 02/15 LIF 02-934 CLLAS 2003-097	BLG/John L. Sampson	Sun Life Assurance Company of Canada	12/31/2002	12/23/1997	02/11/2004
	Description:	<ul style="list-style-type: none"> <li>Possible claim for failure to obtain opinion on enforceability of municipality's covenant to pay out mortgage on default.</li> <li>See letter and enclosures of December 31, 2002 from Don MacDonald to the Law Society of British Columbia (c.c. to CLLAS).</li> <li>On December 2, 2003 the subject loan was repaid in full, with the approval of Sun Life, and refinanced. The possibility of a claim is extinguished.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
25.	BLG 03/2 LIF 03-436 CLLAS 2003-217	Robert D. Shaw	Canadian National Railway Company	07/08/2003	07/06/2001	April 1/04
	Description:	<ul style="list-style-type: none"> <li>• Our client, Canadian National Railway Company, might have a claim against BLG and Robert D. Shaw for not naming the employees of a defendant, Valley Towing Limited, as defendants within the limitation period.</li> <li>• See memo of June 30, 2003 from Robert D. Shaw to the Loss Prevention Committee (document no. 1151388:01) and letter of July 8, 2003 from Borden Ladner Gervais (Oren Samuel) to the Lawyers Insurance Fund (document no. 1154266:01) (cc. to CLLAS).</li> <li>• By Order pronounced September 25, 2003, Master McCallum ordered that the employees be added as parties to the action thus extinguishing the possible claim. This order was not appealed.</li> <li>• By letter dated September 30, 2003, L.I.F. advised it intended to close its file if the matter was not appealed. Matter not appealed.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity		\$_____ Legal \$_____ Indemnity		
		Amount Paid \$_____		Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
26.	BLG 03/3 LIF 03-519 CLLAS 2004-005	D. Ross McGowan	Chubb Insurance Company of Canada	07/31/2003	04/29/2003	December 31, 2004
	Description:	<ul style="list-style-type: none"> <li>This possible claim arises from the failure to register a mortgage in the face amount of \$35,000 on the undivided 1/3 interest of Concepcion Matias in a property in Surrey, British Columbia.</li> <li>See memo dated July 31, 2003 letter (document no. 1164170:01) from D. Ross McGowan and letter dated July 31, 2003 (document no. 1164402:01) from Oren Samuel to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>No claims advanced or action commenced.</li> <li>Underlying matter settled.</li> <li>To December 31, 2005, no claim made or action commenced and none expected.</li> <li>To December 31, 2006, no claim made or action commenced and none expected.</li> <li>March 6, 2007: DRM advises no claim will be made.</li> <li>March 7, 2007: LIF advises its file has been closed for some time.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought: - Estimated Amount of Potential Claim: \$10,000	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved <u>\$C. 10,000</u> (estimated equity = 1/3 of approximately \$30,000)		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
27.	BLG 03/4 LIF 03/579 CLLAS 2004-021	Shannon M. Barber	587947 B.C. Ltd./Blue Pacific Yacht Charters	09/08/2003		12/17/2003
	Description:	<ul style="list-style-type: none"> <li>• Failure to prepare required documentation regarding acquisition of shares and amendment of articles with possible adverse tax consequences.</li> <li>• See letter and enclosure of September 8, 2003 (document nos. 1177470:01 and 1172484:01).</li> <li>• See further letter and enclosure of September 9, 2003 from Don MacDonald to the Lawyers Insurance Fund (document nos. 1178072:01 and 1172482:02) (c.c. to CLLAS).</li> <li>• The required steps were taken and required documentation executed.</li> <li>• On December 17, 2003, L.I.F. advised it was closing its file.</li> <li>• On February 29, 2004, BLG closed its file.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity		\$_____ Legal \$_____ Indemnity		
		Amount Paid \$_____		Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
28.	BLG 03/5 LIF 03-625 CLLAS 2004-024	Stephen Antle	Evans, Michael J. Re: Durante, Edward Andrew et al	09/24/2003	12/18/2002	Sep 21, 2005
	Description:	<ul style="list-style-type: none"> <li>See memo of September 22, 2003 from Stephen Antle to Don MacDonald (document no. 1183039:01) and Don MacDonald's letter of September 24, 2003 (document no. 1184129:01) to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>On October 10, 2003 L.I.F. gave notice of reservation of rights for possible late reporting (but told us on October 14, 2003 it was not aware of any prejudice it had suffered) and also noted that its policy excluded reimbursement of legal fees or disbursements.</li> <li>On February 19, 2004 the B.C. Court of Appeal heard Evans' appeal of Hood, J.'s decision to set aside Evans' garnishing order. Judgment was reserved.</li> <li>On March 16, 2004, the B.C. Court of Appeal dismissed Evans' appeal of Hood, J.'s decision.</li> <li>In October, 2000, we assisted Evans in locating and retaining new counsel. In November 2004, Evans agreed to terminate our contingency agreement and sign a release. Release returned to Evans for insertion of location and date of execution.</li> <li>December 14, 2004: Evans executed and delivered the release.</li> <li>LIF closed its file September 21, 2005.</li> <li><b>CLOSED</b></li> </ul>				
	Damages Sought - Estimated costs sought \$100,000	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		



	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
29.	BLG 03/6 LIF 03-652 CLLAS 2004-093	Shannon Barber	Nokia Mobile Phones	10/01/2003		Aug 31/04
	Description:	<ul style="list-style-type: none"> <li>This potential claim arises out of a failure to renew work permits for 13 Nokia employees and a visitor record for an employee's daughter. As of October 1, 2003, three of the applications have been resolved. Jeffrey Thomas now has conduct of the matter and is exploring with Citizenship and Immigration Canada the most appropriate way to have the necessary documents issued.</li> <li>See letter and enclosure of October 1, 2003 from Don MacDonald to the Lawyers Insurance Fund (document nos. 1187551:01 and 1187388:01) (c.c. to CLLAS).</li> <li>BLG has obtained all of the required documentation.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

30.	BLG 03/7 LIF 03-717 CLLAS 2004-098	Shannon Barber	Oi Yi Yeung	10/03/2003		March 16, 2005
	Description:	<ul style="list-style-type: none"> <li>Failure to apply for maple leaf card.</li> <li>See email of October 3, 2003 from Don MacDonald to the Law Society of British Columbia.</li> <li>BLG was able to obtain the Maple Leaf card.</li> <li>No claims advanced or action commenced or now expected against BLG.</li> <li>March 16, 2005: LIF advised it was closing its file.</li> <li>To December 31, 2005, no claims advanced or action commenced.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
31.	BLG 03/8 LIF 03-707 CLLAS 2004-095	Shannon Barber	Juan Lopez	10/03/2003		March 30, 2007
	Description:	<ul style="list-style-type: none"> <li>• Failure to file in June 2003 a permanent resident application.</li> <li>• See email of October 3, 2003 from Don MacDonald to the Law Society of British Columbia.</li> <li>• BLG has obtained a permanent residence card for Mr. Lopez.</li> <li>• No claims advanced or action commenced or now expected against BLG.</li> <li>• To December 31, 2005, no claim advanced or action commenced.</li> <li>• To December 31, 2006, no claim advanced or action commenced.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

32.	BLG 03/9 LIF 03/708 CLLAS 2004-096	Shannon Barber	David Sturgeon	10/17/2003		March 30, 2007
	Description:	<ul style="list-style-type: none"> <li>• Failure to renew work permit and failure to prepare and submit a permanent resident application under the spousal category.</li> <li>• See email of October 17, 2003 from Don MacDonald to the Law Society of British Columbia.</li> <li>• BLG has now obtained a renewal of the work permit and a permanent resident card under the spousal category.</li> <li>• No claims advanced or action commenced against BLG and neither now expected.</li> <li>• To December 31, 2006, no claims advanced or action commenced.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
33.	BLG 03/10 LIF 03/709 CLLAS 2004-097	Shannon Barber	Philip Grosvenor and Mrs. Grosvenor	10/17/2003		March 30, 2007
	Description:	<ul style="list-style-type: none"> <li>Failure to prepare a permanent resident application for his wife under the spousal category.</li> <li>See email of October 3, 2003 from Don MacDonald to the Law Society of British Columbia.</li> <li>BLG has obtained the permanent resident card for Mrs. Grosvenor under the spousal category.</li> <li>No claims advanced or action commenced against BLG and neither now expected.</li> <li>To December 31, 2006, no claims advanced or action commenced.</li> <li><b>CLOSED</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

34.	BLG 03/11 LIF 03-722 CLLAS 2004-100	Shannon Barber	Keith Banner	10/03/2003		Mar 25/04
	Description:	<ul style="list-style-type: none"> <li>Possible negligence re filing HRDC application.</li> <li>See email of October 3, 2003 from Don MacDonald to the Law Society of British Columbia.</li> <li>The application has been filed and a claim is not now expected.</li> <li>We understand LIF intends to close its file on this matter.</li> <li>On March 25, 2004, LIF advised it would close its file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
35.	BLG 03/12 LIF 03-721 CLLAS 2004-099	Shannon Barber	Russell Harrison	11/05/2003		March 30, 2007
	Description:	<ul style="list-style-type: none"> <li>• Failure to prepare and file a permanent resident application for Russell Harrison's wife, Chihiro Harrison.</li> <li>• See email of November 5, 2003 from Don MacDonald to the Law Society of British Columbia.</li> <li>• Client has raised a potential claim regarding his wife's possible loss of about three months employment income and costs arising from her possible loss of medical coverage.</li> <li>• BLG paid \$4,615 to Uki Chihiro and Russell Harrison in connection with wage losses and the loss regarding airfare expenses. The Release did not apply to any loss of maternity benefit which might arise (sent Sept 20, check with cover letter of September 23, 2004).</li> <li>• On November 4, 2004, Uki Chihiro was granted permanent resident status.</li> <li>• To December 31, 2005, no claim advanced or action commenced.</li> <li>• To December 31, 2006, no claim advanced or action commenced.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity		\$_____ Legal \$_____ Indemnity		
		Amount Paid <u>\$4,615.00</u>		Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
36.	BLG 03/13 LIF 03-1005 CLLAS 2004-089	David C. Longcroft	AJB Investments Inc.	12/31/2003		Mar 11/04
	Description:	<ul style="list-style-type: none"> <li>Possible claim with respect to the absence in a purchase agreement of a provision dealing with entitlement to timber in the period prior to closing.</li> <li>See memo of December 30, 2003 from David Longcroft (document no. 1222674:01) and Don MacDonald's letter of December 31, 2003 (document no. 1221462:01) to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>The dispute with respect to the timber was settled and the transaction closed in February, 2004.</li> <li>No claims advanced or action commenced against DCL or BLG and neither now expected.</li> <li>By letter dated March 11, 2004, LIF advises it was closing its file.</li> <li><b>CLOSED</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

37.	BLG 03/14 LIF 03-999 CLLAS 2004-090	David C. Longcroft	Marin Developments Limited	12/31/2003		June 17, 2005
	Description:	<ul style="list-style-type: none"> <li>Possible claim with respect to the discharge of an access easement.</li> <li>See memo of December 30, 2003 from David Longcroft (document no. 1222672:01) and Don MacDonald's letter of December 31, 2003 (document no. 1221462:01) to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>No claims advanced or actions commenced and neither now expected against DCL or BLG.</li> <li>By letter dated June 17, 2005 LIF advised it was closing its file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

	<b>BLG File No. LIF No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by LIF</b>
38.	BLG 03/15 LIF 03-1007 CLLAS 2004-085	Mark V. Lewis	Firstar Investment & Financial Co. Ltd.	12/29/2003		March 2, 2005
	Description:	<ul style="list-style-type: none"> <li>Possible claim by Firstar that a forbearance agreement drafted by BLG was ambiguous and unclear as to Firstar's entitlement to commence foreclosure proceedings.</li> <li>See memo of December 29, 2003 from Geoffrey Thompson (document no. 1214656:01) and Don MacDonald's letter of December 29, 2003 (document no. 1221413:01) to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>No claims advanced or action commenced and neither now expected against MVL or BLG.</li> <li>By letter dated March 2, 2005, LIP advised it was closing its file.</li> <li><b>CLOSED</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
39.	BLG 03/16 LIF 03-898 CLLAS 2004-088	Diana Batts/Ron Bozzer	Prime Projects	12/29/2003		03/01/2004
	Description:	<ul style="list-style-type: none"> <li>Alleged failure to issue equity as well as voting shares.</li> <li>See email of December 29, 2003 from Ron Bozzer and Don MacDonald's letter of December 29, 2003 to the Lawyers Insurance Fund (document no. 1221468:01) (c.c. to CLLAS).</li> <li>Potential claim settled and release effective December 31, 2003 (executed February 16, 2004) granted by Prime Projects Inc. and related companies to BLG.</li> <li>On March 1, 2004, LIF advised it was closing its file.</li> <li>On March 8, 2004, BLG closed its file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
40.	BLG 03/17 LIF 03-880 CLLAS 2004-083	Oren Samuel	Dr. Sanders /SunLife	12/29/2003		June 21, 2011
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising from an alleged verbal assurance regarding the continuation of disability benefits under an insurance policy.</li> <li>See Don MacDonald's letter of December 29, 2003 (document no. 1221850:01) to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>By Writ of Summons filed Nov 9, 2004 (Action No. S046221, Vancouver Registry), Kenneth Sanders (via Murray Clemens) sued SunLife for a declaration of entitlement and damages regarding disability payments.</li> <li>No claims have been advanced or actions commenced against either OS or BLG.</li> <li>July 19, 2005: CLLAS advised it was closing its file.</li> <li>To December 31, 2005, no claims advanced or action commenced.</li> <li>To December 31, 2006, no claims advanced or action commenced.</li> <li>February 26, 2007: BLG (DRE) filed Statement of Defence in Action S046221. VRO now has conduct of this defence.</li> <li>To December 31, 2007, no claim advanced or action commenced against OS or BLG.</li> <li>Expect a summary trial of underlying action to be heard in 2009.</li> <li>Our client was successful in the summary trial held in the summer of 2009. The opposing party has appealed.</li> <li>Dr. Sanders was successful in the BC Court of Appeal which held that the limitation period had not expired. The decision did not turn on the alleged assurance regarding the continuation of disability benefits under the policy. Sun Life has applied for leave to appeal to the Supreme Court of Canada on the limitation issue. The trial of the substantive issues is set for June 2011.</li> </ul> <p><b>Closed.</b></p>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity		\$_____ Legal \$_____ Indemnity		
		Amount Paid \$_____		Amount Reserved \$_____		



	<b>BLG File No. LIF No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by LIF</b>
41.	BLG 03/18 LIF 03-893 CLLAS 2004-080	Max J. Weder	Loong Enterprises Ltd.	12/23/2003		May 19/04
	Description:	<ul style="list-style-type: none"> <li>Possible failure to file notice of objection or appeal to the Tax Court with respect to August 7, 2001 reassessment.</li> <li>See memo of December 22, 2003 from Max Weder (document no. 1221254:01) and Don MacDonald's letter of December 23, 2003 (document no. 1221419:01) to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>The possible claim has been resolved.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
42.	BLG 03/19 LIF 03-879 CLLAS 2004-082	Vincent R.K. Orchard	Shaun Hayes	12/24/2003		January 19, 2009
	Description:	<ul style="list-style-type: none"> <li>Possible claim regarding costs of delayed rehabilitation in a personal injury claim.</li> <li>See Don MacDonald's letter of December 24, 2003 (document no. 1221828:01) to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>No claims have been advanced or actions commenced against either VRO or BLG.</li> <li>Underlying tort matter settled.</li> <li>To December 31, 2005, no claims advanced or action commenced.</li> <li>To December 31, 2006, no claims advanced or action commenced.</li> <li>To December 31, 2007, no claims advanced or action commenced.</li> <li>To December 31, 2008, no claims advanced or action commenced.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity		\$_____ Legal \$_____ Indemnity		
		Amount Paid \$_____		Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
43.	BLG 03/20 LIF 03-910 CLLAS 2004-086	Tim Sehmer	Marin Investments Limited	12/29/2003	1996 or March 1998	Mar 23/04
	Description:	<ul style="list-style-type: none"> <li>Possible errors in a formula in a shareholders agreement to determine the amount of additional shares to be issued.</li> <li>See memo of December 29, 2003 from Tim Sehmer (document no. 1220806:01) and Don MacDonald's letter of December 29, 2003 (document no. 1221459:01) to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>In February, 2004, the parties settled their dispute in a manner satisfactory to our client. We do not now expect any claim to be made.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$374,000		

44.	BLG 03/21 LIF 03-912 CLLAS 2004-101	Shannon Barber	Asian Spa Therapies, Inc. and Echo Valley Ranch and Spa	12/30/2003		November 30, 2007
	Description:	<ul style="list-style-type: none"> <li>Failure to apply for work permits for employees and failure to apply for trademark registrations.</li> <li>See email of December 30, 2003 from Don MacDonald to the Law Society of British Columbia.</li> <li>February, 2005: client wants BLG to reimburse portion of legal fees.</li> <li>To December 31, 2005, no action commenced.</li> <li>To December 31, 2006, no action commenced.</li> <li>November 20, 2007, LIF closes file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by LIF</b>
45.	BLG 03/22 LIF 03-914 CLLAS 2004-103	Shannon Barber	Akira Murakami	12/30/2003		March 16/05
	Description:	<ul style="list-style-type: none"> <li>• Failure to renew in March 2003 client's work permit and to obtain a renewed labour market opinion.</li> <li>• See email of December 30, 2003 from Don MacDonald to the Law Society of British Columbia.</li> <li>• BLG obtained Akira Murakami's work permit.</li> <li>• No claims have been advanced or actions commenced against BLG.</li> <li>• LIF letter dated March 16, 2005 advises that it is closing its file.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
47.	BLG 04/1 LIF 04-151 CLLAS 2004-126	Eric G. Doherty	VSM MedTech Ltd.	03/08/2004		May 30, 2006
	Description:	<ul style="list-style-type: none"> <li>Possible claim by company and/or its directors resulting for possible misrepresentations or omissions in a prospectus.</li> <li>See memo of March 8, 2004 from Eric Doherty and Don MacDonald's letter of March 8, 2004 (document no. 1249455:01) to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>December 31, 2004: No claim made; none now expected.</li> <li>To December 31, 2005, no claim made or action commenced.</li> <li>May 5, 2006: LIF advised it was closing its file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

48.	BLG 04/2 LIF 04-269 CLLAS 2004-143	Sandy D. Lloyd	Grosvenor Canada Limited	04/02/2004		Aug 2, 2005
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising out of drafting of clause regarding property taxes in a commercial lease.</li> <li>See Don MacDonald's letter of April 2, 2004 (document no. 1259131:01) to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>December 31, 2004: No claim made or expected.</li> <li>Underlying matter resolved.</li> <li>LIF closed its file on August 2, 2005.</li> <li><b>CLOSED</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
49.	BLG 04/3 LIF CLLAS No File Opened	Borden Ladner Gervais	Estates of Isaac and Sophie Waldman, both Deceased	04/02/2004		
	Description:	<ul style="list-style-type: none"> <li>Possible claim from Estate of Isaac and Sophie Waldman arising out of drafting of a will.</li> <li>See Don MacDonald's letter of April 2, 2004 (document no. 1260517:01) to the Lawyers Insurance Fund.</li> <li>December 31, 2004: Neither LIF nor CLLAS has opened a file.</li> <li>To December 31, 2005, no claims made or action commenced.</li> <li>To December 31, 2006, no claims made or action commenced.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

50.	BLG 04/5 LIF 04-323 CLLAS 2004-165	Gary J. Wilson	Drushka/ Wilimovsky Family Trust	05/18/2004		Aug 25/04
	Description:	<ul style="list-style-type: none"> <li>See memo of May 13, 2004 from Gary J. Wilson (document no. 1275920:01) and Don MacDonald's letter of May 18, 2004 (document no. 1277225:01) to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>August, 2004: underlying matter resolved.</li> <li>Update: LIF closed its file on Aug 25/04</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
51.	BLG 04/6 LIF 04/308 CLLAS 2004-164	Robert A. Kopstein	Cypress Capital Management (A. Werry and T. Deane)	05/18/2004		September 29, 2006
	Description:	<ul style="list-style-type: none"> <li>Possible claim re personal income tax liability.</li> <li>See NDG's letter of May 21, 2004 (document No. 1277199:01) and Don MacDonald's letter of May 18, 2004 (document no. 1277232:01) to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>Dec 31, 2004: No claim made and none expected.</li> <li>September, 2005: matter raised again by principals of client.</li> <li>To December 31, 2005, no claims made or action commenced.</li> <li>July 2006, BLG paid \$3,060.05 to Anthony Werry in settlement of and in exchange for a release of his claim.</li> <li>July, 2006, BLG paid \$2,894.85 to Thomas Dean in settlement of and in exchange for a release of his claim.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
52.	BLG 04/7 LIF 04-424 CLLAS 2004-188	David Miachika	Simon Szeto	06/10/2004		October 31, 2007
	Description:	<ul style="list-style-type: none"> <li>• Writ &amp; Statement of Claim filed on May 11/04 by Simon Szeto (unrepresented) naming, among others, D. Miachika and BLG, seeking appointment of Szeto as director of company.</li> <li>• See memo of June 9, 2004 from David Miachika (document no. 1285077:01) and Don MacDonald's letter of June 10, 2004 (document no. 1285788:01) to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>• As at December 31/04: Szeto refuses to follow legal advice he received to discontinue the action.</li> <li>• By October 2005, Szeto hires new lawyer.</li> <li>• To December 31, 2005, no claims made or action commenced.</li> <li>• To December 31, 2006, no claims made or action commenced.</li> <li>• August 21, 2007: DM provides update.</li> <li>• October 25, 2007: LIF closes file.</li> <li>• November 26, 2007: CLLAS seeks update.</li> <li>• December 15, 2007: PDM informs CLLAS that BLG and LIF have closed their files.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity		\$_____ Legal \$_____ Indemnity		
		Amount Paid \$_____		Amount Reserved \$_____		



	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
53.	BLG 04/8 LIF 04-418 CLLAS 2004-182	Graham Walker	O&Y Properties/New West Enterprise Property Group (O&Y)	06/10/2004		December 28, 2005
	Description:	<ul style="list-style-type: none"> <li>• Possible claim arising out of instructions regarding a limitation period for an environmental (contamination) claim.</li> <li>• See email of June 8, 2004 from Graham Walker and Don MacDonald's letter of June 10, 2004 (document no. 1285790:01) to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>• To December 31, 2004: No claim has been made against GW or BLG.</li> <li>• To December 31, 2005, no claims made or action commenced.</li> <li>• To December 31, 2006, no claims made or action commenced.</li> <li>• January 2, 2007, LIF advises it will now close its file.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
54.	BLG 04/9 LIF 04-408 CLLAS 2004-218	Otto-Hans Nowak	Ta-Ming Wang	06/29/2004		01/17/2013
	Description:	<ul style="list-style-type: none"> <li>Potential claim for tax (c. US\$340,000) plus interest from March 30/02 for failing to declare and pay dividends within 5 years of Canadian residency.</li> <li>See memorandum of June 29, 2004 from Max Weder and Don MacDonald's letter of June 29, 2004 (document no. 1293212:01) to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>Issues: (1) date of Trust's residency (March 15/96 – landed or May 6/96 – house purchase) from which 5 year exemption of tax on income of offshore trust begins (c. US\$854,050 dividend [CND\$1,307,000] declared on April 25,/01); (2) estoppel – because of issued Clearance Certificate on March 27, 2003; (3) whether Trust deemed to be earlier a resident of Canada for earlier acquiring property from a resident of Canada.</li> <li>As at December 31, 2004: Ta-Ming Wang is receiving independent legal advice (K. Affleck) re his tax liability. BLG has produced a copy of its file to Mr. Affleck.</li> <li>January 18, 2005: MNR assesses Trust for tax, penalty and interest.</li> <li>To December 31, 2005, no claims made or actions commenced against BLG or OHN.</li> <li>February 7, 2006, assessments confirmed.</li> <li>April 12, 2006, Trust files Notice of Appeal.</li> <li>July 4, 2006, MNR files Reply.</li> <li>To December 31, 2006, no action commenced against BLG or OHN.</li> <li>To December 31, 2007, no action commenced against BLG or OHN.</li> <li>2009 – expect rectification application to be made in Caymans which, if successful, would reduce CRA claim to about \$35,000.</li> <li>Court application will proceed in late March 2010. If successful the claim will be reduced to about \$35,000 plus representational costs.</li> <li>The application for rectification in the Caymans was only partially successful. There followed a debate between repair counsel and CRA concerning the effect of the court's ruling. CRA subsequently agreed to settle on the basis that there was a \$70,000 capital gain in the relevant period, which should limit the additional tax payable to about \$34,000. There will also be some mitigation/representational costs which may be shared with the accountant.</li> <li>Remediation/defence counsel advised on March 22, 2012 that Mr. Wang appears disinterested in pursuing a claim and thus the LIF file will be closed shortly, with the proviso that it can be reopened if a claim later arises.</li> <li><b>CLOSED</b></li> </ul>				
	Damages Sought tax on dividend: (Cdn\$560,964) plus interest: (Cdn \$119,160) plus penalty: (Cdn\$95,363)	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by LIF</b>
55.	BLG 04/10 LIF 04-433 CLLAS 2004-217	Robert Shouldice (formerly KAZ)	Vancouver Wharves	06/30/2004		February 14, 2006
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising from failure to seek consent to assignment of various contracts and licences.</li> <li>See memorandum of June 30, 2004 and summary dated June 25, 2004 from Kareen Zimmer and Don MacDonald's letter of June 30, 2004 (document no. 1293336:01) to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>As at Dec 31, 2004: No claims made and none expected.</li> <li>To December 31, 2005, no claims made or actions commenced.</li> <li>February 14, 2006: Letter from LIF closing file.</li> <li>To December 31, 2006, no claims made or action commenced.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
56.	BLG 04/11 LIF 04-514 CLLAS 2005-011	Mary Locke Macaulay/VRO	Alexa Andree	08/10/2004		February 29, 2008
	Description:	<ul style="list-style-type: none"> <li>• Claim arising from allegation of missed limitation period re personal injury.</li> <li>• See memorandum of August 3, 2004 from Vince Orchard and Don MacDonald's letter of August 10, 2004 (document no. 2008883:v.1) to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>• As at December 31, 2004: No action commenced against either MLM or BLG.</li> <li>• To December 31, 2005, no claims made or action commenced.</li> <li>• To December 31, 2006, no claims made or action commenced.</li> <li>• December 11, 2007: LIF advises it intends to close file in January, 2008.</li> <li>• To December 31, 2007, no claims made or action commenced.</li> <li>• January, 2008: LIF closes its file.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
57	BLG 04/12 LIF 04-728 CLLAS 2005-083	Jeffrey S. Thomas	CSRS Ltd. and others	Oct 23, 2004		December 30, 2011
	Description:	<ul style="list-style-type: none"> <li>Claim arising out of advise on a price adjustment clause in a share purchase agreement.</li> <li>See email from BWD to PDM of Oct 18/04 and Don MacDonald's email of Oct 23/04 to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>As at December 31, 2004: No action has been commenced against either JST or BLG.</li> <li>To December 31, 2005, no claims made or action commenced.</li> <li>Underlying matter (Action No. S043415) proceeded to trial in January, 2006. Judgment reserved.</li> <li>May 1, 2006: Judgment (Ross, J) pronounced. Plaintiffs not entitled to claim a price reduction; no lawful tender made in respect of the disputed payments made under the promissory notes; the notes provide for compound interest; the parties entitled to make further submission on two Quebec issues plus costs.</li> <li>May 24, 2006: Counsel for CSRS (and others) informs LIF that CSRS intends to appeal judgment and claim against BLG.</li> <li>November, 2006: Further hearing before Ross, J. with respect to the Quebec issues. Judgment reserved.</li> <li>December 6, 2006: Counsel for CSRS (and others) and BLG agree to extend, pending the outcome of any appeals of Ross, J.'s judgment, the time to commence a claim against BLG for damages for negligence, breach of fiduciary duty and breach of contract regarding BLG's advice regarding tender of payments under promissory notes and the August 31, 1998 share purchase agreement concerning the sale of CCNS Corporation Services Ltd. and certain related companies.</li> <li>To December 31, 2006, judgment regarding November, 2006 hearing still reserved. No appeal dates set.</li> <li>March 15, 2007: Ross, J. decides the Quebec issue, for the most part, against CSRS.</li> <li>December 3, 2007: Ross, J. decides remaining issues (2007 BCSC 1739) in Action No. S043415.</li> <li>December 17, 2007: Jack Webster provides update.</li> <li>To December 31, 2007, no claim commenced against BLG.</li> <li>December 29, 2008: Appeal decided against CSRS.</li> <li>To December 31, 2008, no claim commenced against BLG.</li> <li>An action against Thomas &amp; BLG was commenced in April 2009. Jack Webster has been retained to defend. Initial attempts to settle on favourable terms have been unsuccessful.</li> <li>Examinations for discovery have been concluded and the trial is scheduled for May 31, 2011. Defence counsel's opinion as to liability and recommendations as to settlement are expected shortly. LIF will be preparing a pre-trial report to CLLAS as <u>this matter has the potential to exceed LIF's policy limits</u>.</li> <li>The claim was settled prior to trial in June 2011 with a payment from LIF and CLLAS.</li> <li><b>CLOSED</b></li> </ul>				

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
58	BLG 04/13 LIF 04-805 CLLAS 2005-082	Bradley J. Freedman	Ingrid Homberg	Nov 26, 2004		Aug 2, 2005
	Description:	<ul style="list-style-type: none"> <li>Defendant threatened counter claims for damages in connection with claim against her for trade mark infringement.</li> <li>See Don MacDonald's email of Nov 26/04 to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>As at December 31, 2004: No counterclaim served.</li> <li>Counterclaim filed February 4, 2005 which joined, among others, B.J. Freedman, R.J. Deane and BLG alleging damages for threats, intimidation, harassment, economic loss, lost income, pain and suffering, mental anguish, plus interest and costs.</li> <li>June, 2005: underlying matter settled.</li> <li>File closed by LIF on August 2, 2005.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity		\$_____ Legal \$_____ Indemnity		
		Amount Paid \$_____		Amount Reserved \$_____		

	<b>BLG File No. LIF No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by LIF.</b>
59	BLG 04/14 LIF 04-936 CLLAS 2005-101	Tim Sehmer	Chase/Marin/ Pinecrest	Dec 21, 2004		August 25, 2006
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising out of payment of capital dividend on preferred rather than common shares.</li> <li>See memorandum of Dec 21, 2004 from Tim Sehmer and Don MacDonald's letter of December 23, 2004 (document no. 2059707:01) to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>As at December 31, 2004: No claim has been made against TRS or BLG.</li> <li>To December 31, 2005, no claims made or action commenced.</li> <li>To December 31, 2006, no claims made or action commenced.</li> <li>To December 31, 2007, no claims made or action commenced against TRS or BLG.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. 04/15 LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
60	BLG 04/15 LIF 04-932 CLLAS 2005-077	P. D. MacDonald	BC Rail/ Canadian National Group of Companies	Dec 24, 2004		June 8, 2005
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising from late reporting of a possible claim under an insurance policy.</li> <li>See memorandum of Dec 24, 2004 from PDM and his letter of December 24, 2004 (document no. 2060078:01) to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>To December 31, 2004: No claims advanced or action commenced.</li> <li>Underlying matter resolved.</li> <li>By letter dated June 8, 2005, LIP advises it is closing its file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		



	<b>BLG File No. LIF No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by LIF</b>
61	BLG 04/16 LIF No. 04-935 CLLAS 2005-078	Holly Pommier	Navigata/BCRC	Dec 24, 2004		October 31, 2005
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising from BCRC's representation to Navigata and others that an expired right-of-way was valid and subsisting.</li> <li>See memorandum of Dec 24, 2004 from HMP and his letter of December 24, 2004 (document no. 2060074:01) to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>As at December 31, 2004: No claim made.</li> <li>August, 2005: underlying matters resolved.</li> <li>To December 31, 2005, no claims made or action commenced.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by LIF</b>
62	BLG 04/17 LIF 04/962 CLLAS 2005-079	Peter Beyak	Larry Sugar	Dec 31, 2004		January 11, 2006
	Description:	<ul style="list-style-type: none"> <li>• See memorandum of Dec 31, 2004 from Peter Beyak and Oren Samuel's letter of December 31, 2004 (document no. 206578:01) to the Lawyers Insurance Fund (c.c. to CLLAS).</li> <li>• Underlying matter resolved.</li> <li>• To December 31, 2005, no claims made or actions commenced.</li> <li>• By letter of January 11, 2006, LIF advises it is closing its file.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

63	BLG 05/01 LIF 05-031 CLLAS 2005-165	Hans Nowak	Salishan Holdings	Jan 11, 2005		December 30, 2005
	Description:	<ul style="list-style-type: none"> <li>• Possible claim arising out of a possible reassessment by CRA of our client, Salishan Holdings.</li> <li>• See email of Jan 11/05 from Don MacDonald to the Lawyers Insurance Fund.</li> <li>• Underlying matter resolved.</li> <li>• By letter of December 30, 2005, LIF advises it is closing its file.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
64	BLG 05/02 LIF 05-058 CLLAS 2005-113	Brad Freedman	Oracle Credit Corporation	Jan 20, 2005		October 31, 2005
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising out of possible defective assignment of a payment plan agreement.</li> <li>See email of Jan 20/05 BJF to PDM and Don MacDonald's email to the Lawyers Insurance Fund.</li> <li>Underlying matter resolved</li> <li>By email of October 31, 2005, LIF advises it will close its file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

65	BLG 05/03 LIF No. 05/211 CLLAS 2005-209	David Longcroft	Estate of Murdo MacIver and Marjorie MacIver	April 18/05		October 18, 2005
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising out of an assignment in c. 1993 of a leasehold interest to clients as tenants in common rather than as joint tenants.</li> <li>Underlying matter resolved.</li> <li>By letter of October 18, 2005, LIF advises it was closing its file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$4,877 Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by LIF</b>
<b>66</b>	BLG 05/04 LIF No. 05/424 CLLAS 2005-201	Deborah Overholt (DHO)	International Forest Products	June 30, 2005		
	Description:	<ul style="list-style-type: none"> <li>• Claim arising out of a failure to file common law claim regarding fuel spill affecting client's property near Highway 99 at Gonzales Creek, near Squamish, B.C.</li> <li>• To December 31, 2005 no action commenced against BLG or DHO.</li> <li>• To December 31, 2006, no action commenced against BLG or DHO.</li> <li>• To December 31, 2007, no action commenced against BLG or DHO.</li> <li>• To December 31, 2008, no action commenced against BLG or DHO.</li> <li>• <b>CLOSED</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
67	BLG 05/05 LIF 05/426 CLLAS 2005-200	Tim Sehmer (TRS)	Anhart Group of Companies (Bassendale)	June 30, 2005		January 3, 2007
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising from TRS's role as stakeholder of a deposit of \$25,000 in connection with the purchase of a boat by way of the purchase of shares of a company.</li> <li>To December 31, 2005, no claims made or action commenced.</li> <li>To December 31, 2006, no claims made or action commenced.</li> <li>To December 31, 2007, no claims made or action commenced against TRS or BLG. TRS continues to hold the deposit in trust.</li> <li>To December 31, 2008, no claims made or action commenced against TRS or BLG. TRS continues to hold the deposit in trust.</li> <li>To December 31, 2009, no claims made or action commenced against TRS or BLG. TRS continues to hold the deposit in trust.</li> <li>To December 31, 2009, no claims made or action commenced against TRS or BLG. TRS continues to hold the deposit in trust.</li> <li>To December 31, 2010, no claims made or action commenced against TRS or BLG. TRS continues to hold the deposit in trust. It is expected that the funds in trust will be released with the consent of the parties in the coming months.</li> <li>waiting for response from Tim (dead file).</li> <li>The matter was resolved upon the client and the adverse party agreeing to divide the funds that remained in trust. No claim has been made and none will arise.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
<b>68</b>	BLG 05/06 LIF 05-430 CLLAS 2005-199	Tim Sehmer (TRS)	CMN International Inc.	June 30, 2005		July 20, 2009
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising from our involvement in a Plan of Arrangement for the client (TRS/BES).</li> <li>To December 31, 2005, no claims made or action commenced.</li> <li>To December 31, 2006, no claims made or action commenced.</li> <li>December 19, 2007: scheduled court date for rectification order.</li> <li>December 19, 2007: rectification order obtained re: Plan of Arrangement.</li> <li>To December 31, 2007, no claims made or action commenced against TRS or BLG.</li> <li>To December 31, 2008, no claims made or action commenced against TRS or BLG.</li> <li><b>CLOSED</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
69	BLG 05/07 LIF 05-423 CLLAS 2005-202	David Longcroft (DCL)	DLJ Capital Partners	June 30, 2005		February 29, 2008
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising out of failure to advise of taxes possibly owing by client under <i>Esquimalt and Nanaimo Railway Belt Tax Act</i>.</li> <li>To December 31, 2005, no claims made or action commenced.</li> <li>To December 31, 2006, no claims made or action commenced.</li> <li>To December 31, 2007, no claims made or action commenced.</li> <li>February 1, 2008, LIF closes its file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought ____ (Potential claim approx. \$1.5 million)	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
70	BLG 05/10 LIF 05-679 CLLAS 2006-045	Max Jonathan Weder (MJW)	Praveen K. Vohora	Oct 28, 2005		December 1, 2002
	Description:	<ul style="list-style-type: none"> <li>• Claim arising from advice regarding client filing affidavit in Canada Revenue proceeding.</li> <li>• See Don MacDonald's email of Oct. 28, 2005 to the Lawyers Insurance Fund.</li> <li>• To December 31, 2005, no action commenced against BLG or MJW.</li> <li>• February 7, 2006: BLG letter to Vohora declining to participate in his legal costs (\$50,000) and CRA penalty (\$25,000).</li> <li>• February 9, 2006: Letter from Vohora suggesting BLG reconsider its position.</li> <li>• To December 31, 2006, no action commenced against BLG or MJW.</li> <li>• July 30, 2007: LIF advises it is closing its file.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		



	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
71	BLG 05/11 LIF 05-851 CLLAS 2006-051	Deborah H. Overholt (DHO)	Cooper and others	Dec. 21, 2005		April 23, 2010
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising from failure to file common law claim for contamination damages within limitation period.</li> <li>See Don MacDonald's email of Dec 21, 2005 to the Lawyers Insurance Fund.</li> <li>To December 31, 2005, no claims made or action commenced.</li> <li>To December 31, 2006, no claims made or action commenced.</li> <li>To December 31, 2007, no claims made or action commenced.</li> <li>To December 31, 2008, no claims made or action commenced.</li> <li>To December 31, 2009, no claims made or action commenced.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by LIF</b>
<b>72</b>	BLG 05/12 LIF 05-786 CLLAS 2006-047	William Sirett (WFS)	TGS Financing LLC and TGS (US) Realty, Inc.	Dec. 6, 2005		August 31, 2006
	Description:	<ul style="list-style-type: none"> <li>• Claim regarding possible reassessment by IRS for taxes for 3 years arising out of defective promissory notes.</li> <li>• See Don MacDonald's email of Dec 6, 2005 to the Lawyers Insurance Fund.</li> <li>• Corrective promissory notes have been executed. The correct amount of interest has always been paid.</li> <li>• To December 31, 2005, no action has been commenced against BLG.</li> <li>• July 17, 2006, LIF advised it would be closing its file.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

<b>73</b>	BLG 05/13 LIF 05-514 CLLAS 2006-021	Robert C. Piaesentin (RCP)	3925200 Canada Inc. and Markus Casey	August 5, 2005		March 1, 2006
	Description:	<ul style="list-style-type: none"> <li>• Possible claim arising from failure to have shareholders' resolution passed at the appropriate time.</li> <li>• December, 2005: settlement of underlying matter.</li> <li>• By letter dated March 1, 2006, LIF advises it is closing its file.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
74	BLG 06/01 LIF 06-262 CLLAS 2006-124	Martin Donner	Advanced Book Exchange Inc.	Apr 13, 2006		April 29, 2009
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising from a suggested failure to give notice to Investment Canada of an acquisition of the majority of shares of Abebooks.</li> <li>See email of April 13/06 from Don MacDonald to the Lawyers Insurance Fund.</li> <li>To December 31, 2006, no claims made or action commenced against BLG or MDD.</li> <li>To December 31, 2007, no claims made or action commenced against BLG or MDD.</li> <li>To December 31, 2008, no claims made or action commenced against BLG or MDD.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

75	BLG 06/03 LIF 06-275 CLLAS 2006-139	Gerry Ghikas Mary Jo Campbell	Finning International Inc. and Syndicat Management Inc.	April 21/06		December 29, 2006
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising from the drafting of a purchase and sale agreement of an Edmonton Property</li> <li>See letter of April 21/06 from Don MacDonald to the Lawyers Insurance Fund.</li> <li>September 28, 2006: A revised transaction closed, extinguishing the possible claim.</li> <li>November 21, 2006: CLLAS informs it is closing its file.</li> <li><b>CLOSED</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
76	BLG 06/04 LIF 06-380 CLLAS 2006-149	Nigel Cave	Bridges Transitions Inc.	May 24/06		June 29, 2007
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising out of the drafting of a confidentiality clause and its possible effect on the closing of a transaction to purchase the client company.</li> <li>See email of May 24/06 from Don MacDonald to the Lawyers Insurance Fund.</li> <li>The transaction closed.</li> <li>To December 31, 2006, no claims made or action commenced and none expected.</li> <li>March 5, 2007: PDM email to LIF and CLLAS of intention to close file June 30, 2007.</li> <li>June 14, 2007: LIF advises it is closing its file.</li> <li>November 26, 2007: CLLAS seeks update.</li> <li>December 15, 2007: PDM email to CLLAS advising file closed.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

77	BLG LIF CLLAS	06/05 06-546 2007-001	Magnus Verbrugge	Century Services Inc. / Clairvest Group Inc.	July 13/06		Jan. 23/13
	Description:	<ul style="list-style-type: none"> <li>• Claims by Century and Clairvest arising from alleged negligent advice and structure re loans made by them to New World Engineering Corporation. Loan proceeds appear to then have been fraudulently withdrawn from TD Waterhouse Inc. All co-defendants have crossclaimed against MCV and BLG and others.</li> <li>• See email of July 13/06 from Don MacDonald to the LIF.</li> <li>• August 24, 2006: Statement of Claim issued against BLG, MCV, Goodman &amp; Carr, Fogler Rubinoff, Gary Kissack, Barry Fillimore, TD Waterhouse Canada Inc. and Bulent Pakdil. The Statement claims damages against MCV and BLG for breach of fiduciary duty, negligence and breach of contract.</li> <li>• October 24, 2006: Plaintiffs filed an Amended Statement of Claim which deleted a paragraph (No. 74) containing extracts of emails from or to MCV.</li> <li>• November 29, 2006: Mesbur, J. grants Plaintiffs' motion and orders that BLG and MCV file a Statement of Defence prior to their motion for a stay; that their motion (to stay the action against them as premature) be set for a date agreed to by counsel, or failing agreement, by the court.</li> <li>• December, 2006: BLG's and MCV's Statement of Defence and Crossclaim (against all other co-defendants) was finalized and served.</li> <li>• January 12, 2007: TD and Pakdil deliver Defences and Crossclaims.</li> <li>• March, 2007: Plaintiffs bring motion re: demand for particulars from MCV and BLG.</li> <li>• March 8, 2007: TD brings Third and Fourth Party claims against MCV, BLG and others.</li> <li>• April 4, 2007: Fourth Party (Fogler Rubinoff and Barry Fillimore) crossclaim against MCV and BLG.</li> <li>• June 5, 2007: P. Griffin provides preliminary opinion.</li> <li>• October 12, 2007: Pakdil criminally charged with two counts of forgery.</li> <li>• October 12, 2007: Conference call: Peter Griffin and others plus Lester Lee, Chris Boland, MCV and PDM</li> <li>• October 23, 2007: Globe and Mail (R.O.B., pg. 3) story regarding Clairvest and others, including BLG.</li> <li>• November, 2007: draft defence (BLG and MCV) to Fourth Party claim circulated.</li> <li>• November 26, 2007: scheduled meeting among counsel in separate actions against fraudsters and professionals.</li> <li>• December 3, 2007: P. Griffin reports on possible consolidation of actions or re-pleading in one.</li> <li>• 2008: Discoveries held. No trial date set. Parties considering possible mediation.</li> <li>• 2009: No material developments; criminal charges against co-defendants were stayed. Motions to compel responses to questions asked on discovery of co-defendants were concluded. No trial date has been set.</li> <li>• Defense counsel is reporting directly to CLLAS on this complex, high value claim. A five party mediation with George Adams is scheduled for September 20 - 22, 2011. A pre-trial conference is scheduled with Justice Campbell for November 7 and 8, 2011. The trial date has not been set. Counsel anticipates a 2 month trial, not before 2012.</li> <li>• As LIF's policy limit is effectively exhausted, CLLAS is instructing defence counsel and is actively involved in settlement negotiations. Trial is scheduled to commence April 2, 2012.</li> <li>• <b>CLOSED.</b></li> </ul>					

	Damages Sought <ul style="list-style-type: none"> <li>unspecified</li> <li>missing funds: \$20 million</li> </ul>	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____	\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____
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	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
78	BLG 06/06 LIF 06-544 CLLAS 2006- 034	Otto-Hans Nowak	Cybele Family Trust and Lee Ming Tim	July 29/06		December 13, 2011
	Description:	<ul style="list-style-type: none"> <li>February 16, 2006 letter from CRA threatening assessment against OHN, as trustee, and alleged "purchaser" for failure of non-resident (Ming Tim Lee or his accountant) to obtain clearance certificate on purchase of property from a non-resident. (Mr. Tim received \$4 million from Cybele Family Trust. No certificate issued. Demand to Trust to remit 25% tax of \$1 million).</li> <li>See email of July 29/06 from Don MacDonald to the LIF.</li> <li>To December 31, 2006, no assessment issued against OHN.</li> <li>January, 2007: Clearance Certificate not yet issued. CRA still requesting information whether Mr. Tim at arm's length with Company and why interest rate (4%) on promissory note so low.</li> <li>November 29, 2007: CRA now expected to issue s. 159 Clearance Certificate.</li> <li>To December 31, 2007, no assessment issued against OHN.</li> <li>To December 31, 2008, no assessment issued against OHN.</li> <li>To December 31, 2009 no assessment issued against OHN. All parties have agreed to take no action pending expiry of applicable CRA limitation periods.</li> <li>Counsel advises that o the extent the (transfer pricing) 6-year reassessment period applies, the limitation period for the 2003 and 2004 tax years ends on May 27, 2010 and June 1, 2011, respectively. All concerned are agreed to lie low and await the expiry of the limitation periods.</li> <li><b>CLOSED.</b></li> </ul>				
	Amount Sought \$1 million in taxes + penalties and interest	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
79	BLG 06/07 LIF 06/564 CLLAS 07/005	Brad J. Freedman and Stephen T.C. Warnet	kevin_98020@yahoo. com	August 15, 2006	July 27, 2006	September 30, 2008
	Description:	<ul style="list-style-type: none"> <li>Possible claim by person known only by his email address, kevin_98020@yahoo.com, arising out of an action commenced on behalf of our client, Pacific International Securities Inc., against Gregory R. Hart with respect to ownership of the domain name: pisecurities.info.</li> <li>See email of August 15, 2006 from Don MacDonald to the LIF.</li> <li>To December 31, 2006, no claims made or action commenced.</li> <li>To December 31, 2007, no claims made or action commenced.</li> <li>September, 2008, LIF closes its file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

80	BLG 06/08 LIF 06-0690 CLLAS 07/052	Max J. Weder  (Jasmine Sidhu)	Infinite Enterprises Ltd.; Steve Harder	October 3, 2006	November 24, 2005	November 30, 2009
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising from failure of J. Sidhu to inform clients to file Notices of Objections re: CPP &amp; EI penalties and interest for all contractors (not just two).</li> <li>See email of October 3, 2006 from Don MacDonald to the LIF.</li> <li>October 2, 2006: MJW writes to CRA seeking extension of time to file Notices of Objection.</li> <li>To December 31, 2006, no claims advanced or action commenced.</li> <li>March 5, 2007: Client advises it has received a full refund from CRA.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		



	BLG File No. LIF No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by LIF
<b>81</b>	BLG 06/09 LIF 06-939 CLLAS 07-66	Ian Webb	Charles S. Lucero, David C. Morse, James H. Morse, Marilyn B. Morse	December 23, 2006	November 18, 2005	April 29, 2009
	Description:	<ul style="list-style-type: none"> <li>Claimants commenced action (No. S-067514) in Supreme Court of BC on November 21, 2006 against ID Biomedical claiming damages for negligent misrepresentation, breach of fiduciary duty, interest and costs arising out of a sale of shares and warrants of ID Biomedical to GlaxoSmithKline (GSK). I. Webb was a director of ID Biomedical at the time of the sale.</li> <li>See email of December 23, 2006 from Don MacDonald to the LIF.</li> <li>To December 31, 2006 no claims made or action commenced against BLG or IAW.</li> <li>January 15, 2007: ID Biomedical filed a Statement of Defence denying all claims.</li> <li>October, 2007: Counsel for GSK and ID Biomedical review client documents in BLG's possession.</li> <li>To December 31, 2007, no claims made or action commenced against BLG or IAW.</li> <li>To December 31, 2008, no claims made or action commenced against BLG or IAW.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by LIF</b>
<b>82</b>	BLG 06-10 LIF 06-930 CLLAS 2007-067	David Miachika	Her Majesty the Queen in Right of the Province of British Columbia, School District 71 (Comox Valley)	December 26, 2006		June 30, 2009
	Description:	<ul style="list-style-type: none"> <li>• Possible claim for possibly missing a limitation period for commencing an action with respect to a leaky school.</li> <li>• See email of December 26, 2006 from Don MacDonald to the LIF.</li> <li>• To December 31, 2006, no claims made or action commenced.</li> <li>• To December 31, 2007, no claims made or action commenced against DM or BLG.</li> <li>• 2008: underlying action settled eliminating likelihood of claim.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
<b>83</b>	BLG 07-1 LIF No. 07-164 CLLAS 2007-095	Vincent R. Orchard	Jann Winters	March 15, 2007		May 26, 2009
	Description:	<ul style="list-style-type: none"> <li>• Possible claim for possibly missing the limitation period under a disability policy</li> <li>• See letter of March 15, 2007 from Don MacDonald to the Lawyers Insurance Fund.</li> <li>• To December 31, 2007, no claim made or action commenced against VRO or BLG.</li> <li>• To December 31, 2008, no claim made or action commenced against VRO or BLG.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
<b>84</b>	BLG 07-2 LIF No. 07-435 CLLAS 2007-161	Jo-Anne B. Chia	6581862 Canada Inc., an affiliate of Maestro Conseiller Immobilier	June 28, 2007		November 30, 2009
	Description:	<ul style="list-style-type: none"> <li>• Failure to remit when due (July 15, 2006), proceeds (\$112,500) to Canada Revenue Agency because of mistaken understanding that a clearance certificate was first required.</li> <li>• See letter of June 28, 2007 (Doc. 2385425) from Don MacDonald to the Lawyers Insurance Fund and JBC memo of June 29, 2007 (Doc. 238440).</li> <li>• June 29, 2007: BLG gives “no names disclosure” to CRA.</li> <li>• September 10, 2007: BLG pays \$112,500 to CRA with request that late failing penalty and interest be waived.</li> <li>• To December 31, 2007, no claim made or action commenced against JBC or BLG.</li> <li>• To December 31, 2008, no claim made or action commenced against JBC or BLG.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
85	BLG 07-3 LIF No. 07-0434 CLLAS 2007-160	Amy J. Davison	Penlea Investments Ltd.	June 28, 2007	January – April, 2007	June 30, 2009
	Description:	<ul style="list-style-type: none"> <li>Possible claim for damages resulting from defective affidavit on summary judgment application in Action No. S056443.</li> <li>See letter of June 28, 2007 (Doc 23855433) from Don MacDonald to the Lawyers Insurance Fund and memo dated June 27, 2007 (Doc 2385363) from AJD.</li> <li>August 31, 2007: Leask, J. pronounced judgment in Action No. S056443. Defective affidavit not fatal: adequate notice otherwise given. Appeal period has expired.</li> <li>To December 31, 2007, no claim made or action commenced against AJD or BLG.</li> <li>To December 31, 2008, no claim made or action commenced against AJD or BLG.</li> <li><b>CLOSED</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
86	BLG 07-4 LIF No. 07-455 CLLAS 2008-0001	Warren B. Learmonth	FinancialCAD Corporation	July 4, 2007		
	Description:	<ul style="list-style-type: none"> <li>Possible claim for damages and costs arising from failure to advise client of shareholder dissent rights on special resolution to amend articles of company.</li> <li>See letter of July 4, 2007 (Doc 2386957) from Don MacDonald to the Lawyers Insurance Fund and memo dated July 4, 2007 (Doc 2386912) from WBL.</li> <li>August 31, 2007: Petition filed seeking order setting aside resolution to amend articles.</li> <li>November 27, 2007: Hearing of Petition adjourned: no judge.</li> <li>January 21-22, 2008: rescheduled hearing dates of Petition.</li> <li>March 25, 2008: Supreme Court dismisses Petition.</li> <li>January 13, 2009: FinancialCAD's appeal dismissed.</li> <li>February 2009: FinancialCAD considers leave to appeal to Supreme Court of Canada.</li> <li>April 2009: Application for Leave to Appeal is dismissed.</li> <li>December 19, 2009: Settled in a mediation proceeding.</li> <li><b>CLOSED.</b> See LIF claims bordereaux for details of legal and indemnity payment.</li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
87	BLG 07-5 LIF No. 07-763 CLLAS 2008-038	Doug Copland	Go2 Tourism HR Society	November 1, 2007		April 12, 2010
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising from the failure to identify and report a possibly confusing trademark</li> <li>See letter of November 1, 2007 (Doc 2427292) from Don MacDonald to the Lawyers Insurance Fund and a memo dated September 4, 2007, and emails of September 4, 2007 and October 19, 2007 from Jessica Yeung.</li> <li>To December 31, 2007, no claim made or action commenced against J. Yeung, DGC or BLG.</li> <li>To December 31, 2008, no claim made or action commenced against J. Yeung, DGC or BLG..</li> <li>To December 31, 2009 no claims advanced or action commenced against BLG or Doug Copland. File closure pending.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
88	BLG 07-6 LIF No. 07-804 CLLAS 2008-049	Robert B. Dawkins	Voltech International Inc.	November 27, 2007		April 29, 2009
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising from failure to advise client to give notice to its insurer with respect to a product liability matter.</li> <li>See letter of November 27, 2007 (Doc 2437084) from Don MacDonald to the Lawyers Insurance Fund and memo from RBD dated November 21, 2007 (Doc 2435022).</li> <li>December 5, 2007: the client's insurer advised that it had opened a file, was investigating the matter and was reserving rights.</li> <li>To December 31, 2007, no claim had been made or action commenced against RBD or BLG.</li> <li>To December 31, 2008, no claim had been made or action commenced against RBD or BLG.</li> <li><b>CLOSED</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		



	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
<b>89</b>	BLG 07-7 LIF No. 07-921 CLLAS 2008-076	Graham Walker	BCR Properties Ltd.	December 20, 2007		April 16, 2009
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising from the possibility that a Quebec Court of Appeal decision may be applied to a B.C. bankruptcy proceeding which could impair the rights we advised our client it enjoyed as a secured creditor.</li> <li>See letter of December 20, 2007 (Doc 2447272) from Don MacDonald to the Lawyers Insurance Fund and memo dated December 20, 2007 (Doc 2442889) from GW.</li> <li>To December 31, 2007, no claim has been advanced or any action commenced against GW, CSB or BLG.</li> <li>To December 31, 2008, no claim has been advanced or any action commenced against GW, CSB or BLG.</li> <li><b>CLOSED</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
90	BLG 07-8 LIF No. 07-902 CLLAS 2008-068	Rick L. Williams	MTU Maintenance Canada Ltd.	December 20, 2007		July 4, 2011
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising from missing possible nine month limitation period applying to client's claim against a defendant.</li> <li>See letter of December 20, 2007 (Doc 2447106) from Don MacDonald to the Lawyers Insurance Fund and RLW's memo dated December 19, 2007 (Doc 2446487).</li> <li>To December 31, 2007 no claim has been advanced or any action commenced against RLW or BLG.</li> <li>To December 31, 2008 no claim has been advanced or any action commenced against RLW or BLG.</li> <li>To December 31, 2009 no claims advanced or action commenced against BLG or Richard Williams.</li> <li>To December 31, 2010 no claims advanced or action commenced against BLG or Richard Williams.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
<b>91</b>	BLG 07-9 LIF No. 07-922 CLLAS 2008-080	Debra L. Sing	ICBC	December 28, 2007		March 9, 2010
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising from possibly erroneous advice with respect to statutory disclosure obligations of ICBC under the <i>Business Practices and Consumer Protection Act</i>.</li> <li>See email of Don MacDonald to Layers Insurance Fund dated December 28, 2007 and memo from Robert Deane (Doc 2447903) dated December 27, 2007.</li> <li>To December 31, 2007, no claim has been made or action commenced against DLS or BLG.</li> <li>To December 31, 2008, no claim has been made or action commenced against DLS or BLG.</li> <li><b>CLOSED</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
92	BLG 07-10 LIF No. 07-923 CLLAS 2008-082	Melanie M. Bradley	Dwane Brosseau	December 28, 2007		September 24, 2009
	Description:	<ul style="list-style-type: none"> <li>Possible claim for damages arising out of alleged failure to provide regulatory advice to a shareholder and director of a client with respect to his acquisition and disposition of IPO shares of the client..</li> <li>See email of Don MacDonald to Layers Insurance Fund dated December 28, 2007 and memo from Melanie Bradley (Doc 2427141).</li> <li>To December 31, 2007, no claim has been made or action commenced against MMB or BLG.</li> <li>To December 31, 2007, no claim has been made or action commenced against MMB or BLG.</li> <li>To December 31, 2008, no claim has been made or action commenced against MMB or BLG.</li> <li><b>CLOSED</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
<b>93</b>	BLG 08-01 LIF No. 08-077 CLLAS 2008-099	David Longcroft / Vivian Tang	Pope & Talbot	January 29/08		April 10, 2010
	Description:	<ul style="list-style-type: none"> <li>Possible claim for damages arising from the transfer of a parcel of land without obtaining required governmental consent which jeopardized a related Tree Farm License.</li> <li>See letter of Ross Switzer to Lawyers Insurance Fund dated January 29, 2008 and memo from David Longcroft (Doc 2458302 ).</li> <li>To December 31, 2008, no claims advanced or action commenced against BLG, David Longcroft or Vivian Tang.</li> <li>To December 31, 2009 no claims advanced or action commenced against BLG or David Longcroft or Vivian Tang. File closure pending.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
<b>94</b>	BLG 08-02 LIF No. 08-358 CLLAS 2008-144	Felicia Chen	Windmill West Properties LLP	May 27, 2008		February 29, 2012
	Description:	<ul style="list-style-type: none"> <li>• Possible claim for losses and costs resulting from a misplaced cheque and bank draft.</li> <li>• See letter of Don MacDonald to Lawyers Insurance Fund dated May 27, 2008.</li> <li>• To December 31, 2008, no claims advanced or action commenced against BLG or Felicia Chen.</li> <li>• To December 31, 2009 no claims advanced or action commenced against BLG or Felicia Chen.</li> <li>• To December 31, 2010 no claims advanced or action commenced against BLG or Felicia Chen.</li> <li>• To December 31, 2011 no claims advanced or action commenced against BLG or Felicia Chen.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
<b>95</b>	BLG 08-03 LIF No. 08-351 CLLAS-143 2008-143	Josephine Nadel	Rose Franks Trust, Rose Franks	May 27, 2008		December 30, 2009
	Description:	<ul style="list-style-type: none"> <li>Possible claim for damages for negligence arising from losses due to theft by employee of client.</li> <li>See letter of Don MacDonald to Lawyers Insurance Fund dated May 27, 2008.</li> <li>To December 31, 2009, no claims advanced or action commenced against BLG or Josephine Nadel.</li> <li>To December 31, 2010, no claims advanced or action commenced against BLG or Josephine Nadel.</li> <li>To December 31, 2011, no claims advanced or action commenced against BLG or Josephine Nadel.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
<b>97</b>	BLG 08-05 LIF No. 08-0439 CLLAS 2008-184	Stephen Antle	American Bullion Minerals Ltd.	June 28, 2008	June, 2008	November 26, 2010
	Description:	<ul style="list-style-type: none"> <li>Possible claim for damages resulting from advice given while in an alleged conflict of interest.</li> <li>See letter of Don MacDonald to Lawyers Insurance Fund dated June 28, 2008.</li> <li>To December 31, 2008, no claims advanced or action commenced against BLG or Stephen Antle.</li> <li>To December 31, 2009 no claims advanced or action commenced against BLG or Stephen Antle.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
<b>98</b>	BLG 08-06 LIF No. 08-0446 CLLAS 2008-183	Graham Walker	Island-Sea Marina Ltd.	June 28, 2008		September 22, 2010
	Description:	<ul style="list-style-type: none"> <li>Claim for amounts owed (return of fees) arising from representation as counsel on an injunction application</li> <li>See email of Don MacDonald to Lawyers Insurance Fund dated June 28, 2008.</li> <li>To December 31, 2008, no claims advanced or action commenced against BLG or Graham Walker.</li> <li>To December 31, 2009 no claims advanced or action commenced against BLG or Graham Walker.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		



	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
<b>99</b>	BLG 08-07 LIF No. 08-871 CLLAS 2009-069	Mark Lewis	Canada Line Rapid Transit	December 16, 2008	July 20, 2007	December 17, 2009
	Description:	<ul style="list-style-type: none"> <li>Possible claim for failing to adequately describe an excluded structure in the sale and lease back of lands used in construction of the "Canada Line"</li> <li>See letter of J. Cameron Mowatt to Lawyers Insurance Fund dated Dec. 16, 2008.</li> <li>Interested third parties continue to discuss resolution of a dispute over ownership of the structure.</li> <li><b>CLOSED</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
100	BLG 08-08 LIF No. 08-931 CLLAS 2008- 2009-091	Martin D. Donner	Bruce D. Wendel	December 23, 2008	March 20, 2008	March 20, 2009
	Description:	<ul style="list-style-type: none"> <li>Possible claim for damages arising out of advice to client regarding the exercise of a stock option agreement.</li> <li>On October 14, 2008 the Supreme Court of British Columbia decided the underlying matter in favour of our client.</li> <li>On November 7, 2008 the adverse party, Tristan Industries Ltd., filed a Notice of Appeal.</li> <li>See email of Don MacDonald to Lawyers Insurance Fund dated Dec. 23, 2008.</li> <li>To December 31, 2008 no claims advanced or action commenced against BLG or Martin Donner.</li> <li><b>CLOSED</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
101	BLG 08-11 LIF No. 08-917 CLLAS 2009-079	Richard James Bennett	CSI Holdings Ltd.	December 29 2008	July 2008 (prior to joining BLG)	November 23, 2010
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising from filing taxpayer's election under s. 85 of the <i>Income Tax Act</i> without taking into account a "carve out" available under s. 95(2) which would have excluded any capital gain accruing while the taxpayer was not a "foreign affiliate".</li> <li>See letter from J. Cameron Mowatt to Lawyers Insurance Fund dated Dec. 29, 2008.</li> <li>Remedial steps were identified by Mr. Bennett and expert counsel appointed by LIF. Tax returns incorporating the remedy have been filed and CRA approval is awaited.</li> <li>To December 31, 2009 no claims advanced or action commenced against BLG or Richard James Bennett.</li> <li>Two s. 116 Clearance Certificates were received in February 2010. File can be closed upon receipt of \$99,000 refund.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
<b>102</b>	BLG 09-01 LIF No. 09-117 CLLAS 2009- 103	D. Ross McGowan	Harold Gaffney	February 16, 2009	October 31, 2008	July 16, 2010
	Description:	<ul style="list-style-type: none"> <li>Possible claim for defamation arising from comments made by Mr. McGowan in a privileged communication to our client, CIBC, concerning the claimant, a known vexatious litigant.</li> <li>See letter of J. Cameron Mowatt to Lawyers Insurance Fund dated February 16, 2009.</li> <li>Mr. McGowan continues to advise CIBC in the underlying matter while we monitor developments concerning the alleged defamation.</li> <li>To December 31, 2009 no claims advanced or action commenced against BLG or D. Ross McGowan.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
<b>103</b>	BLG 09-02 LIF No. 09-256 CLLAS 2009- 192	Warren T. Wilson, Sean A. Muggah	Gilles Lagarde and Saint Simeon Marketing e Investmentos Lda	April 9, 2009		October 31, 2011
	Description:	<ul style="list-style-type: none"> <li>Possible claim for failure to warn purchaser (opposing party) to obtain the clearance certificate.</li> <li>See email of Cameron Mowatt to Lawyers Insurance Fund dated April 9, 2009.</li> <li>To December 31, 2009 no claims advanced or action commenced against BLG, Warren T. Wilson or Sean A. Muggah.</li> <li>To December 31, 2010 no claims advanced or action commenced against BLG, Warren T. Wilson or Sean A. Muggah.</li> <li>To December 31, 2011 no claims advanced or action commenced against BLG, Warren T. Wilson or Sean A. Muggah.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
<b>104</b>	BLG 09-03 LIF No. 2009-475 CLLAS 2009-158	Gary J. Wilson	Robert D.C. Malcolm	June 19, 2009		Mar. 27/12
	Description:	<ul style="list-style-type: none"> <li>• Possible claim for alleged conversion of property of opposing party for contested estate litigation.</li> <li>• See letter of Cameron Mowatt to Lawyers Insurance Fund dated June 19, 2009.</li> <li>• Action commenced against BLG in Idaho on March 9, 2010. Appointment of defence counsel is awaited.</li> <li>• The claim was summarily dismissed in November for failure to plead a proper cause of action. No appeal was taken. File closure is pending.</li> <li>• Closed – waiting for LIF to advise of closure date.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
105	BLG 09-04 LIF No. 2009-443 CLLAS 2009--156	Josephine M. Nadel	Cattermole Timber	June 19, 2009	January 1, 2008	December 17, 2012
	Description:	<ul style="list-style-type: none"> <li>Possible claim for damages arising from failure to ensure transfer road use permits in the sale of a logging license and site, exposing vendor client to potential environmental remediation costs</li> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated June 19, 2009.</li> <li>To December 31, 2009 no claims advanced or action commenced against BLG or Josephine M. Nadel.</li> <li>BLG litigation lawyers have been engaged by LIF to seek a judicial declaration that the road use permits shall be transferred to the purchaser.</li> <li>Reasons for Judgment given on March 20, 2012 found in favour of Cattermole and directed the transfer and registration of the road use permits, thus ending the prospect of a claim. However, counsel's fees will be treated as a claim payment.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
<b>106</b>	BLG 09-05 LIF No. 2009-494 CLLAS 2009-163	Mark V. Lewis	Trez Capital Corp.	June 29, 2009	December 5, 2007	May 30, 2011
	Description:	<ul style="list-style-type: none"> <li>• Possible claim for failure to register security agreement against all of the debtor's available property.</li> <li>• See letter of Cameron Mowatt to Layers Insurance Fund dated June 29, 2009.</li> <li>• To December 31, 2009 no claims advanced or action commenced against BLG or Mark V. Lewis.</li> <li>• To December 31, 2010 no claims advanced or action commenced against BLG or Mark V. Lewis.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		



	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
107	BLG 09-06 LIF No. 2009-192 CLLAS 2009-164	Ian Webb	Sun Gro Horticulture Income Fund	June 29, 2009	June 22, 2009	July 22, 2011
	Description:	<ul style="list-style-type: none"> <li>Possible claim for failure to advise of possible grounds to challenge proxies voted at AGM.</li> <li>See email of Cameron Mowatt to Layers Insurance Fund dated June 29, 2009.</li> <li>To December 31, 2009 no claims advanced or action commenced against BLG or Ian Webb.</li> <li>To December 31, 2010 no claims advanced or action commenced against BLG or Ian Webb.</li> <li>To December 31, 2011 no claims advanced or action commenced against BLG or Ian Webb.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
108	BLG 09-07 LIF No. 2009-485 CLLAS 2009--165	Hans Nowak	Soleil Trust/Dovepool Investments Ltd.	June 29, 2009	July 1 2008	Dec. 10/13
	Description:	<ul style="list-style-type: none"> <li>Possible claim for failing to choose appropriate state of domicile for off-shore trust.</li> <li>See email of Cameron Mowatt to Lawyers Insurance Fund dated June 29, 2009.</li> <li>To December 31, 2009 no claims advanced or action commenced against BLG or Hans Nowak.</li> <li>To December 31, 2010 no claims advanced or action commenced against BLG or Hans Nowak.</li> <li>To December 31, 2011 no claims advanced or action commenced against BLG or Hans Nowak.</li> <li>To December 31, 2012 no claims advanced or action commenced against BLG or Hans Nowak.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
109	BLG 09-08 LIF No. 09-501 CLLAS 2009-190	Ron L. Bozzer	Manna Humanitarian Foundation	June 30, 2009	February 16, 2006	May 30/13
	Description:	<ul style="list-style-type: none"> <li>Possible claim for unknowingly passing funds utilized a Ponzi scheme.</li> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated June 30, 2009.</li> <li>To December 31, 2009 no claims advanced or action commenced against BLG or Ron L. Bozzer.</li> <li>To December 31, 2010 no claims advanced or action commenced against BLG or Ron L. Bozzer. The Trustee in Bankruptcy appointed for a Manna-related entity was expected to apply (and did apply) for directions that US\$49,000 held in BLG's trust account be paid into court for benefit of creditors.</li> <li>Funds held in trust were paid into court pursuant to court order. To December 31, 2011 there has been no claim advanced and no intimation that a claim will be made by any party.</li> <li>To December 31, 2013 no claims advanced or action commenced against BLG or Ron Bozzer.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		



	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
111	BLG 09-10 LIF No. 2009-572 CLLAS 2010-005	Max Weder	Best Buy	July 23, 2009	July 13, 2009	July 13, 2009
	Description:	<ul style="list-style-type: none"> <li>Possible claim for dismissal of claim against CRA for failure to meet court deadline.</li> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated July 23, 2009.</li> <li>The error was repaired by court application brought by outside counsel. BLG paid the \$5,000 deductible. LIF paid the balance of the repair costs.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
112	BLG 09-11 LIF No. 09-584 CLLAS 2010-004-	Chui-Hee Shin	Jason Hyunwoo Hong	July 23, 2009	January 21, 2009	April 2014
	Description:	<ul style="list-style-type: none"> <li>Possible claim for breach of obligations as stakeholder of shares in a commercial transaction.</li> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated July 23, 2009.</li> <li>An action has been commenced against Shin and others but is currently dormant.</li> <li>Note that Shin was a member of Boughton Law Corporation when the events giving rise to the claim arose, thus BLG's CLLAS policy is not responding to this claim.</li> <li>The matter has been in abeyance while the parties to the main action amend their pleadings. Counsel appointed by LIF has apparently advised Shin that upon reviewing the amendments there does not appear to be proper grounds for Hong to assert a claim against him.</li> <li>We do not receive reports from defense counsel in this matter as Boughton Law Corporation is the firm at risk. Mr Shin advises that the trial between the Plaintiffs (his former clients) and the Defendants (Jason Hong and Argo) is scheduled to proceed on April 23, 2012. The Defendants commenced third party proceedings against Mr Shin and Boughton, alleging negligence, breach of undertaking and conflict of interest. LIF is apparently considering a modest contribution to settlement.</li> <li>Mr. Shin is no longer with the firm. The third party claim against him and his former firm (Boughton Law Corp.) apparently continues but there is no exposure to BLG.</li> <li>No change as of December 31, 2013.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
113	BLG 09-12 LIF No. 09-851 CLLAS 2010-051	Doug G. Copland	C-Free Power Corp.	November 5, 2009	September 1, 2009	April 22, 2010
	Description:	<ul style="list-style-type: none"> <li>• Possible claim for failure to file objection to registration of trade mark.</li> <li>• See letter of Cameron Mowatt to Lawyers Insurance Fund dated November 5, 2009.</li> <li>• To December 31, 2009 no claims advanced or action commenced against BLG or Doug Copland.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
114	BLG 09-13 LIF No. 09-865 CLLAS 2010-055	David C. Longcroft	Aurora Holdings Ltd. International Horizon Lands Ltd.	November 12, 2009	December 15, 2008	May 27, 2010
	Description:	<ul style="list-style-type: none"> <li>Possible claim for drafting error of purchase and sale agreement.</li> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated November 12, 2009.</li> <li>To December 31, 2009 no claims advanced or action commenced against BLG or David C. Longcroft.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
115	BLG 10-01 LIF No. 10-255 CLLAS 2010-143	Gary J. Wilson	Brian Digby, as Trustee for Greg Peet Trust	April 8, 2010	March 1, 2004	December 13, 2011
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising from alleged failure of a spousal trust by inclusion of alleged improper borrowing power. All parties challenge CRA's position on this issue.</li> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated April 10, 2010.</li> <li>To December 31, 2010 no claims advanced or action commenced against BLG or Gary J. Wilson.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		



	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
116	BLG 10-02 LIF No. 10-0471 CLLAS 2010-117	Peter A. Wong	Chan Estate	June 25, 2010	May 1, 2008	October 31, 2011
	Description:	<ul style="list-style-type: none"> <li>Possible claim for clients exposure to additional tax payable as a result of possible error in a tax planning scheme.</li> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated June 25, 2010.</li> <li>To December 31, 2010 no claims advanced or action commenced against BLG or Peter A. Wong although efforts are underway to repair the possible error which will likely result in a claim for repair costs. Note that Mr. Wong was with Boughton Law Corporation when the legal services at issue were rendered..</li> <li>We have been advised that remedial action was initiated by counsel engaged by LIF but we do not receive counsel's reports as Mr Wong was with Boughton &amp; Co when the error occurred. CLLAS is not exposed.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
117	BLG 10-03 LIF No. 10-0471 CLLAS 2011-023	Douglas G. Copland / Elbie De Kock	Cominco Engineering Services	August 25, 2010	April 19, 2010	April 8, 2011
	Description:	<ul style="list-style-type: none"> <li>Possible claim for loss of remaining 2 years of patent protection in Cuba over a metallurgical refining process. The client has indicated that the process in question is not in use and that loss of the patent protection is inconsequential.</li> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated August 25, 2010.</li> <li>To December 31, 2010 no claims advanced or action commenced against BLG, Douglas G. Copland or Elbie De Kock..</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
<b>118</b>	BLG 10-04 LIF No. 10-773 CLLAS 2011-032	Peter A. Wong	Bear Dog Holdings Ltd. And Bear Dog Enterprises	October 21, 2010	July 16, 2007	6 October 2015
	Description:	<ul style="list-style-type: none"> <li>Possible claim for the cost of rectifying the ownership structure of a trust and series of companies that own and operate a number of aboriginal business in northern British Columbia.</li> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated October 21, 2010.</li> <li>As at December 31, 2010 a claim had been threatened against Peter Wong, Boughton Law Corporation and BLG but no proceedings had been commenced. Note that Mr Wong was with Boughton when the legal services giving rise to the claim were rendered. Defense counsel will attempt to have BLG dropped from the claim if and when legal proceedings are formally commenced.</li> <li>An application is pending to have this claim certified as a class action. As Mr Wong was with Boughton &amp; Company when the events giving rise to the claim occurred, an application to dismiss the claim as against BLG is pending. CLLAS is not exposed on the alleged error.</li> <li>The Plaintiffs consented to dismissal of the claim against BLG in September 2012. The action continues against Mr. Wong in his capacity as a former partner in Boughton &amp; Company. CLLAS is not exposed on this claim.</li> <li>No change as at December 31, 2013.</li> <li>No change as at December 31, 2014.</li> <li>Closed.</li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
<b>119</b>	BLG 10-05 LIF No. 10-994 CLLAS 2011-066	Mark V. Lewis	John & Darla Ell and Bruce & Barbara Cranch	December 16, 2010	October 4, 2010	March 29, 2011
	Description:	<ul style="list-style-type: none"> <li>Possible claim for breach of undertaking to discharge mortgages against two residential building lots upon receipt of the purchaser's payment in each transaction. Claims were averted by eventually obtaining discharges from the mortgagee.</li> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated December 16, 2010.</li> <li>To December 31, 2010 no claims advanced or action commenced against BLG or Mark V. Lewis.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
<b>120</b>	BLG 11-02 LIF No. 11-082 CLLAS 2011-098	Josephine M. Nadel	Barbara Yaffe	January 26, 2011	April 21, 2009	Jan. 16/13
	Description:	<ul style="list-style-type: none"> <li>Possible claim for alleged failure to give complete estate planning advice in connection with the testators RIF</li> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated January 26, 2011</li> <li>To December 31, 2011 no action has been commenced and further intimation of a claim has been made.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
<b>121</b>	BLG 11-03 LIF No. 11-232 CLLAS 2011-125	Mowatt, J. Cameron/ Geoff de Kleine	Research in Motion	February 24, 2011	November 20, 2009	March 30, 2012
	Description:	<ul style="list-style-type: none"> <li>Note that LIF's file is open in the name of James Cameron Mowatt as Mr. de Kliene is a non-lawyer patent agent.</li> <li>Possible claim for failure to record change of patent agent. See letter (etc).</li> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated February 24, 2011</li> <li>Claim averted by remedial action.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
122	BLG 11-04 LIF No. 10- CLLAS 2011-197	Stephen Warnett	John Richard Clancy	June 30, 2011	June 7, 2011	January 10, 2012
	Description:	<ul style="list-style-type: none"> <li>Threatened claim for alleged interference with contractual relations</li> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated June 30, 2011</li> <li>The underlying litigation was resolved with no further intimation of a claim against Mr Warnett.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
123	BLG 11-05 LIF No. 11-797 CLLAS 2012-029	Lesley Rapaport	Wes Pharmaceuticals Inc.	September 21, 2011	September 30, 2010	March 2013
	Description:	<ul style="list-style-type: none"> <li>Possible claim for failure to properly prosecute a US patent application.</li> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated September 21, 2011</li> <li>We understand that remedial action was initiated by Ms Rapaport who no longer practices with BLG. We do not know the outcome of such remedial action. To our knowledge, as at December 31, 2011 no claim has been advanced against Mr Rapaport or BLG.</li> <li>Ms. Rapaport is no longer with BLG. She advises that there remains a slim but most unlikely chance that there could be a claim in future (approx 5 years). The USPTO calculated that there had been no change in term – (which was the potential issue) – so all that remains is possibility that USPTO miscalculated and that the issue is raised in litigation.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
124	BLG 11-06 LIF No. 11-1038 CLLAS 2012-065	Mowatt, J. Cameron/ Morrison, Lesley	Sandra Corrine Elash and Henry Matthew Elash	December 14, 2011	November 19, 2011	February 28, 2012
	Description:	<ul style="list-style-type: none"> <li>Note that LIF's file is open in the name of James Cameron Mowatt as Ms. Morrison is a non-lawyer patent agent</li> <li>Possible claim for failure to timely prosecute a US patent application.</li> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated December 14, 2011.</li> <li>Remedial action was successful.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

125	BLG LIF No. CLLAS	11-07 11-1061 2012-070	Kopstein, Robert; Keilty, Kathleen	The Evolution Fund, Lawson Commercial Financial Corp., Michael Hines and Tess Lawson	December 20, 2011	September 1, 2007	
	Description:		<ul style="list-style-type: none"> <li>Threatened claim for (i) - while at BLG - alleged negligence in failing to advise clients in a securities transaction to conduct a background investigation of one of their business associates and (ii) - while at Blakes - alleged negligence in failing to shield clients from personal liability associated with signing a prospectus.</li> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated December 20, 2011</li> <li>LIF has appointed defence counsel, Michael Armstrong of Armstrong Simpson. To December 31, 2011 no action had been commenced against Kopstein, Keilty, BLG or Blakes.</li> <li>An action was commenced against all anticipated defendants, including BLG, in July 2012 (the "2012 Action"). Counsel appointed by LIF has filed a Response to Civil Claim (defence) on behalf of all defendants. The claim appears to lack merit.</li> <li>In June 2015, counsel for the plaintiffs, Lisa Ridgedale, served a Notice of Intention to Withdraw as Lawyer for all plaintiffs. No objections were made.</li> <li>In July 2015 Dean Davison filed Notices of Appointment of Lawyer for the plaintiffs Evolution Fund, Hines, Tess Lawson, Cousins, Pinch, Kevin Lawson, Friedman and Thomas, but not for plaintiffs Schomaker, Riggins (x2), Carol, Zanatta, Croxen, Burns, Adams, Best, McGee, Crozex, Drysdale and Loo. As a result Lisa Ridgedale is still counsel of record for the latter group.</li> <li>Davison has now (September 2015) delivered Notices of Intention to Withdraw as Lawyer for Cousins, Friedman and Thomas. He has not yet filed a Notice of Withdrawal as lawyer for those parties, but will be entitled to do so if those plaintiffs do not object to his withdrawal 7 days after they receive the Notice of Intention. At present, Davison he remains counsel of record for those parties.</li> </ul> <p><b>In the second related action against BLG et al (the "2014 Action"):</b></p> <ul style="list-style-type: none"> <li>A Notice of Civil Claim was filed September 16, 2014 against the same defendants as the 2012 Action, plus one additional defendant, : 0772835 B.C. Ltd, a now-dissolved company of defendant Jeff Wiegel. Original counsel for all plaintiffs was Lisa Ridgedale.</li> <li>In July 2015 Dean Davison filed Notices of Appointment of Lawyer for plaintiffs Skidmore and Taylor.</li> <li>So, as with the 2012 Action, there are two different law firms acting for different groups of plaintiffs.</li> <li>Michael Armstrong filed a Response to Civil Claim on behalf of the defendants.</li> <li>Both the 2012 Action and the 2014 Action appear to lack merit. The procedural problems are currently overtaking any progress on the merits.</li> </ul>				
	Damages Sought \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		



	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
126	BLG 12-01 LIF No. 12-112 CLLAS 2012-102	Lewis, Mark	Morgan Brinker & York	February 13, 2012	January 30, 2012	Aug. 15/13
	Description:	<ul style="list-style-type: none"> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated February 13, 2012</li> <li>No claim has arisen. LIF has scheduled file closing for mid-2013.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
127	BLG 12-02 LIF No. 12-129 CLLAS 2012-105	Mary-Jo Campbell / Holly Pommier	BCR Properties Ltd.	February 20, 2012		June 4/13
	Description:	<ul style="list-style-type: none"> <li>Possible claim for failure to advise client (BC Rail) of adverse consequences on assignment of a particular lease as part of the divestiture of its railway-related properties.</li> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated February 20, 2012</li> <li>A historical review of the file determined conclusively that the client's property management department undertook responsibility for reviewing all leases, including the subject lease. No claim is expected to arise.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
128	BLG 12-03 LIF No. 12-231 CLLAS 2012-135	Peter Wong	Golden Properties Ltd.	March 23, 2012	February 2, 2012	July 2014
	Description:	<ul style="list-style-type: none"> <li>Possible claim for adverse tax consequences arising from the issuance of the wrong class of shares in a tax-driven corporate restructuring.</li> <li>See email of Cameron Mowatt to Lawyers Insurance Fund dated March 23, 2012</li> <li>Deductible in the amount of \$5,000 paid to LIF on October 10, 2012.</li> <li>LIF engaged counsel to apply to court for an order rectifying the error. The application is "on hold" pending discussions with CRA on related issues.</li> <li>Counsel engaged by LIF is applying for a second rectification order as the first was incomplete.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
129	BLG 12-04 LIF No. 12-456 CLLAS 2012-165	D. Ross McGowan	Capital Savings Credit Union	June 19, 2012	February 2, 2012	September 4, 2014
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising from the client's insurer's attempt to deny fidelity insurance coverage based on the client's termination of an employee allegedly involved in a fraudulent investment scheme prior to giving formal notice of a fidelity insurance claim to the insurer.</li> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated June 19, 2012.</li> <li>Counsel for Coast Capital is dealing with the insurer's various 'off cover' positions and may assert a claim against Coast Capital's broker for failing to give timely notice of the claim. No claim has been asserted against BLG as at December 31, 2012.</li> <li>No claim has been asserted against BLG as at December 31, 2013.</li> <li>Email from LIF dated September 4, 2014 closing file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
130	BLG 12-05 LIF No. 12-0970 CLLAS 2013-062	Doug Copland and/or Graham Walker	Intrawest ULC, Fortress Investment Group and/or Josh Goldstein	December 18, 2012	December 1, 2012	Dec. 18/13
	Description:	<ul style="list-style-type: none"> <li>Possible claim arising from inadvertent disclosure of confidential information.</li> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated December 18, 2012.</li> <li>No claim is expected to arise.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		
	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
131	BLG 12-06 LIF No. 12-601 CLLAS 2013-026	Noel Golden	Nancy Leung	August 15, 2012	May 26, 2008	December 7, 2015
	Description:	<ul style="list-style-type: none"> <li>This is a series of five potential claims arising from a repeated error that was first discovered in connection with the Leung matter. It arises from the issuance of shares in connection with estate planning transactions (wind-up of family trusts and estate freezes) that should have been non-participating on winding-up but were only non-participating as to dividends. LIF is treating the matter as a single claim arising from repetition of the same error.</li> <li>Reported to Lawyers Insurance Fund on August 15, 2012</li> <li>LIF has engaged counsel to apply to court to rectify the error in each of the five matters by retroactively reissuing shares that are non-participating in all respects.</li> <li>Rectification applications were pending as at December 31, 2014.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
<b>132</b>	BLG 13-01 LIF No. 13-222 CLLAS 2013- 101	Tim Sehmer	Genex Developments Ltd.	March 22, 2013		May 2013
	Description:	<ul style="list-style-type: none"> <li>• See letter of Cameron Mowatt to Lawyers Insurance Fund dated March 22, 2013.</li> <li>• Rectification orders were obtained on May 3, 2013.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
<b>133</b>	BLG 13-02 LIF No. 13-224 CLLAS 2013- 100	Vince Orchard; Luke Dineley	ICBC	March 26, 2013		April 7, 2014
	Description:	<ul style="list-style-type: none"> <li>• Allegation of “bad faith” in handling of under insured motorist claim.</li> <li>• See letter of Cameron Mowatt to Lawyers Insurance Fund dated March 26, 2013.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
134	BLG 13-03 LIF No. 13-324 CLLAS 2013-111	Angus M. Gunn	Robert Glen Harrison	May 2, 2013		January 17, 2018
	Description:	<ul style="list-style-type: none"> <li>• See letter of Cameron Mowatt to Lawyers Insurance Fund dated May 2, 2013.</li> <li>• A discipline complaint against Mr. Gunn to LSBC has been dismissed. However, Mr. Harrison requested LSBC review of the dismissal and asserts in a civil action against Province of BC that Mr. Gunn deceived him in connection with earlier proceedings. No claim for damages has been asserted against Mr. Gunn or BLG.</li> <li>• Mr. Harrison sought judicial review of the Law Society's decision to dismiss his complaint against Angus Gunn. His petition was dismissed by the Supreme Court of British Columbia but Mr. Harrison has indicated an intention to appeal.</li> <li>• Mr. Harrison's appeal was dismissed on June 3, 2015 (2015 BCCA 258).</li> <li>• Mr. Harrison has threatened civil proceedings against Mr. Gunn and BLG. The LIF has appointed James MacInnes of Nathanson Schacter to defend the civil proceeding if it is commenced.</li> <li>• No civil claim has been commenced as of March 9, 2016.</li> <li>• No claim has been asserted as at December 31, 2016.</li> <li>• Email from LIF closing file dated January 17, 2018.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
135	BLG 13-04 LIF No. 13-482 CLLAS 2013-149	Gerald W. Ghikas/Sarah McEachern	Jennifer Mickelson	July 3, 2013		January 2019
	Description:	<ul style="list-style-type: none"> <li>Alleged failure to provide early advice on potential conflict preventing physician from being included in a collective agreement negotiated by Mr. Ghikas with the health authority</li> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated July 3, 2013.</li> <li>An action has now been commenced and James Killam, Q.C., has been appointed by LIF to defend such claim.</li> <li>The parties have exchanged initial lists of documents. On February 29, 2016, Mr. Killam reported that the plaintiff's document production is incomplete and he is preparing a list of requests for further production.</li> <li>Trial scheduled for November 2017.</li> <li>Case dismissed with costs in February 2018.</li> <li><b>CLOSED</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

	<b>BLG File No. LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
<b>136</b>	BLG 13-05 LIF No. 13-503 CLLAS 2013-155	Christopher J. O'Connor	Peter Schober	July 5, 2013		April 2015
	Description:	<ul style="list-style-type: none"> <li>• Potential claim for failing to advise client's corporate representative not to proceed with examination for discovery wherein his fraudulent conduct was canvased and admitted.</li> <li>• See letter of Cameron Mowatt to Lawyers Insurance Fund dated July 5, 2013.</li> <li>• No claim has been asserted as at December 31, 2013.</li> <li>• No claim has been asserted as at December 31, 2014.</li> <li>• <b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		



	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
137	BLG 13-06 LIF No. 13-606 CLLAS 2014-025	Grant H. Mayovsky/Gor don R. Johnson	Davood and Sonia Panah	15 August 2013		July 22, 2016
	Description:	<ul style="list-style-type: none"> <li>Potential claim for failing to advise client to put homeowner insurance on timely notice of lawsuit by owner of neighbouring property.</li> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated 15 August, 2013 and subsequent reports.</li> <li>No claim has been asserted as at December 31, 2013.</li> <li>No claim has been asserted as at December 31, 2014.</li> <li>No claim has been asserted as at March 9, 2016.</li> <li>Email dated July 22, 2016 from LIF advising they were closing their file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
138	BLG 13-07 LIF No. 13-725 CLLAS 2014-040	G. Eric Doherty, Mark P. Chartrand	WCSB Oil & Gas	3 October 2013		May 29, 2014
	Description:	<ul style="list-style-type: none"> <li>Potential claim arising from inconsistent advice in connection with corporate income tax payable on wind-up of two limited partnerships.</li> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated 3 October, 2013.</li> <li>Remedial action was taken by counsel engaged by LIF that will lessen the amount of tax payable. The client has indicated that it has no intention of asserting a claim.</li> <li>Email dated May 29, 2014 from LIF closing file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

	BLG File No. 13-07 LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
139	BLG 13-08 LIF No. 13-793 CLLAS 2014-033	Tamara Wong	Dr. Stephen A. Blythe	28 October 2013		22 July 2015
	Description:	<ul style="list-style-type: none"> <li>Potential claim arising from failure to obtain the written consent of the College of Physicians and Surgeons before amending the share capital of clients professional corporation.</li> <li>See letter of Cameron Mowatt to Lawyers Insurance Fund dated 28 October, 2013.</li> <li>It appears that there will be no adverse tax or other fiscal consequences and that the only harm to the client will be a notation on his record of the failure to obtain consent</li> <li>No claim has been asserted as at December 31, 2014.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

	BLG File No. 14-01 LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
140	BLG 14-01 LIF No. 13-0750 CLLAS 2014-071	Gord Johnson	Turner Distribution Systems Ltd.	January 8, 2014		May 14, 2014
	Description:	<ul style="list-style-type: none"> <li>Letter from CLLAS dated January 8, 2014.</li> <li>Email from Law Society of BC dated May 14, 2014 closing file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

	<b>BLG File No. 14-02 LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
<b>141</b>	BLG 14-02 LIF No. 13-0750 CLLAS 2014-071	Dirk Laudan	Jacob Bros Construction	February 11, 2014		February 24, 2015
	Description:	<ul style="list-style-type: none"> <li>Letter from Cam Mowatt to LIF dated February 11, 2014.</li> <li>Email from Law Society dated February 24, 2015 closing file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

	<b>BLG File No. 14-03 LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
<b>142</b>	BLG 14-03 LIF No. 14-172 CLLAS 2014-089	Blair Rebane	Budget Brake & Muffler Franching	March 5, 2014		June 9, 2017
	Description:	<ul style="list-style-type: none"> <li>Letter from Cam Mowatt to LIF dated March 5, 2014.</li> <li>In a dispute under a franchise agreement the franchisee takes the position that the agreement is null and void because the signature block names the franchisor's parent company, despite the fact that the franchisor is correctly named in all other parts of the agreement and the agreement has been performed for 7 years.</li> <li>BLG counsel in Calgary advises that this defect in the contract documents will not enable the franchisee to avoid its obligations under the agreement.</li> <li>No claim has been asserted as at December 31, 2014.</li> <li>No claim has been asserted as at March 9, 2016.</li> <li>No claim has been asserted as at December 31, 2016.</li> <li>Email from LIF closing file dated June 9, 2017.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

	BLG File No. 14-04 LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
143	BLG 14-04 LIF No. 14-180 CLLAS 2014-091	Mark V. Lewis	Predator Ridge Limited Partnership	March 5, 2014		April 2015
	Description:	<ul style="list-style-type: none"> <li>Letter from Cam Mowatt to LIF dated March 5, 2014.</li> <li>Inadvertent breach of undertaking to the City to register an easement for golf cart path across strata lands before filing strata plan in land registry. The City has agreed to an extension of time to file the easement. Mr Lewis is working with Predator Ridge and the strata corporation to remedy the problem</li> <li>No claim has been asserted as at December 31, 2014.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

	BLG File No. 14-05 LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
144	BLG 14-05 LIF No. 14-275 CLLAS 2014-153	Steve Warnett	Whitecap Resorts Ltd.	April 11, 2014.		8 January 2016
	Description:	<ul style="list-style-type: none"> <li>Letter from Cam Mowatt to LIF dated April 11, 2014.</li> <li>No claim has been asserted as at December 31, 2014.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

	BLG File No. 14-06 LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
145	BLG 14-06 LIF No. 14-288 CLLAS 2014-116	David Crerar  Martha Martindale	Xcalibur Bowling and Entertainment (2010) Ltd.	April 17, 2014		Nov. 27, 2015
	Description:	<ul style="list-style-type: none"> <li>Letter from Warren Learmonth to LIF dated April 17, 2014.</li> <li>No claim has been asserted as at December 31, 2014.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. 14-07 LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
146	BLG 14-07 LIF No. 14-024 CLLAS 2014-090	Mark Lewis Blair Rebane	Monaliza Bedi	January 13, 2014		September 27, 2016
	Description:	<ul style="list-style-type: none"> <li>Email from Mark Lewis to LIF January 13, 2014.</li> <li>Alleged failure to recommend independent legal advice for spouse of primary debtor in settlement of personal and corporate debts with a third party.</li> <li>Coran Cooper Stevenson of LIF is dealing with claimant's counsel. There has been no assessment of the merits of the claim as yet. Exposure would likely be within the LIF policy limit.</li> <li>Notice of Civil Claim filed April 23, 2014 naming Borden Ladner Gervais and Mark V. Lewis as Defendant.</li> <li>James MacInnis of Nathanson Schachter &amp; Thompson retained on behalf of Defendants.</li> <li>A 'without prejudice except as to costs' offer to settle was made on August 31, 2015 and open for acceptance until October 31, 2015. The offer was not accepted.</li> <li>The plaintiff was examined for discovery on March 9, 2016. Mr. Lewis will be examined for discovery on March 10, 2016. No trial date has been set.</li> <li>Settlement agreement reached to dismiss action by consent without costs prepare on June 15, 2016.</li> <li>Email dated September 27, 2016 from LIF advising they were closing their file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	BLG File No. 14-08 LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
147	BLG 14-08 LIF No. 14-0411 CLLAS 2014-132	Vince Orchard / Luke Dineley	Jordan and Richard Wilkins	May 30, 2014		October 3, 2014
	Description:	<ul style="list-style-type: none"> <li>Email from Allison Foord to LIF May 30, 2014.</li> <li>Jack Webster, Q.C. retained to represent BLG, VRO and SLD.</li> <li>Letter from CLLAS dated October 3, 2014 advising of file closing.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

	BLG File No. 14-09 LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
148	BLG 14-09 LIF No. 14-522 CLLAS 2015-002	Alaka Chatterjee	University of British Columbia	July 10, 2014		July 13, 2017
	Description:	<ul style="list-style-type: none"> <li>Letter from Allison Foord to LIF July 10, 2014.</li> <li>No claim has been asserted as at December 31, 2014.</li> <li>No claim has been asserted as at March 9, 2016.</li> <li>No claim has been asserted as at December 31, 2016.</li> <li>Email from LIF dated July 13, 2017 advising they were closing their file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		



	BLG File No. 14-10 LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
149	BLG 14-10 LIF No. 14-0541 CLLAS 2015-005	BLG Don MacDonald	Ethel Mary Racz	July 18, 2014		Sept. 28/15
	Description:	<ul style="list-style-type: none"> <li>Letter from Warren Learmonth to LIF July 18, 2014.</li> <li>BLG filed a claim seeking payment of fees owing and Ms. Racz filed a counterclaim alleging negligence against Mr. MacDonald.</li> <li>Anthony Leoni of Webster Hudson Coombe LLP has been appointed by LIF to defend the counterclaim.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

	BLG File No. 14-10 LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
150	BLG 14-11 LIF No. 14-0615 CLLAS 2015-014	Callum Kelly	Robin Bachman	July 31, 2014		
	Description:	<ul style="list-style-type: none"> <li>Letter from Allison Foord to LIF July 31, 2014.</li> <li>Mr. Bachman has been informed as to the issue.</li> <li>No claim has been asserted as at December 31, 2014.</li> <li>No claim has been asserted as at March 9, 2016.</li> <li>No claim has been asserted as at December 31, 2016.</li> <li>No claim has been asserted as at December 31, 2017.</li> <li>No claim has been asserted as at December 31, 2018.</li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

	<b>BLG File No. 14-10 LIF File No. CLLAS File No.</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
<b>151</b>	BLG 14-12 LIF No. 14-1034 CLLAS 2015-064	William F. Sirett	Taiga Building Products Ltd.	December 31, 2014		
	Description:	<ul style="list-style-type: none"> <li>Letter from Warren Learmonth to LIF December 31, 2014.</li> <li>No claim has been asserted as at December 31, 2014.</li> <li>In a letter dated May 15, 2015, Canada Revenue Agency offered Taiga Building Products Ltd. the opportunity to make submissions with respect to CRA's intention to re-assess Taiga for failure to withhold appropriate amounts in previous distributions to 2 of its major shareholders.</li> <li>No claim has been asserted as at March 9, 2016.</li> <li>No claim has been asserted as at December 31, 2016.</li> <li>No claim has been asserted as at December 31, 2017.</li> <li>CRA did ultimately go ahead with their reassessment of Taiga. They reassessment is being challenged in court. The matter is essentially in abeyance at this point because the parties are waiting for the Federal Court of Appeal to hear and decide the Alta Energy case, which apparently has similar facts and issues to the Taiga case.</li> <li>No claim has been asserted as at December 31, 2018.</li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

152	BLG File No. 15-01 LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 15-01 LIF No. N/A CLLAS 2015-098	David Nauman	Potter Clarkson	March 30, 2015		
	Description:	<ul style="list-style-type: none"> <li>Letter to CLLAS dated March 30, 2015.</li> <li>We anticipate a claim to be commenced by Imperial Innovations. Scott Cordell, of Killam Cordell, has been appointed to defend any action commenced.</li> <li>No claim has been asserted as at March 9, 2016.</li> <li>No claim has been asserted as at December 31, 2016.</li> <li>No claim has been asserted as at December 31, 2017.</li> <li>No action has been commenced as at December 31, 2018.</li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

15 3	BLG File No. 15-02 LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 15-02 LIF No. 15-0216 CLLAS 2015-111	Ed Kroft	Kalawsky Group	April 29, 2015		
	Description:	<ul style="list-style-type: none"> <li>Letter to LIF dated April 29, 2015. Previously reported by Blakes on March 17, 2015</li> <li>No claim has been asserted as at March 9, 2016.</li> <li>No claim has been asserted as at December 31, 2016.</li> <li>No claim has been asserted as at December 31, 2017.</li> <li>No claim has been asserted as at December 31, 2018.</li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

154	BLG File No. 15-03 LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 15-03 LIF No. 15-556 CLLAS 2016-027	Stephen Robertson	ILJIN Life Science	July 2, 2015		February 8, 2017
	Description:	<ul style="list-style-type: none"> <li>Letter to LIF dated July 2, 2015.</li> <li>No claim has been asserted as at March 9, 2016.</li> <li>Email from LIF dated February 8, 2017 closing their file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

155	BLG File No. 15-04 LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 15-04 LIF No. 15-792 CLLAS 2016-025	Steve M. Winder	Houshang Rassaf	September 23, 2015		July 6, 2018
	Description:	<ul style="list-style-type: none"> <li>Letter to LIF dated September 23, 2015.</li> <li>Anthony Leoni of Webster Hudson Coombe LLP was appointed by LIF to defend the action brought against BLG and Mr. Winder. On December 1, 2015, the action was dismissed with special costs payable by the plaintiff to be assessed.</li> <li>No appeal has been asserted as at March 9, 2016.</li> <li>No new claim has been asserted as at December 31, 2017.</li> <li>Email from LIF dated July 6, 2018 advising they were closing their file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

156	BLG File No. 16-01 LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 16-01 LIF No. 16-157 CLLAS 2016-093	Vincent R.K. Orchard, Q.C. and Allison K. Foord	Dr. Robert Wright	February 25, 2016		April 4, 2019
	Description:	<ul style="list-style-type: none"> <li>Letter to LIF dated February 25, 2016.</li> <li>No claim has been asserted as at March 9, 2016.</li> <li>No claim has been asserted as at December 31, 2016.</li> <li>No claim has been asserted as at December 31, 2017.</li> <li>No claim has been asserted as at December 31, 2018.</li> <li>Email from LIF dated April 4, 2019 advising they were closing their file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

157	BLG File No. 16-02 LIF File No. CLLAS File No.	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 16-02 LIF No. 16-301 CLLAS 2016-123	Steve Warnett	Fair Waves Coffee Inc.	April 11, 2016		February 8, 2017
	Description:	<ul style="list-style-type: none"> <li>Letter to LIF dated April 11, 2016.</li> <li>Email from LIF dated February 8, 2017 advising they were closing their file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

158	BLG File No. 16-02 LIF File No. 400 CLLAS File No. 2016-137	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 16-03 LIF No. 16-400 CLLAS 2016- 137	David Miachika / Krista Johanson	Loblaw Properties West Inc.	May 9, 2016		March 10, 2017
	Description:	<ul style="list-style-type: none"> <li>Letter to LIF dated May 9, 2016.</li> <li>Email from LIF closing file dated March 10, 2017.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

159	BLG File No. 16-04 LIF File No. 16-514 CLLAS File No. 2016-159	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 16-04 LIF No. 16-514 CLLAS 2016- 159	Leon Beukman	ICBC and/or Paul Ritsco	June 14, 2016		
	Description:	<ul style="list-style-type: none"> <li>Letter to LIF dated June 14, 2016.</li> <li>No claim has been asserted as at December 31, 2016.</li> <li>No claim has been asserted as at December 31, 2017.</li> <li>No claim has been asserted as at December 31, 2018.</li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

160	BLG File No. 16-05 ODL 2017-001	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 16-05 ODL 2017-001- <b>No CLLAS File</b>	Peter Glowacki	Outside Directorship – Canuck Place Children’s Hospice Society	August 23, 2016		
	Description:	<ul style="list-style-type: none"> <li>Letter to ODL Insurance Program August 23, 2016.</li> <li>No claim has been asserted as at December 31, 2016.</li> <li>No claim has been asserted as at December 31, 2017.</li> <li>No claim has been asserted as at December 31, 2018.</li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

161	BLG File No. 16-65 LIF File No. 714 CLLAS File No. 2017-021	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 16-06 LIF No. 16-714 CLLAS 2017- 021	Steve Winder	Alissa Marie Wright	August 26, 2016		March 10, 2017
	Description:	<ul style="list-style-type: none"> <li>Letter to LIF dated August 26, 2016.</li> <li>Email from LIF dated March 10, 2017 closing file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

162	BLG File No. 16-07 LIF File No. 753 CLLAS File No. 2017-028	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 16-07 LIF No. 16-753 CLLAS 2017-028	Luke Dineley	HSBC Bank Canada	September 8, 2016		
	Description:	<ul style="list-style-type: none"> <li>Letter to LIF dated September 8, 2016.</li> <li>No claim has been asserted as at December 31, 2016.</li> <li>November 7, 2016, default judgment set aside.</li> <li>No claim has been asserted as at December 31, 2017.</li> <li>No claim has been asserted as at December 31, 2018.</li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

163	BLG File No. 16-08 LIF File No. 16--783 CLLAS File No. 2017-032	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
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163	BLG File No. 16-08 LIF File No. 16--783 CLLAS File No. 2017-032	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 16-08 LIF No. 16-783 CLLAS 2017-032	Angus Gunn	Jiukang Liu, also known as Tony Liu and Tony Liu Notary Corporation	September 19, 2016		
	Description:	<ul style="list-style-type: none"> <li>Letter to LIF dated September 19, 2016.</li> <li>No claim has been asserted as at December 31, 2016.</li> <li>Application to add BLG as a third party dismissed February 10, 2017.</li> <li>No action has been commenced as at December 31, 2017.</li> <li>No action has been commenced as at December 31, 2018.</li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

164	BLG File No. 16-09 LIF File No. 16-- CLLAS File No. 2017-	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 16-09 LIF No. 16- CLLAS 2017- 047	Matthew Swanson	Art and Gabriela Unruh	October 14, 2016		August 14, 2017
	Description:	<ul style="list-style-type: none"> <li>Letter to LIF dated October 14, 2016.</li> <li>No claim has been asserted as at December 31, 2016.</li> <li>Email from LIF dated August 14, 2017 advising they were closing their file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

165	BLG File No. 16-10 LIF File No. 16-892- CLLAS File No. 2017-054	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 16-10 LIF No. 16-892 CLLAS 2017-054	Allison Foord	Central 1 Credit Union	October 28, 2016		July 6, 2018
	Description:	<ul style="list-style-type: none"> <li>Letter to LIF dated October 28, 2016.</li> <li>No claim has been asserted as at December 31, 2016.</li> <li>No claim has been asserted as at December 31, 2017.</li> <li>Email from LIF dated July 6, 2018 advising they were closing their file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

166	BLG File No. 16-11 LIF File No. 16-- CLLAS File No. 2017-	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 16-11 LIF No. 16-1071 CLLAS 2017-069	Graeme Martindale and Kent Kufeldt	Kalytera Therapeutics, Inc.	19 December 2016		October 24, 2017
	Description:	<ul style="list-style-type: none"> <li>Letter to LIF dated December 19, 2016.</li> <li>No claim has been asserted as at December 31, 2016.</li> <li>Email from LIF dated October 24, 2017 advising they were closing their file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

167	BLG File No. 16-12 LIF File No. 16--1086 CLLAS File No. 2017-	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 16-12 LIF No. 16-1086 CLLAS 2017-072	Richard Bennett	Scott and Pamela McCrae	21 December 2016		July 28, 2017
	Description:	<ul style="list-style-type: none"> <li>Letter to LIF dated December 21, 2016.</li> <li>No claim has been asserted as at December 31, 2016.</li> <li>Email from LIF dated July 28, 2017 advising they were closing their file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity Amount Reserved \$ _____		

168	BLG File No. 17-01 LIF File No. 17--045 CLLAS File No. 2017-092	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 17-01 LIF No. 17-045 CLLAS 2017-092	Julie Bogle	Synex International Inc.	January 16, 2017		July 6, 2018
	Description:	<ul style="list-style-type: none"> <li>Letter to LIF dated January 16, 2017.</li> <li>No claim has been asserted as at February 28, 2017.</li> <li>No claim has been asserted as at December 31, 2017.</li> <li>Email from LIF dated July 6, 2018 advising they were closing their file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity Amount Reserved \$ _____		

169	BLG File No. 17-02 LIF File No. 17--101 CLLAS File No. 2017-103	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 17-02 LIF No. 17-101 CLLAS 2017-103	Todd Keeler	Blackberry Limited	February 6, 2017		August 29, 2018
	Description:	<ul style="list-style-type: none"> <li>Letter to LIF dated February 6, 2017.</li> <li>No claim has been asserted as at February 28, 2017.</li> <li>No claim has been asserted as at December 31, 2017.</li> <li>Email from LIF dated August 29, 2018 advising they were closing their file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

170	BLG File No. 17-03 LIF File No. 17--259 CLLAS File No. 2017-114	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 17-03 LIF No. 17-259 CLLAS 2017-114	Robert Deane	Northwest Organics, Limited Partnership	March 24, 2017		May 8, 2017
	Description:	<ul style="list-style-type: none"> <li>Letter to LIF dated March 24, 2017.</li> <li>Email from LIF dated May 8, 2017 advising they were closing their file.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

171	BLG File No. 17-04 LIF File No. 17-- CLLAS File No. 2017-161	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 17-04 LIF No. 17- CLLAS 2017-161	Stephen Antle	Dalko Ventures Ltd. and Colleen Kloeble	June 29, 2017		
	Description:  No Active File	<ul style="list-style-type: none"> <li>Letter to LIF dated June 29, 2017.</li> <li>Notice of Claim was withdrawn from LIF on July 21, 2017.</li> <li>Underlying action in which S. Antle was arbitrator settled on March 5, 2018.</li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

172	BLG File No. 17-05 LIF File No. 17--790 CLLAS File No. 2018-024	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 17-05 LIF No. 17-790 CLLAS 2018-024	Stephen Holmes	Mr. Payday Easy Loans Inc., Pavel Solovyoff and Sedin Trust	September 18, 2017		January 2019
	Description:	<ul style="list-style-type: none"> <li>Letter to LIF dated September 18, 2017.</li> <li>No claim has been asserted as at December 31, 2017.</li> <li><b>CLOSED.</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

173	BLG File No. 17-06 - CLLAS File No. 2018-030	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 17-06  CLLAS 2018-030	Jessica Yeung	Great Canadian Dollar Store	October 31, 2017		
	Description:	<ul style="list-style-type: none"> <li>Letter to CLASS dated October 31, 2017.</li> <li>No claim has been asserted as at December 31, 2017.</li> <li>No claim has been asserted as at December 31, 2018.</li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

174	BLG File No. 17-07 LIF-2017-0928 CLLAS File No. 2018-032	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 17-07 LIF: 2017-0928 CLLAS 2018-030	D. Ross McGowan	Ahmed Amr	November 1, 2017		
	Description:	<ul style="list-style-type: none"> <li>Letter to CLLAS dated November 1, 2017.</li> <li>No claim has been asserted as at December 31, 2017.</li> <li>No claim has been asserted as at December 31, 2018.</li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

175	BLG File No. 17-08 LIF-2017-0942 CLLAS File No. 2018-030	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 17-08 LIF: 2017-0942 CLLAS 2018-030	Graham Walker	Larry Sawchuk	November 7, 2017		
	Description:	<ul style="list-style-type: none"> <li>Letter to LIF dated November 7, 2017.</li> <li>No claim has been asserted as at December 31, 2017.</li> <li>No claim has been asserted as at December 31, 2018.</li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

	BLG File No. 18-01 LIF- CLLAS File No. 2018-0	Name of Lawyer(s)	Name of Claimant (Potential Claimant)	Date Claim Reported	Error Date	Date Claim Closed by L.I.F.
	BLG 18-01 LIF: 18-0450 CLLAS 2018-107	Vince Orchard / Luke Dineley	ICBC	May 25,2018		July 6 2018
	Description:	<ul style="list-style-type: none"> <li>Letter to LIF dated May 25, 2018.</li> <li><b>CLOSED</b></li> </ul>				
	Damages Sought \$ _____	\$ _____ Legal \$ _____ Indemnity  Amount Paid \$ _____		\$ _____ Legal \$ _____ Indemnity  Amount Reserved \$ _____		

	<b>BLG File No. 18-02 LIF- CLLAS File No. 2019-009</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
	BLG 18-02 LIF: 18-643 CLLAS 2019-009-	Rob Dawkins	Glen Bogue	August 2, 2018		
	Description:	<ul style="list-style-type: none"> <li>Letter to LIF dated August 2, 2018.</li> <li>No claim has been asserted as at December 31, 2018.</li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. 18-03 LIF-18-872 CLLAS File No. 2019-009</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
	BLG 18-02 LIF: CLLAS 2019-036	David Longcroft	The Surespan Group of Companies	October 12, 2018		
	Description:	<ul style="list-style-type: none"> <li>Letter to LIF October 12, 2018.</li> <li>No claim has been asserted as at December 31, 2018.</li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		



	<b>BLG File No. 19-01 LIF- N/A CLLAS File No. 2019-069</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
	BLG 19-01 LIF: N/A CLLAS 2019-069-	David Nauman	Xogen Technologies Inc.	January 30, 2019		
	Description:	<ul style="list-style-type: none"> <li>Letter to CLLAS dated January 30, 2019.</li> <li></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

	<b>BLG File No. 19-02 LIF- CLLAS File No. 2019-0</b>	<b>Name of Lawyer(s)</b>	<b>Name of Claimant (Potential Claimant)</b>	<b>Date Claim Reported</b>	<b>Error Date</b>	<b>Date Claim Closed by L.I.F.</b>
	BLG 19-02 LIF: 19-166 CLLAS 2019-080	Kim Maguire  Dino Rossi  Katherine McNeill	Newdelta Short Sea Shipping Ltd.	February 2019		
	Description:	<ul style="list-style-type: none"> <li>Letter to LIF dated February 28, 2019.</li> <li></li> </ul>				
	Damages Sought \$_____	\$_____ Legal \$_____ Indemnity  Amount Paid \$_____		\$_____ Legal \$_____ Indemnity  Amount Reserved \$_____		

## APPENDIX G

### RISK MANAGEMENT POLICIES AND PROCEDURES

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Name of Firm: Borden Ladner Gervais LLP

Please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

**Attached**

## Appendix G – Risk Management Policies & Procedures

CLLAS Risk Management Audit completed by John Walker in Toronto, Vancouver and Calgary offices on March 29, 30 & 31 and the Montreal and Ottawa offices on April 5 & 7, 2010

- 1 Acceptable Use of Assets Policy
- 2 Acting as Agent for Service Policy
- 3 Acting as an Escrow Agent Policy
- 4 Anti-Bribery and Anti-Corruption Policy
- 5 Book Writing Policy
- 6 Client Intimate Relationships Policy
- 7 Confidential Information and Securities Trading Errors and Omissions Reporting Opinions Policy
- 8 Confidential Information Policy
- 9 Confidential Screens Policy
- 10 Conflicts Clearing and Matter Opening Policy
- 11 Director Appointments and Remuneration Policy
- 12 Dis-engagement and Non Engagement Letters
- 13 Electronic Mail Policy
- 14 Enhancing Diversity and Inclusion
- 15 Malicious Software Policy
- 16 National Expense Policy
- 17 Password Policy
- 18 Personal Interest Policy
- 19 Personal Website and Blog Guidelines
- 20 Privacy Policy
- 21 Reference Policy
- 22 Responding to Search Warrants
- 23 Security Awareness and Training Policy
- 24 Social Media Policy and Guidelines
- 25 Speaking Engagements and Publications Policy
- 26 Various Information Security Policies
- 27 Workplace Harassment Policy
- 28 Workplace Violence Policy

APPENDIX H  
CYBER LIABILITY

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Name of Firm: Borden Ladner Gervais LLP

1. Personnel

- a) Do you have a Chief Security Officer or Chief Information Security Officer or equivalent? ☒ yes ☐ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Security Policies?

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- b) Do you have a Chief Privacy Officer or equivalent? ☒ yes ☐ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Privacy Policies?

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2. Protection

- a) Do you use encryption tools to enhance the integrity and confidentiality of confidential information?  
☒ yes ☐ no

If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)

- ☒ Data at rest  
☒ Data in transit  
☒ Data transferred to removable media (laptops, CD's, backup tapes, USB devices, etc.)  
☐ None of the above

- b) Do you use and regularly update industry-standard antivirus software? ☒ yes ☐ no

- c) Do you install the latest software updates to reduce security vulnerabilities? ☒ yes ☐ no

- d) Do you require that passwords be a minimum length and contain alpha and numeric characters?  
☒ yes ☐ no

- e) Do you require that passwords be regularly updated? ☒ yes ☐ no

- f) Do you check to make sure that no spyware or adware resides on your computers? ☒ yes ☐ no

- g) Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems? ☒ yes ☐ no

- h) Is the data on your servers encrypted? ☐ yes ☐ no

*Data on some of our servers  
is encrypted*

- i) Is the data on your desktop and laptop computers encrypted? ☒ yes ☐ no
- j) Is the data on your mobile devices encrypted? ☒ yes ☐ no
- k) Have predesignated computer system/application access rights and privileges been set for all authorized users? ☒ yes ☐ no
- l) Is there hourly or daily automatic backup of documents and emails? ☒ yes ☐ no
- m) Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems? ☒ yes ☐ no
- n) Are backups stored off-site at a secure location? ☒ yes ☐ no
- o) Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen? ☒ yes ☐ no
- p) Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files? ☐ yes ☒ no
- q) Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel? ☒ Most of the time ☐ Occasionally ☐ Never

### 3. Incident Response

Do you have a written network security incident response plan? ☒ yes ☐ no

If "yes":

- a) Does it include alternative options should a critical third party outsourcing provider's operations be incapacitated? ☒ yes ☐ no
- b) Does it include procedures to alert your clients that their data may have been compromised? ☒ yes ☐ no

### 4. Policies

- a) Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continuous basis? ☒ yes ☐ no
- b) Do you advise your lawyers of the risks of using unencrypted email? ☒ yes ☐ no
- c) Does your firm advise your lawyers of the dangers of metadata? ☒ yes ☐ no
- d) Do you purchase insurance other than CLLAS coverage to protect you in the case of privacy breaches? ☒ yes ☐ no
- e) Do you purchase insurance other than CLLAS coverage to protect you in the case of cyber-attacks? ☒ yes ☐ no

APPENDIX I

2019 PROFESSIONAL LIABILITY INSURANCE APPLICATION AND  
EXEMPTION FORM SUBMITTED TO LAWPRO

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Name of Firm: Borden Ladner Gervais LLP

Attached

My LAWPRO > File Online **My LAWPRO**

FIRM Account#: A032850

Name of LAW FIRM: BORDEN LADNER GERVAIS LLP

Transaction Levy Filings	Primary Policy Documents	Excess Coverage
File online	Member list	Update Payment Info
		E-Filing History

Below is a summary of lawyers currently listed with your firm. If you have questions regarding this summary, please call LAWPRO Customer Service at 416-598-5899 or 1-800-410-1013. Please note any changes to your firm listing must be submitted in writing to LAWPRO Customer Service ([service@lawpro.ca](mailto:service@lawpro.ca)), including the effective date of the change.

LSO#	Last Name	First Name
53275J	ABADI	PEDRO MARTIN
75327L	ABAKI	JOSHUA ODHAMBO
63531D	ABLAZA III	GERARDO MA.
71852L	ABRAHAM	NEIL DAVID
44274R	AKMAN	DAVID DARCY MICHAEL
43215P	ANTENORE	ROBERTO ALBINO
17288H	ARBOUR	LOUISE
74027H	ARCHIBALD	KATHLEEN ELIZABETH
29075F	ATLAS	KENNETH SAMUEL
53916R	AULT	DUNCAN ARTHUR WELLINGTON
68005S	AXELROD	MADLYN SARAH
56698B	AYRE	KATHERINE LOUISE
33572A	BACHYNSKI	JANE MARGARET
68342W	BAKER	ANDREW MICHAEL
33144E	BAMBROUGH	DENISE LOUISE
15529K	BARNES	JEFFERY ALAN
27578N	BARUTCISKI	MILOS
31387S	BATTEN	KEITH NIGEL
67674B	BELANGER	RACHAEL BEATRICE
63562E	BELL	WHITNEY ELIZABETH
70820D	BELOZOROVICH	ALEXEY I.
15864W	BERTOLDI	LINDA LOUISE
74462J	BETTY	CHRISTOPHER ALEXANDER-MICHAEL
36998D	BHATTACHARJEE	SUBRATA DIBAKAR
52190Q	BLACKSTEIN	ROBERT SETH
53359S	BLANCHARD	EMMA RUTH BRADLEY
29388D	BLIGHT	SUSAN MARGOT
36626O	BLUMENFELD	AARON ARTHUR
43021W	BOAN	DANIEL ALEXANDER
40309I	BOEHM	ERIC MATTHEW
72140O	BONANNO	SAMANTHA VIENNA
67432H	BOYD	MATTHEW WILLIAM
41441R	BOYD	THOMAS KIRK
16651C	BRADLEY	JANET ELAINE
70233W	BRAIDA	CHELSEA FRANZISKA BRADBURN
73132A	BRAR	MICHAEL SURINDER
24890Q	BRAY	CATHERINE ELIZABETH
72487B	BREAKS	SAMANTHA RECECCA T
23627Q	BREDT	CHRISTOPHER DUFF
56717U	BROOKSBANK	ROBERT BEVAN
70840O	BUCHTA	JESSICA ANN ELIZABETH
22954P	BUCKLEY	TIMOTHY O'GORMAN
46815L	BUSH	REBECCA LOUISE
72825F	BUTLER	KATELIN ELIZABETH
41323I	BYRICK	KATHARINE LOUISE
66851R	CAKU VILA	EDONA
21965R	CALLAGHAN	FRANCIS STEPHEN
75397P	CALVANO	VALERIE NATHALIE
66217I	CAMERON-VENDRIG	COLIN GORDON
54222Q	CANANAU	LIVIU OCTAVIAN
62389T	CARRE	KATHERINE ELAINE
44512I	CARROLL	BRENNAN MATTHEW
37032D	CERTOSIMO	MATTHEW LOUIS OFEILEIN
69357H	CHAUBAL	MEKHALA
64348A	CHEUNG	HOI NGA JOLIE
74499J	CISTRONE	VICTORIA NANCY
41645E	CLARKE	CYNTHIA DAWN
30604O	COBURN	FREDERICK FOULDS
56506E	COGLAN	JEFFREY JAMES
39400L	COOK	LAURIE JEAN
35174I	COOKE	PETER CLINTON
31567K	COOLIGAN	KATHERINE ANNE
20884I	CORBETT	ANNE CHRISTINE
44307O	CORPUZ	BERNADETTE
26141T	COWDERY	REBECCA ALICE

44529U	CRAIN	KIRSTEN TANYA
50927U	CRAWFORD	KATHERINE ANNE
74513C	CREMERS	MICHAEL FRIEDERICH
37566L	CROSS	PAMELA LOUISE PATRICIA
64363I	CROWELL	LOGAN BRIAN COLIN
74110I	CURCIO	REBECCA ALTHEA
62486V	CYBULSKI	MARY MARGARET
26473J	D'ANGELO	ROCCO
58246J	DAVIS BEDEAU	KERI EDRICA
71175L	DAWE	HEATHER ELIZABETH
58868V	DAY	LAURA MELISSA
41457K	DE PELLEGRIN	CARINA ANN
73170H	DEAKON	KATHERINE JANE
55427K	DEARDEN	KATE ANDREA
70285H	DELEMERE	LAURA MARGARET
74980B	DELUCA	ANTHONY MICHAEL
65856J	DENOMME	KYLE NORMAN
26154B	DERK	CAROL ELIZABETH
40817G	DI PAOLO	MICHAEL DAVID
45390W	DINGLE	BRIAN DOUGLAS
65863P	DINNING	BETHAN JENNIFER
70574K	DIPONIO	JOSEPH PETER
34239O	DOCHYLO	DANIEL JOHN
63621W	DOHERTY	MAUREEN CATHERINE
57397A	DOLAN	PATRICK MATTHEW ROBERT
66612L	DOODY	MICHELLE MORGAN
20589H	DOUGLAS	JAMES DAVID GEORGE
56522I	DULLET	RAJIKAMAL
62502G	DURANT	ERIN HEATHER
65874F	DYBWAD	SCOTT CHRISTOPHER
64012D	EEUWES	ROBERT WILLIAM
49004T	EFFENDI	NADIA
69959I	EGIT	RUBY EMILY
37579Q	ELLIOT	LAWRENCE ANDREW
53983K	ELMAN	DAVID HOWARD
74540T	EVANS	JESSICA GREEY
60058D	EVENSON	BRANDON LEIGH
66274H	FAIRBROTHER	KATE ALEXANDRA
74282R	FAUTEUX	GENEVIEVE MARIE ELIZABETH
29467J	FECENKO	MARK JOHN
69967K	FERREIRA	EVITA LOURDES PEDRICK
24951D	FISH	ARTHUR IRWIN
27292J	FOERSTER	RONALD
70882F	FOTHERINGHAM	ALANNAH MARIE
28026U	FOWLER	BRUCE ELDON
32245C	FREEDMAN	BONNIE ANN
47370K	FREITAG	SHANE
38228W	FRIEDMAN	KELLY LYNN
59798B	FU	JAMES DUEN-NAN
44134F	FULLER	KATHRYN MARY
25785A	FYFE	STEPHEN JAMES
46872K	GARDINER	SARAH KIMIKO
59299B	GEMSON	PIERRE NICHOLAS
62186F	GERGIN	MARIA
58041D	GHIGNONE	ROBERTO DOMENICO CARLO
59805L	GIRLANDO	DANIEL
58905N	GJOKA	FRED
27784D	GLEASON	MARY LYNN
37591N	GOLDMAN	JESSE IRWIN
41684H	GOLDSILVER	ERIK DANIEL
56542T	GORDON	JEFFREY KEITH
24059P	GOSNELL	SEAN LAWRENCE
23463F	GRAHAM	PETER JEFFREY STUART
43483H	GRANT	ROBYN AUDREY
69992M	GROCHALOVA	BARBORA
66638V	GRUNFELD	MOSHE ZACHARY
68427E	GUERRISI	ANDREW ANNUNZIATO
73850S	GULATI	NATASHA
60455V	GUY	ADAM JAMES
23071B	HALL	JOHN ERIC
74579L	HALLOWELL	BRADLEY WILLIAM
56790A	HAMILTON	GRAEME ALEXANDER
71943D	HANCOCK	CALVIN DARRELL BATEMAN
29892R	HARRISON	RICHARD ANDREW GRAHAM
31882L	HART	CLIFFORD JONATHAN
75042D	HASHMI	MADEEHA
67783M	HASSAN	NAVEEN
75043W	HASSAN	TAHA
33285M	HAWKINS	PATRICK JOSEPH
58057T	HENRY	JAMES DAVID
47631A	HENRY	MICHELLE SHELLY
46940D	HESSE	JULIE



69045F	HICKEY	HANNAH LOUISE
68439N	HO	ADRIENNE YIN LAM
66314K	HODHOD	ANDREW GEORGE
26504O	HOULE	YVAN GERMAIN
58059L	HOWARD	ADRIAN JOHN
70321D	HUNTER	JOHN THOMAS
52560R	INGRAM	GORDON LAIRD
64100H	IVANOV	TAMILA
25392A	JACK	DOUGLAS CAMPBELL
43275C	JAIPARGAS	ROGER M.
46203Q	JANES	DYANA ELAINE
25836Q	JARVIS	DAPHNE GRACE
42041W	JOEL	DANIELLE ROSEMARY
20311U	JOLICOEUR	JOSEPH MICHEL MARC
58305E	JONES	SCOTT LESLIE FORDHAM
70020O	KABOUCHI	JOELLE
45685B	KARANTZOULIS	GUS
70631N	KARIMIAN	ANNA VICTORIA
63710W	KEEN	BENJAMIN EDWARD
46653P	KING	CHARLES GRAHAM WALLIS
45994P	KIRKPATRICK	KATHRYN ELAINE
60466L	KOLOS	NATALIE DARIA
28506F	KOSONIC	RONALD MORRIS
57704D	KRAJEWSKA	EWA ANNA
32928B	KRAMER	GABRIELLE KIMBERLY
41893I	KREMER	MARKUS FREDERICK
30728W	KRONBY	MATTHEW STEPHEN
73245S	KWAN	SUSAN
67267M	LAM	SIENNE SZE YIN
33340A	LANG	DANIEL VICTOR
74317G	LAQUERRE	MAXIME JOSEPH YANNICK
27657T	LAROCHE	KEVIN LEE
37177S	LAUGHLIN	LEANNE ELIZABETH
64476D	LAVIOLETTE	CHRISTINE LINDA
62560B	LEBRUN	MARIE SANDRA ANNE
43975B	LEITCH	THERESA MAE
38890V	LEMIEUX	KATHLEEN ELIZABETH
66673R	LEMIEUX	SARA CHLOE
74663I	LESAGE	JULIE MARIANNE
68810V	LINDBERG	ERICA STEPHANIE
70364N	LIPINSKI	MACIEJ
67839I	LOTAY	RAMANDEEP KAUR
35979A	LOVE	ROBERT LYLE
57489L	MACDONALD	CAMERON ALEXANDER
28133Q	MACFARLANE	ALEXANDER LYNCH
37197G	MACLELLAN	JAMES WAYNE
76310K	MADHANY	OMAR KARIM
68172B	MAJOR	DAVID MICHAEL
33370F	MAK	TAI-SUEN SONIA NG
67552I	MAKSIMOVIC	ASHLEY MARIE
75590A	MANGER	JUDITH MOZELLE MIDANIK
55596I	MANIAS	RICHARD ANDREW
72299H	MANTLE	THOMAS JACOB
74337R	MARKIN	TEAGAN KATIE
48110I	MARRISON	ANNA LOUISE
57713B	MASON	CHRISTINE SUSAN
21775I	MATHERS	JAMES WISHART
55306N	MATTHEWS	IAN CHARLES
73269N	MCCALLA	JENELLE ARIANA KIERA
46367A	MCDORMAND	KATHLEEN PATRICIA
39573Q	MCEVOY	HERBERT SCOTT
32370R	MCGIVNEY	KEVIN ANDREW
30774I	MCGRADE	LYNN MARIE
33383K	MCGRATH	KEVIN ANDREW
75841T	MCGREGOR	SARA ALEXANDRA
58093L	MCGUINITY	DALTON JAMES PATRICK
68507G	MCINTYRE	JOHN MURRAY
66010K	MCLEAN	ANDREW MICHAEL
56119D	MEHRANVAR	LADAN
59350F	MEIGHEN	HUGH ARTHUR KENNEDY
44189B	MELIA	GIOVANNI ILARIO GIUSEPPE
27686F	MENARD	JACQUES NOEL YVES
46039R	MENEAR	KATHERINE JANE
41212F	MIKLAUCIC	NATASHA ANNE
39198B	MILAZZO	ANTHONY
36436F	MILLS	JAMES ELIOT
28567L	MILNE	NOELLA MARIA PATRICIA
73568K	MIN	DEUL RAE
21051O	MINGAY	PAUL ANTHONY DONALD
40577A	MITCHELL	JEFFERY PHILLIP
47673O	MOORE	BEVERLEY STIRLING

247930	MORIN	JOSEPH GERARD YVAN
61946H	MORLEY	PIPER NICOLE
23166A	MORRIS	JOHN JOSEPH MARIE
24166L	MORROW	ROSALIND
50506H	MUELLER-NEUHAUS	JASON RUDY
59016M	MUIR	CHRISTINE PATRICIA
60803T	NASRALLAH	RYMA
25476J	NEARING	KEVIN PATRICK
64944C	NGAN	HENRY HEI-LONG
68206R	NGUYEN	STEPHEN VINH LOC
36839W	NISHISATO	IRA
55628J	NOSKO	ALESSANDRA VICTORIA
10421U	O'CONNOR	DENNIS RORY
71759Q	O'DELL	ODESSA MARIE
74721H	ODINA	PAMELA GUERRERO
28848M	OZERE	THOMAS VINCENT
21069W	PAGE	ALFRED LAMBERT JONATHON
39224D	PAGLIA	LAURA
37255F	PALAYEW	DANIEL GOODMAN
39226S	PALLOTTA	CHRISTINE MARIE
72693O	PANG	JEFFREY ROGER
44410D	PARGHI	IRA GAUTAM
57539I	PASQUINO	NICOLA GIUSEPPE
38391V	PATTERSON	JAMES PITMAN
61658A	PAYNE	MEGHAN ELIZABETH
47897M	PEARLMAN	SHANE BRADLEY
70715W	PEDRO	MARIO
41929Q	PEREIRA	GRACA DE FATIMA
67886N	PERMINGEAT	PIERRE EMMANUEL MARCEL PAUL
49100C	PERRON	KAREN CHRISTINE
68222V	PERSAUD	DEVINDRA AVINASH
52351Q	PESSIONE	HEATHER KELLY
73308U	PETERS	ERIN MELINDA
65675V	PITTS	ANDREA LAUREN
70720N	POLLOCK	SCOTT RAE BROMLEY
51124I	POWERS	ANDREW DAVID
23846L	PRATTE	GUY JACQUES
27465S	PRINCE	VICTORIA ELIZABETH
30833D	PUNDIT	MANOJ GAJANAN
39623N	PUNDSACK	SCOTT RAYMOND
64569K	PUNZO	ANDREW SALVATORE
52656M	RABIN	JASON ALEXANDER
38415U	REDICAN	STEPHEN JEROME
67589I	REID WORKMAN	ANDREW FREDERIC
72368T	RIDOUT	DANIELLE MAXINE
74386O	ROBERT	CHARLES LAURENT
68244B	ROBINSON	LAURA ELIZABETH
31269J	RODGER	JONATHAN MARK
60261F	RODRIGUEZ	NATALIA ULA
27074K	ROHER	ERIC MICHAEL
74768B	ROSEN	DANIEL PHILLIP
68889M	ROSEN	MICHAEL EPHRAIM
56640R	ROTHSCHILD	DENES AGAY
72049L	ROY	EMILIE
25529R	RUSSELL	ROBERT SAMUEL
54122D	SAINSBURY	CAITLIN ROBINSON
67916W	SALAFIA	NATALIE THERESA
47704T	SALIM	KASIM
43569I	SALTZMAN	JASON MICHAEL
68562N	SANKARANARAYANAN	BHUVANA BHUVANES
59595K	SARAGOSTI	YANIV FELIX
46410C	SAUNDERS	CHANTAL JENNIFER
73337G	SAVO	CHRISTOPHER MARIO
58731I	SCHEINERT	JOSHUA DAVID
18312F	SCHNEIDERMAN	BRIAN MICHAEL
75690N	SCHNITTKER	DAVID LEE KRASZEWSKI
66465M	SCHROMM	GARETT JOHN
09633J	SCOTT	DAVID WILLIAM
25539L	SHABAN	HASSAN RICHARD
58146T	SHADDOCK	STEPHEN PETER
64226H	SHANI	KITI
70746A	SHARRATT	NICHOLAS MATTHEW
70455F	SHERIDAN	JESSICA MAIRE
74398A	SHERMAN	MATTHEW RYAN
31682I	SHERIFF-SCOTT	DAVID DONALD
18974Q	SHOPIRO	MURRAY BRIAN
32459G	SILVERMAN	HOWARD STEPHEN
73352O	SJOLIN	VERONICA ELIN
56942C	SKOCIC	KRSTINA
23248R	SLESS	ALAN MARTIN
36915R	SMITH	DOUGLAS OWEN
57581K	SOLHI	BOBBY BEHZAD

75715I	SOLOMON	BENJAMIN ALEXANDER
44442A	SOMMER-NG	EVA MARINA
40674C	SPAGNOLO	DOMENICA
40677N	SPERDUTI	FRANK JOSEPH
68589T	SPLAWSKI	GRAHAM ROBERT
52400R	SQUIRES	ROBIN ANTHONY FRED
42963E	ST-LOUIS	NICOLE MARIE
30885L	SUAREZ	STEPHEN JOHN
73359J	SWANSON	ROCKY WAYNE GREGORY
70160D	SWEET	SARAH HAZEL ELLEN
73988E	SZABO	JESSICA RAGAN
72079Q	TAKAGI	KARA KIMIKO
60631G	TANG	ISAAC KWOK LAM
27130R	TARDIF	JOSEPH ROGER PHILIPPE
61716W	TAYLOR	MICHAEL STEWART PETER
55018G	TAYLOR	PAUL WILLIAM
33447I	THIELE	PREMA KRIS RAO
65738A	THOUBURN	JONATHAN LEE
73361M	THOMASSEN	ASHLEY LAUREN
52986K	TIMMS	STEFAN SKJEL
43603M	TOMOMITSU	TAMARA DAWN
25164H	TRAVES	ROBERT WARREN
69770G	URQUHART	BRAEK RICHARD JOSEPH
68300I	VANDERVEER	ERIN REBECCA
56005M	VELLONE	JOHN ADRIAN DOOLEY
60319Q	WAGNER	LAURA MICHELLE
37371W	WAKULOWSKY	LYDIA
44465W	WALKER-RENSHAW	BARBARA JOAN
19026B	WAQUE	STEPHEN FRANCIS
34511F	WEBSTER	CRAIG JOHN
66142U	WEBSTER	JULIA ZOE
40708S	WEIR	ROBERT WILLIAM ALEXANDER
21180N	WEIR	SEAN
23303F	WHEELER	MARK FRASER
52718S	WHELAN	WENDY CATHLEEN
35131V	WIEBE	JUSTINA
50925F	WILLIAMS	MATTHEW EVAN PORTER
76153R	WILSON	CHRISTINE SARAH
76152V	WILSON	ROBERT WILLIAM PATRICK
51464A	WONG	BRENDAN YIU BONG
72103D	WONG	CAROLYN GAR-LAND
58798N	WOOD	ROBERT CRIST
52069I	WRAY	GEORGE RUSSELL
74435P	YAEGER	BRADLEY JOHN
57616W	YAN	XUE
65774P	YEHI	ZIAD
56023I	YOUNG	JAMISON ALEXANDER SERUATIUS
63919M	YOUNG	STEPHANIE JANE
23320F	ZAKAIB	GLENN MICHAEL
38541I	ZETTLE	RANDY MICHAEL
75323E	ZHANG	YUN

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